

**ADDENDUM 001
INVESTMENT BANKING SERVICES
Request for Proposal # 6-160226KH**

Firms should acknowledge receipt of Addendum 001 (ONE) by **signing** and **including it** with the original proposal. The due date for receipt of proposals is **unchanged** by this Addendum. The following changes shall be included as mandatory requirements for this solicitation. All other terms and conditions remain unchanged and in full force.

Name and Title of Signer (Print or type)	Name and Title of Department Authority Kristi Hixson Senior General Services Specialist
Firm Signature <hr/> (Signature of person authorized to sign)	Department of Transportation <i>Kristi Hixson</i> (Authorizing Signature)
Date Signed:	Date Signed: February 19, 2016

CLARIFICATIONS

The Missouri Department of Transportation (MoDOT) would like to provide some clarification regarding the page limit on proposals from qualified firms. On page 4 within the **Introduction** of the Request For Proposal (RFP), MoDOT indicates that the **“Proposals shall not exceed 20 pages, front and back, excluding a one page cover page, with minimum margins of 1 inch, and minimum 11 point font size.”** MoDOT is looking for concise and professional responses. As a result, a double-sided sheet of paper counts as one page. Items not included in the page count will

be a one page cover and other documents required in **Section 5: Terms and Conditions** of the RFP. Any other attachments or appendices will be included in the 20-page maximum count.

Under **Section 3: Required Elements of Proposal and Submission**, specifically Section 3 (A) 6 of the RFP states “In addition, address if your Firm self-reported any violations under the Securities and Exchange Commission's Municipalities Continuing Disclosure Cooperation (**MCDC**) Initiative. If your Firm self-reported, provide a list indicating the issuer, the issuance date, a summary of the violation and the process your Firm used to notify the issuer of your Firm's decision to self-report under the MCDC Initiative.” MoDOT is not requiring your Firm to disclose confidential information or information not available to the public. If your Firm determines that the specific issuer must remain confidential and their name cannot be made public, please provide a summary of the violation(s) your Firm self-reported. In addition, provide information describing your Firm's internal review process, how your Firm communicated the results of your review with the issuer(s) and when your Firm communicated the results with the issuer(s).