

Missouri Department of Transportation
Roberta Broeker, Interim Director

573.751.2551
Fax: 573.751.6555
1.888.ASK MODOT (275.6636)

ADDENDUM 001
TRUSTEE, PAYING AGENT, REGISTRAR, ESCROW AGENT, CALCULATION
AGENT AND DISSEMINATION AGENT SERVICES
Request for Proposal # 6-150923KH

Offerors should acknowledge receipt of Addendum 001 (ONE) by **signing** and **including it** with the original proposal. The due date for receipt of proposals is **unchanged** by this Addendum. The following changes shall be included as mandatory requirements for this solicitation. All other terms and conditions remain unchanged and in full force.

Name and Title of Signer (Print or type)	Name and Title of Department Authority Kristi Hixson Senior General Services Specialist
Contractor/Offeror Signature _____ (Signature of person authorized to sign)	Department of Transportation <i>Kristi Hixson</i> (Authorizing Signature)
Date Signed:	Date Signed: September 15, 2015

Question:

As a national banking association, does our status as a national banking association preclude us from fulfilling Section Q: questions 1&2 below and also automatically qualify us under the Corporate Trustee eligibility section(s) in the indenture(s) as “having a corporate trust office authorized to do business in the State of Missouri?”

Do we need to register with the Secretary of State and obtain a Transient Employer Certificate prior to submitting the RFP, or can this be done after the awarding of the RFP, if we were to be awarded the business?



Our mission is to provide a world-class transportation experience that delights our customers and promotes a prosperous Missouri.

www.modot.org

Response:

The following is Section 5 (Q) of the Request for Proposal:

(Q) Requirements to Do Business in Missouri: *Out-of-state Offerors must comply with the following requirements to do business in Missouri:*

1. Registration with Secretary of State: *The Offerors must be registered and maintain good standing with the Secretary of State of the State of Missouri, Missouri Department of Revenue, and other regulatory agencies, as may be required by law or regulations. Prior to execution of the Resulting Agreement, the successful Offeror shall submit to MHTC a copy of its current Authority Certificate from the Secretary of State of the State of Missouri and/or a copy of its Certificate of No Tax Due from the Missouri Department of Revenue.*

2. Transient Employer Certificate: *All out-of-state Offerors providing services within the State of Missouri must provide a copy of their current Transient Employer Certificate issued from the Missouri Department of Revenue. For assistance with obtaining a Transient Employer Certificate, please call the Missouri Department of Revenue at (573) 751-0459.*

Prior to execution of the Resulting Agreement, the successful Offeror shall submit to MHTC a copy of its current Authority Certificate from the Secretary of State of the State of Missouri and Transient Employer Certificate.

As long as the entity: (1) is organized as a national banking association; (2) is authorized under such laws to exercise corporate trust powers; (3) is subject to supervision or examination by federal or state authority; (4) has a corporate trust office authorized to do business in the State of Missouri; and (5) meets the other eligibility requirements addressed in Section 3 (A) 1. of the Request For Proposal, the entity is eligible to provide a proposal to the Request For Proposal.