

Addendum 01



REQUEST FOR QUALIFICATIONS

**Improve I-70 Program
Warrenton to Wentzville
St. Charles & Warren Counties, MO**

Project Number: JST0020/J6I3033
RFQ Issued: May 31, 2024
SOQs Due: June 28, 2024

Missouri Department of Transportation
830 MoDOT Drive
Jefferson City, MO 65102



Addenda	Date	Revisions
1	6/17/2024	RFQ sections 4.3 and 6.6. Revised Form DB-102.
2		
3		



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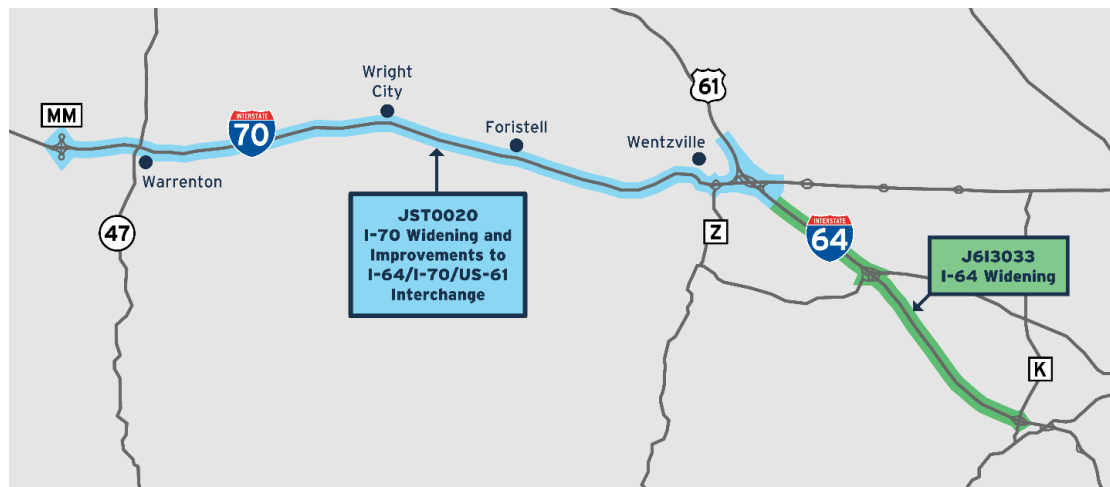
FORMS

- Form DB-101 – Major Participant Information
- Form DB-102 – Reference Project Summary
- Form DB-103 – Resume Summary
- Form DB-104 – Receipt of Addenda
- Form DB-105 – Statement of Existence of Organizational Conflicts
- Form DB-110 – Commitment of Key Personnel
- Form DB-802 – Debarment, Suspension, Ineligibility, and Voluntary Exclusion



1 INTRODUCTION

The Missouri Department of Transportation (MoDOT) is soliciting Statements of Qualifications (SOQs) from entities (Submitters) interested in providing design-build services for the Improve I-70 Program (Project), on I-70 from Route MM in Warren County to I-64 in St. Charles County, plus I-64 from I-70 to Route K in St. Charles County. This Project is designated as Job Nos. JST0020 and J613033.



1.1 Project Description

Interstate 70 is the primary east-west corridor in Missouri, directly linking cities of Kansas City and St. Louis. Fully operational and open to traffic in 1966, I-70 has seen a 487% increase in average annual daily traffic – with no significant geometric improvements to the corridor in that period. The Improve I-70 Program will revitalize I-70 with the following guiding Program Goals:

- Provide a third lane of travel to eastbound and westbound Interstate 70 from Blue Springs to Wentzville.
- Improve the interstate while modernizing the existing pavement and bridges through innovation.
- Increase the efficiency of freight movements along Interstate 70.
- Minimize construction impacts with a focus on work zone safety, communications, and construction staging.
- Expand a diverse workforce through the creation of jobs.

MoDOT intends to make improvements to the I-70 corridor from Route MM in Warren County to I-64 in St. Charles County. The improvements include actions to expand I-70 to three through lanes in each direction; reconstruct or rehabilitate existing pavement and bridges; improve traffic operations, geometrics, and safety; improve mobility along and across the corridor for freight and vulnerable road users; and make improvements to the interchanges of the I-70 corridor. The Project will also expand I-64 to three through lanes in each direction from I-70 to Route K and improve the I-64/I-70/US-61 interchange.

The Project will be built in accordance with the I-70 Environmental Impact Study (EIS)



and the approved Record of Decision (ROD) that defines the environmental impacts and required mitigation for the Project. The I-70 Section of Independent Utility 7 (SIU 7) is currently being re-evaluated. Improvements to I-64 will be documented with a Programmatic Categorical Exclusion (PCE).

The successful Proposer will be responsible for management, design, construction, Quality Assurance and Quality Control of the project. It is anticipated that the Proposer will identify and secure a project office within the State of Missouri and within reasonable proximity to the jobsite to co-locate with the MoDOT design-build staff.

1.2 Project Goals

The following prioritized goals have been established for the project:

1. Deliver the project within the program budget.
2. Provide an additional lane of travel in each direction on I-70 from Warrenton to I-64 and on I-64 from I-70 to Route K.
3. Improve the I-70/I-64/US 61 interchange to provide for better traffic flow and safety.
4. Modernize I-70 while improving the existing pavement, bridges, and interchanges to enhance mobility, including freight.
5. Minimize construction impacts with a focus on work zone safety, communication and construction staging while maintaining mobility.
6. Complete project by December 1, 2028 utilizing a diverse workforce.

1.3 Estimated Cost and Maximum Time Allowed

The total program budget is \$565 million including the design-build contract, Right of Way acquisitions, Utility reimbursements, stipend payments, and other miscellaneous project management expenses. The estimated fixed cost design-build contract budget is \$535 million. The Project must be safely opened to traffic and all activities completed prior to December 1, 2028.



2 REQUEST FOR QUALIFICATIONS (RFQ) PROCESS

2.1 Two-Phased Design-Build Procurement Process

MoDOT will use a two-phase procurement process to select a design-build contractor to deliver the Project. This Request for Qualifications (RFQ) is issued as part of the first phase to solicit information, in the form of SOQs, that MoDOT will evaluate to determine which Submitters are the most highly qualified to successfully deliver the Project. MoDOT will short list at least two (if any) but not more than five most highly qualified Submitters.

In the second phase, MoDOT will issue a Request for Proposals (RFP) for the Project to the short-listed Submitters. Only the short-listed Submitters will be eligible to submit proposals for the Project. Each short-listed Submitter that submits a proposal in response to the RFP is referred to herein as a Proposer. MoDOT will award a design-build contract (if any) for the Project to the Proposer offering the best value, to be determined as described in the RFP.

2.2 Submitter Information

If an entity intends to submit a proposal as part of a team, the entire team is required to submit a single SOQ as a single Submitter.

MoDOT may disqualify a Submitter if any of its Major Participants (as defined in Section 6.1) belong to more than one Submitter organization.

MoDOT may disqualify a Submitter that violates the Disadvantaged Business Enterprise (DBE) exclusivity referred to in Section 6.9.

2.3 Procurement Schedule

Item	Date
Issue RFQ	May 31, 2024
Deadline for submitting RFQ questions	June 14, 2024 (2:00pm CDT)
Final responses to questions posted & Final RFQ Addendum issued	June 21, 2024
SOQ due	June 28, 2024 (2:00pm CDT)
MoDOT notifies short listed Submitters	July 8, 2024
Issue RFP	July 10, 2024
Final Proposal and Price Allocation due	October 17, 2024 (2:00pm CDT)
Selection of Apparent Best Value	November 7, 2024



3 SOQ CONTENTS AND EVALUATION CRITERIA

This section describes specific information that must be included in the SOQ and addresses the evaluation criteria that MoDOT shall utilize.

3.1 Organization of SOQ

The SOQ shall be organized as follows:

- Cover Letter
- Title Page
- Table of Contents
- Part 1 – Administrative Elements (Pass/Fail)
- Part 2 – Submitter Experience (60 Points)
- Part 3 – Key Personnel and Organization (40 Points)

3.2 Cover Letter

A one-page cover letter shall be included in the SOQ. The cover letter shall identify the lead organization and primary members of the team. Primary members include the design team and contractor. Name the entity with whom MoDOT will be contracting and identify if this will be a partnership, corporation, joint venture, etc. If a joint venture, name the person who has authority to sign the contract on behalf of the joint venture. Provide contact name, mailing address, phone number and e-mail address for contacting entity.

3.3 Part 1 – Administrative Elements (Pass/Fail)

Submittal Requirements:

- Form DB-104: Receipt of Addenda
- Form DB-105: Conflict of Interest (including a letter describing such conflicts if they exist)
- Form DB-802: Debarment, Suspension, Ineligibility, and Voluntary Exclusion

Evaluation Criteria:

The information on the completed forms submitted in Part 1 will be evaluated on a pass/fail basis.



3.4 Part 2 – Submitter Experience (60 Points)

Submittal Requirements:

- An executive summary not to exceed four pages covering the Submitter's demonstrated recent experience and relevance to the Project in the following areas:
 - **Design:** The Submitter shall provide specific examples of their relevant experience with the design of freeways and arterials within mixed urban and rural corridors with characteristics similar to this Project. Demonstrate relevant experience of Submitter's ability to utilize expertise to improve the reliability and safety of interstate and arterial facilities while managing traffic impacts. Illustrate examples of effective partnering with owners and contractors.
 - **Construction:** The Submitter shall provide specific examples of its ability to successfully deliver similar projects and of its ability to generate and implement innovative ideas to deliver quality, be on or ahead of schedule, and proactively resolve challenges. The Submitter shall include previous tools used to mitigate traffic impacts during construction by using innovative approaches to Maintenance of Traffic. The Submitter shall provide examples of successful transition of traffic during construction on completed projects. Illustrate examples of effective partnering with owners, consultants, local businesses, and residents where communication about each phase of construction leads to the success of the Project.
 - **Approach to Ensuring Safety:** The summary should include every Major Participant's safety record including lost time incidents, OSHA recordables, and fatalities in the last five years and examples of successfully implemented Safety Management Programs or innovations.
 - **Quality Management Program:** The Submitter shall provide specific examples of quality programs for previous projects of similar size and complexity to this project that demonstrate its ability to develop, implement, and maintain a Quality Management Program throughout all phases of the project while acting independent of production.
 - **Railroads and Utility Interaction:** Describe previous efforts to mitigate and minimize impacts to and work successfully with the railroads and Utility companies. Submitters shall highlight specific examples of close coordination with railroads and utilities.
 - **DBE Utilization and Workforce Diversity:** Describe how the Submitter intends to utilize DBEs to meet or exceed the DBE participation goals of 13% construction activities and 14% professional services and provide examples of past performance. Describe how the Submitter intends to effectively maintain a diverse workforce by meeting or exceeding the construction workforce goals of 14.7% minorities and 6.9% female and provide examples of past performance.
- Form DB-101: Major Participant Information. One form is to be completed for each Major Participant, as described in Section 6.1.
- Form DB-102: Reference Project Summary. One summary is to be completed for



each reference project described in the Submitter experience executive summary. Each summary is limited to a maximum of two pages. A maximum of four reference projects can be described for each Submitter. For ease of comparison, this form is not to be modified.

Evaluation Criteria:

Part 2 will be evaluated based on Submitter's demonstrated performance on recent projects and the quality and completeness of the submittal requirements for this section. Specifically, MoDOT will evaluate the Submitter experience based on:

- Use of innovative approaches to deliver a project within budget, such as proposing and implementing construction efficiencies, Value Engineering Change Proposals, Additional Applicable Standards, Alternative Technical Concepts, and the successful delivery of Alternative Contracting Projects.
- Recent safety history and company safety results.
- Past performance in developing and implementing a Quality Control and Quality Assurance program on a major transportation project that can promptly identify and correct deficiencies.
- Implementation of innovative traffic handling plans on a project to minimize and mitigate construction impacts to customers.
- Past performance for both construction and professional services on delivering projects with a diverse team including mentorship of DBEs and workforce, and apprenticeship graduation rates.
- Recent experience delivering projects on or ahead of schedule.
- Demonstrated ability to resolve unexpected challenges encountered during similar projects.
- Experience delivering major roadway construction projects effectively and efficiently.
- Experience designing and constructing geometric improvements at interchanges and intersections.
- Experience in enhancing roadway safety and traffic operation reliability utilizing a data-driven approach.
- Experience coordinating with owners, local governments, railroads, utility companies, and other organizations to achieve mutually agreeable results.



3.5 Part 3 – Key Personnel and Organization (40 Points)

Each Submitter shall identify the experience, responsibilities and past achievements of the Key Personnel listed below.

Key Personnel identified in the SOQ, including any “Additional Key Personnel”, may not be removed, replaced, or added without written approval of the MoDOT Project Director.

Submitters should define the following positions as Key Personnel:

- **Project Manager** – The Project Manager is responsible for all aspects of the Project, including but not limited to, overall design, construction, quality management, contract administration, safety, DBE and workforce diversity, and environmental compliance. The Project Manager should have at least ten years of recent and relevant experience managing the design and construction of major transportation networks. The Project Manager shall have full authority to make the final decisions on behalf of the Proposer and have responsibility for communicating these decisions directly to MoDOT’s Project Director. The Project Manager should have an understanding of Project Partnering and hold Safety and Quality in the highest regard. The Project Manager must be assigned to the Project full time for the duration of the Project.
- **Quality Manager** – The Quality Manager’s responsibilities include, but are not limited to, creation and execution of the Submitter’s quality program, quality personnel, assurance activities independent of production, enforcement of quality procedures, and documentation of quality records including design documentation, construction quality and testing, environmental compliance, erosion control, and DBE/labor compliance. The Quality Manager should have at least five years of recent and relevant experience developing, implementing, and overseeing quality programs on heavy civil construction work. The Quality Manager shall report directly to the Submitter’s executive management team. The Quality Manager shall not be the Project Manager. The Quality Manager may assign a designee to be a Design Quality Manager during the design phase. The Quality Manager shall be assigned to the Project full time and shall be required to be on Site for all activities.
- **Design Manager** – The Design Manager is responsible for ensuring all aspects of the design of the Project are met including the contract requirements. The Design Manager should have at least seven years of recent and relevant experience managing the design of major transportation networks including roadways, structures, interchanges, and traffic operations and safety. The Design Manager must be a registered professional engineer in the State of Missouri or must have registration pending at the time of SOQ submittal and be registered for the duration of the project. The Design Manager shall be assigned to the Project full time when design activities are being performed. During construction, the Design Manager shall be readily available for on-site consultation.
- **Maintenance of Traffic Manager** – The Maintenance of Traffic Manager shall be responsible for ensuring the safe and efficient movement of people, goods, and services through and around the Project while minimizing impacts to commuters, local residents, and businesses including effectively



communicating traffic staging and construction impacts. The Maintenance of Traffic Manager shall oversee all elements of traffic control including signal timing adjustments. The Maintenance of Traffic Manager should have at least five years of recent and relevant experience managing or developing detailed Traffic Control Plans. The Maintenance of Traffic Manager shall be on Site for the duration of the Project.

Submittal Requirements:

- An executive summary of Key Personnel, not to exceed two pages describing the Key Personnel's qualifications and recent specialized experience related to the Project. This summary should include any experience the Key Personnel has with the design and construction of Highway projects, cost-saving innovations, and delivering projects on or ahead of schedule.
- Provide the organizational style of the team and indicate how the structure has proven the Submitter's ability to meet or exceed the goals. Include a description of overall team makeup, and summary of agreement if a Joint Venture.
- Form DB-103: Résumé Summary
- Form DB-110: Commitment of Key Personnel
- Résumés for the Key Personnel and up to two additional key personnel are to be included. Each résumé is limited to 1 page and shall include 2 owner references by providing a contact name and phone number. MoDOT reserves the right to contact references identified in the résumés.

Evaluation Criteria:

Part 3 will be evaluated based on Submitter's ability to meet or exceed the Project goals and the quality and completeness of their Submittal. MoDOT will evaluate the Submitter's:

- Relevance of tasks performed by Key Personnel on resumes for reference projects.
- The roles and responsibilities of each primary team member. The functional structure, levels of management, and reporting relationships for primary members and major functions to be performed in managing, designing, and constructing the Project.
- Experience in utilizing innovative approaches to deliver a project within budget.
- Experience in maximizing and ensuring safety on a project.
- Experience in design and construction of structures, interchanges, freeways, and arterials in a mix of rural and urban corridors.
- Experience in design and implementation of innovative traffic handling plans on a project.
- Experience coordinating with utilities and railroads.
- Experience in achieving workforce diversity and DBE goals.
- Experience in delivering projects on or ahead of schedule.



4 SUBMITTAL REQUIREMENTS

4.1 Format

The SOQ must be formatted for 8.5" x 11" paper. Charts and other graphical information may be formatted for 11" x 17" paper. Use of 11" x 17" format shall be limited to a maximum of two pages. Minimum font size is 11-point. However, 10-point text may be used within graphs or tables.

4.2 Due Date and Quantities

The SOQ must be submitted by 2:00 pm, Central Daylight Time, on the date shown in Section 2.3. MoDOT accepts no responsibility for misdirected or lost Submittals. One (1) electronic copy in PDF format of the RFQ response is to be submitted to the Project Director by email as shown in Section 6.3. Hard copies will not be accepted. The Project Director will provide the Submitter with a SOQ receipt after receiving the Submitter's SOQ e-mail.

4.3 Page Limits

The maximum number of pages is shown in the following table:

Section	Max Pages
Cover Letter	1
Title Page	1
Table of Contents	1
Part 1 – Administrative Elements	
Form DB-104: Receipt of Addenda	1
Form DB-105: Organizational Conflicts	As needed
Form DB-802: Debarment, Suspension, Ineligibility, and Voluntary Exclusion	As needed
Part 2 – Submitter Experience	
Executive Summary	4
Form DB-101 Major Participant Information	As needed
Form DB-102 Reference Project Summary	8
Part 3 – Key Personnel Experience	
Executive Summary	2
Form DB-103 Resume Summary	1
Form DB-110: Commitment of Key Personnel	6 12
Resumes	6

Dividers between sections of the SOQ are not counted.





5 EVALUATION PROCESS

All responses will be evaluated according to 7 CSR 10-24.030.

Part 1 will be evaluated on a pass/fail basis. Part 1 shall be evaluated first. If a SOQ fails Part 1, the remainder of the SOQ will not be evaluated.

Part 2 will be evaluated based on a possible 60 points.

Part 3 will be evaluated based on a possible 40 points.

MoDOT is restricted to short-listing no more than five teams; therefore the scoring was intentionally crafted to differentiate between what is anticipated to be many highly qualified teams. MoDOT will use the ratings below to determine a maximum of five most highly qualified teams and/or demonstrate a significant separation between the most highly qualified and those meeting qualifications. The ratings to be used during the short-listing process are as follows:

Rating	Description
Exceptional +/-	The Submitter has demonstrated an approach that is considered to significantly exceed stated requirements/objectives and provides a consistently outstanding level of quality. There is very little or no risk that the Submitter would fail to meet the requirements of the RFP. There are essentially no weaknesses.
Good +/-	The Submitter has demonstrated an approach that is considered to exceed stated requirements/objectives and offers a generally better than acceptable quality. There is little risk that the Submitter would fail to meet the requirements of the RFP. Weaknesses, if any, are minor.
Acceptable +/-	The Submitter has demonstrated an approach that is considered to meet the stated requirements/objectives and has an acceptable level of quality. The Submitter demonstrates a reasonable probability of meeting the requirements of the RFP. Weaknesses are minor.
Unacceptable +/-	The Submitter has demonstrated an approach that is considered to fail to meet the stated requirements/objectives and/or provides unacceptable quality and/or demonstrates no reasonable likelihood of meeting the requirements of the RFP and/or contains weaknesses that are so major and/or extensive that a major revision to the SOQ would be necessary.



6 GENERAL INFORMATION

6.1 Major Participant Definition

The term Major Participant is defined as any of the following entities:

- All general partners or joint venture members of the Submitter; all individuals, persons, partnerships, limited liability partnerships, corporations, limited liability companies, business associations, or other legal entities, however organized, directly or indirectly holding an equity interest in the Submitter.
- The lead engineering/design firm(s).
- Each subcontractor that will perform work valued at 20% or more of the construction work.
- Each sub-consultant that will perform 20% or more of the professional services.

Major Participants identified in the SOQ may not be removed, replaced, or added without written approval of MoDOT Project Director. Written request must document the proposed change and demonstrate that the change will be equal to or better than the Major Participant submitted in the SOQ.

6.2 Stipend

No stipends will be paid for submitting a SOQ. MoDOT will pay a stipend to the responsible Proposer(s) that provide a fully responsive, but unsuccessful, Proposal. The anticipated stipend is \$1,500,000.00 and shall be provided to such Proposers(s) as early as 15 days after MoDOT determines the apparent successful Proposer. Unsuccessful Proposers shall sign a Proposal release statement, releasing their Proposals to receive their stipend within 30 days. If the Proposal Release Statement is not signed within 30 days, the Stipend shall be forfeited.

If the successful Proposer and MoDOT cannot successfully negotiate a contract and MoDOT negotiates an agreement with one of the unsuccessful Proposers, that Proposer's stipend shall be returned to MoDOT.

6.3 Communications

MoDOT's Project Director, Kim Trainor, is MoDOT's sole contact person for receiving all communications regarding the project and procurement thereof. Each Submitter is also solely responsible for providing a single contact person. Email is the preferred method of communication for the Project.

Kim Trainor, P.E.
Improve I-70 East Project Director
Missouri Department of Transportation
830 MoDOT Drive
65102, Jefferson City, MO
Kimberly.Trainor@modot.mo.gov



During the Project procurement process, commencing with issuance of this RFQ and continuing until award of a contract for the Project (or cancellation of the procurement), no employee, member, or agent of any Submitter shall have ex parte communications regarding this procurement with any member of MoDOT or the Federal Highway Administration, their advisors, or any of their contractors or consultants involved with the procurement, except for communications expressly permitted by this RFQ. Any Submitter engaging in such prohibited communications may be disqualified at the sole discretion of MoDOT's Project Director. However, communication is allowed with local entities, utility companies, railroads, regulatory agencies, and the general public.

6.4 Questions and Clarifications; Addenda

Questions and requests for clarification regarding this RFQ must be submitted to MoDOT's Project Director. To be considered, all questions and requests must be received by 2:00 pm, CDT on the date indicated in Section 2.3. Questions, requests for clarifications, and responses will be posted on the project web site. Submitter's names will not be identified.

MoDOT reserves the right to revise this RFQ at any time before the final RFQ Addendum date listed in Section 2.3. A link to any addenda will be posted on the Project web site, <https://www.modot.org/improvei70/warrentonwentzville>.

6.5 Confidentiality

Documents submitted pursuant to this RFQ will be subject to the Missouri Public Records Act, (§§ 610.010, et.seq., RSMo). Information clearly marked as confidential and proprietary will be kept confidential by MoDOT, unless otherwise provided by law. MoDOT will notify the Submitter if a request is made for such information, and the denial is challenged, so that the Submitter may take any action it deems necessary to defend the challenge. The Submitter shall be the entity responsible for defending against the Missouri Public Records Act disclosures for any records claimed by the Submitter to be confidential and proprietary.

6.6 Organizational Conflicts of Interest

MoDOT has developed guidance to identify and remedy organizational conflicts of interest (COI) that may arise from design-build projects. Pursuant to 23 CFR 636.116, consultants and sub-consultants who assist MoDOT in the preparation of an RFP document are not allowed to participate on a Submitter's team. Submitter must provide to MoDOT, via DB-105 form as defined in Section 3.3, information regarding all potential organizational conflicts of interest in its proposal, including all relevant facts concerning any past, present, or currently planned interests which may present an organizational conflict of interest, as required by 23 CFR 636.116. MoDOT's Assistant Chief Engineer has sole discretion to make a final determination on a case-by-case basis whether a COI exists and the action(s) that must be taken to resolve, mitigate, or avoid the COI.

MoDOT may disqualify a Submitter if any of its Major Participants (as defined in Section 6.1) belong to more than one Submitter organization.



A firm is ineligible to submit a proposal, in either a prime or sub-consultants' role, if it assists in the development of the scope of work, the RFQ, or the RFP. The following firms have been declared ineligible for this Project.

- HDR Engineering, Inc.
- Hg Consult, Inc.
- TREKK Design Group
- Wilson & Company, Inc., Engineers & Architects
- **Kivindyo Engineering Services, LLC**



6.7 Equal Employment Opportunity

The Submitter will be required to follow Federal Equal Employment Opportunity (EEO) policies.

MoDOT will ensure that in any contract entered into pursuant to this advertisement, business owned and controlled by socially and economically disadvantaged individuals will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, religion, creed, sex, age, sexual orientation, ancestry, or national origin in consideration for award.

The Commission has set a workforce goal of 14.7% minority and 6.9% female per craft.

6.8 Disadvantaged Business Enterprises

The anticipated Disadvantaged Business Enterprise (DBE) goals for the project are:
13% construction activities **14%** professional services

To ensure a healthy contracting environment for DBE contractors and consultants, a DBE can only be exclusive with one Submitter if they meet the requirements of a Major Participant as defined in Section 6.1, or with written permission from MoDOT's Project Director.

It is the policy of MoDOT that DBEs, as defined in 49 CFR Part 26, and other small businesses shall have the opportunity to compete fairly in contracts financed in whole or in part with public funds. Consistent with this policy, MoDOT will not allow any person or business to be excluded from participation in, denied the benefits of, or otherwise be discriminated against in connection with the award and performance of any U.S. Department of Transportation assisted contract because of sex, race, religion, or national origin.

MoDOT has implemented the Unified Certification Program and has formed the Missouri Regional Certification Committee (MRCC). DBE firms will need to be certified with MRCC. The MRCC DBE Directory is at the following web site:

<https://www.modot.org/dbe-program>



7 PROTEST PROCEDURES

A Submitter may protest the results of the above-described evaluation and qualification process by filing a written notice of protest by hand delivery or courier to the Director of MoDOT, with a copy to the MoDOT Project Director, and other Submitter(s). The notice of protest shall specifically state the grounds of the protest.

Notice of protest of any decision to accept or disqualify an SOQ on responsiveness grounds must be filed within five calendar days after the earliest of notification of non-responsiveness or the public announcement of short listing. Notice of protest of the decision on short listing must be filed within five calendar days after the public announcement of short listing.

Within seven calendar days of the notice of protest, the protesting Submitter must file with the Director of MoDOT, with a copy to MoDOT Project Director and other Submitter(s), a detailed statement of the grounds, facts, and legal authorities, including all documents and evidentiary statements, in support of the protest. Evidentiary statements, if any, shall be submitted under penalty of perjury. The protesting Submitter shall have the burden to prove that the decision of MoDOT was arbitrary and capricious.

Failure to file a notice of protest or a detailed statement within the applicable period shall constitute an unconditional waiver of the right to protest the evaluation or qualification process and decisions thereunder, other than any protest based on facts not reasonably ascertainable as of such date.

Other Submitters may file by hand delivery or courier to the Director of MoDOT, with a copy to the MoDOT Project Director, a statement in support of or in opposition to the protest. Such statement must be filed within seven calendar days after the protesting Submitter files its detailed statement of protest. MoDOT will promptly forward copies of any such statements to the protesting Submitter.

Unless otherwise required by law, no evidentiary hearing or oral argument shall be provided, except, in the sole and absolute discretion of the Director of MoDOT, a hearing or argument may be permitted if necessary for protection of the public interest or an expressed, legally recognized interest of a Submitter or MoDOT. The Director of MoDOT or his designee will issue a written decision regarding the protest within 30 calendar days after MoDOT receives the detailed statement of protest or any allowed (discretionary) evidentiary hearing or oral argument. Such decision shall be final and conclusive. The Director of MoDOT or his designee will deliver the written decision to the protesting Submitter and copies to the other Submitters.

If a notice of protest regarding responsiveness is filed prior to release of the draft RFP, MoDOT may proceed with issuance of the draft RFP before the protest is withdrawn or decided, unless the Director of MoDOT or his designee determines, in his or her sole discretion, that it is in the public interest to postpone issuance of the draft RFP. Such a determination shall be in writing and shall state the facts on which it is based.

If the Director of MoDOT or his designee concludes that the Submitter filing the protest has established a basis for protest, the Director or his designee will determine what remedial steps, if any, are necessary or appropriate to address the issues raised in



the protest. Such steps may include, without limitation, withdrawing or revising the decisions, issuing a new request for qualifications, or taking other appropriate actions.

7.1 Costs and Damages

All costs of a protest shall be the responsibility of the protestor and undertaken at the protestor's expense. In addition, if the protest is denied, the Submitter filing the protest may be liable for MoDOT's costs reasonably incurred resulting from the protest. MoDOT shall not be liable for damages to the Submitter filing the protest nor to any participant in the protest, on any basis, express or implied.

Form DB-101
MAJOR PARTICIPANT INFORMATION



rev 5/2024

Design-Build Project: Improve I-70 – Warrenton to Wentzville

Submitter (Team) Name:

Company Attribute	Value / Information
Company Name:	
Company Address:	
Company Phone:	
Company Fax:	
Contact Name:	
Contact Phone:	
Contact E-mail:	
Year Established:	
Federal Tax ID:	
Organization:	<input type="checkbox"/> Corporation <input type="checkbox"/> Joint Venture <input type="checkbox"/> Partnership <input type="checkbox"/> Other
State of Incorporation: (if applicable)	

Form DB-101
MAJOR PARTICIPANT INFORMATION



rev 5/2024

Under penalty of perjury, I certify that:

- I am the company's Official Representative;
- The company is either prequalified to perform work as a consultant or contractor for MoDOT or is able to obtain prequalification status prior to submitting a Proposal;
- To the best of my knowledge and belief, following reasonable inquiry, the information submitted in this SOQ is true and correct.

[to be signed by authorized signatory or signatories of the Proposer and each Major Participant]

[Proposer or Major Participant's Name]

Signature

Date

Typed Name

Title



INCUMBENCY CERTIFICATE:

THE UNDERSIGNED HEREBY CERTIFIES THAT HE/SHE IS THE DULY ELECTED AND ACTING _____ SECRETARY OF _____ ("COMPANY"), AND THAT, AS SUCH, HE/SHE IS AUTHORIZED TO EXECUTE THIS INCUMBENCY CERTIFICATE ON BEHALF OF THE COMPANY, AND FURTHER CERTIFIES THAT THE PERSONS NAMED BELOW ARE DULY ELECTED, QUALIFIED, AND ACTING OFFICERS OF THE COMPANY, HOLDING ON THE DATE HEREOF THE OFFICES SET FORTH OPPOSITE THEIR NAMES.

<u>NAME</u>	<u>OFFICE</u>
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

IN WITNESS WHEREOF, THE UNDERSIGNED HAS EXECUTED THIS INCUMBENCY CERTIFICATE:

[Proposer or Major Participant's Name]

By:

Signature

Typed or Printed Name

SECRETARY

Title

Date

Addendum 01

Form DB-102 REFERENCE PROJECT SUMMARY



rev 6/2024

Design-Build Project: Improve I-70 – Warrenton to Wentzville
Submitter (Team) Name:



Project Information

Reference Project Name:

Contact Name:

Contact Address:

Contact Phone:

Original Project Budget:

Final Project Cost:

Type of Project: Design-Build Design Construction

Project Start Date:

Project End Date:

DBE Information

Workforce Diversity Female Goal:

Workforce Diversity Female Actual:

Workforce Diversity Minority Goal:

Workforce Diversity Minority Actual:

Were the Workforce Diversity Goals per Craft met? Yes No

If no, detail the efforts made to be in compliance:

MAJOR PARTICIPANTS INVOLVED IN REFERENCE PROJECT

MAJOR PARTICIPANT	ROLE	DESCRIPTION OF WORK PERFORMED	PERCENTAGE OF WORK

PERSONNEL INVOLVED IN REFERENCE PROJECT

List only individuals who are Key Personnel

Name (First Last)

Role Performed

Description of Work

**Form DB-102
REFERENCE PROJECT SUMMARY**



rev 6/2024

Description of Project:

**Form DB-103
RESUME SUMMARY**



rev 5/2024

Design-Build Project: Improve I-70 – Warrenton to Wentzville

Submitter (Team) Name:

Key Personnel Title ¹	Name (Last, First)	Employer's Firm Name	Year of Experience	% Assigned to Project during Design Phase	% Assigned to Project during Construction Phase	On Site (Y/N)

¹ Proposer may define additional Key Personnel as specified in the Request for Qualifications.

Form DB-104
RECEIPT OF ADDENDA



rev 5/2024

Design-Build Project: Improve I-70 – Warrenton to Wentzville

Submitter (Team) Name:

Addendum Number:	Dated:

The undersigned acknowledges receipt of the addenda as indicated above for:

- Request for Qualifications
- Request for Proposals
- Other _____

Signature

Date

Typed Name

Title

**Form DB-105
CONFLICT OF INTEREST**



rev 5/2024

Design-Build Project: Improve I-70 – Warrenton to Wentzville

Submitter (Team) Name:

As required by 23 CFR 636.116 and 7 CSR 10-24.080, the Submitter must provide to MoDOT information regarding all potential organizational conflicts of interest in its proposal, including all relevant facts concerning any past, present or currently planned interests which may present an organizational conflict of interest.

Conflicts:

If there are such conflicts, the information should be attached in a letter addressed to Project Director. The undersigned acknowledges any potential organizational conflicts of interests have been spelled out in an attached letter.

Signature

Date

Typed Name

Title

No Conflicts:

The undersigned acknowledges there are no potential organizational conflicts of interests.

Signature

Date

Typed Name

Title

Form DB-107
REQUESTS FOR CLARIFICATION – RFQ PHASE



Design-Build Project: Improve I-70 – Warrenton to Wentzville

Responses will be provided in writing and will be posted on the project website.

FOR MODOT USE ONLY

Item Number	RFQ Section	Topic	Comment/Question	Response (Enter a Response, "Moved to Master", or "Withdrawn")	Date Closed	Will change be reflected in future addendum? (Y/N)
1						
2						
3						
4						
5						
6						

Add additional lines as needed.

Design Build Project: Improve I-70 – Warrenton to Wentzville

Submitter (Team) Name:

Section 1:

(Please Check the Appropriate Box)

1. I am assigned to an existing Design-Build project with MoDOT:

- Yes
- No

2. I am pursuing a Design-Build procurement for other MoDOT projects:

- Yes
- No

3. I am assigned to or pursuing a Design-Build project for another Entity:

- Yes
- No

If the Key Personnel answered “No” to question 1, 2, and 3, please skip Section 2 and proceed to signature. If this Key Personnel answered “Yes” to question 1, 2, or 3 continue to Section 2.

Section 2:

Key Personnel shall describe their current contractual commitment on existing Design-Build Projects, and their strategy to managing multiple responsibilities on multiple Design-Build Projects where their commitment may overlap. Key Personnel should also include their proposed replacement if a conflict arises between contractual commitments on projects currently in design-build procurement.



Section 2 Continued (if needed):

[Empty box for text entry]

Signature

Date

Typed Name

Title

**Form DB-802
DEBARMENT, SUSPENSION, INELIGIBILITY,
AND VOLUNTARY EXCLUSION CERTIFICATE**



5/2024

Design-Build Project: Improve I-70 – Warrenton to Wentzville

Submitter (Team) Name:

The undersigned, under penalty of perjury, certifies that, except as noted below, he/she or any other person associated therewith in the capacity of owner, partner, director, officer, or manager:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency.
- Has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years.
- Does not have a proposed debarment pending.
- Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any manner involving fraud or official misconduct within the past 3 years.
- Has not within the past 3 years had one or more public transactions (federal, state or local) terminated for cause or default.

If there are any exceptions to this certification, insert the exceptions in the following space.

[Insert exceptions, if any]

Exceptions will not necessarily result in denial of Award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Note: Providing false information may result in criminal prosecution or administrative sanctions.

[To be signed by authorized signatory or signatories of the Proposer and each Major Participant]

[Proposer or Major Participant's Name]

By:

Signature

Typed or Printed Name

Title

Date