## Federal Project #TAP3453(404) County Project #8902013

## JACKSON COUNTY, MISSOURI INVITATION TO BID NO. 24-098

## FOR CONSTRUCTING/ IMPROVING

The Little Blue Trace/Rock Island Connector Trail Location: Kansas City, Jackson County, MO

## ADVERTISEMENT FOR BIDS

**JACKSON COUNTY, MISSOURI** 

## LITTLE BLUE TRACE/ROCK ISLAND CONNECTOR TRAIL COUNTY PROJECT NUMBER 8902013 – COUNTY BID NUMBER ITB 24-098 FEDERAL PROJECT NO. TAP3453(404)

Bid documents will be available on March 5, 2025 for the "LITTLE BLUE TRACE/ROCK ISLAND CONNECTOR TRAIL" in Jackson County, Missouri Invitation to Bid No. 24-098 on Bonfire. All bids must be submitted on-line through the Bonfire Portal at <a href="https://jacksongov.bonfirehub.com">https://jacksongov.bonfirehub.com</a> prior to 2:00 PM on April 1, 2025 Bids submitted by any other method will not be accepted. Please Note: all submissions must be uploaded to the Bonfire Portal by 2:00 PM on April 1, 2025. Failure to do so will result in the rejection of your bid, as the software does not accept lates entries. However, if you submit your bid early, you can edit it up until the 2:00 PM deadline on April 1, 2025.

Please Note: If bid documents are obtained by the Bidder from a source other than Bonfire, it will be the Bidder's responsibility to verify all due dates and pertinent information (Response Deadline, Question Deadline, Intent to Bid Deadline, and all Addendums) in the Bonfire Portal.

**Project Location**: Kansas City, Jackson County, MO between State Route 350/Blue Parkway and Brickyard Road.

**Proposed Work Description**: Construction of a 10' wide concrete non-motorized transportation facility extending northeast between State Route 350/Blue Parkway and Brickyard Road. Improvements will include concrete pavement, micro surfacing, pavement repair, signing and striping, erosion control, fencing, storm drainage, grading, slope stabilization, rock base, and appurtenances.

**Contractor Prequalification**: All bidders must be on MoDOT's Qualified Contractor List per Section 102.2 from the current version of the Missouri Standard Specifications for Highway Construction, 2023 – Effective July 1, 2023. The contractor questionnaire must be on file seven (7) days prior to bid opening.

**Prevailing Hourly Rate of Wages**: All labor used in the construction of this public improvement shall be paid a wage no less than the prevailing hourly rate of wages of work of a similar character in this locality as established by the Department of Labor and Industrial Relations (Federal Wage Rate), or state wage rate, whichever is higher.

**Affirmative Action**: Jackson County hereby notifies all Bidders that it will affirmatively ensure that for any Contract entered into pursuant to this advertisement, disadvantaged, minority and women business enterprises will be afforded full opportunity to submit bids in response to this invitation, and will not be discriminated against on the grounds of race, color, gender or national origin, in consideration for an award. An <u>8% DBE</u> Goal is a part of this contract, (See DBE Submittal Forms and DBE Provisions 1-14). All DBE's to be used on this project must be certified and contained in the MRCC DBE Directory at the time of the bid opening (https://www.modot.org/mrcc-directory).

**Subletting of Contract:** No 2nd Tier subcontracting will be allowed on this project as per Special Condition SC-37 Assignment and Subletting of Contract.

**Safety Training**: Contractors and sub-contractors who sign a contract to work on public works project provide a 10-Hour OSHA construction safety program, or similar program approved by the Department of Labor and Industrial Relations, to be completed by their on-site employees within sixty (60) days of beginning work on the construction project.

**Bid Submittal Procedure:** The Bid must be submitted electronically on the Bonfire Portal. Each bid must include:

- 1) the completed bidding documents;
- 2) a Cashier's Check drawn on an acceptable bank, or an acceptable bid bond, in an amount not less than five (5) percent of the total amount of the bid (all surety bid bonds, performance bonds and surety labor and material bonds must be with companies listed in the Department of the Treasury, Federal Register). Bidder may upload a copy of the check and/or bond and submit the origin copy to the Purchasing Department, Jackson County Courthouse, 415 East 12<sup>th</sup> Street, Room G-1, Kansas City, Missouri either before or right after the Response Deadline (if submitting original copy after Response Deadline a copy must be submitted with your bid) and,
- 3) a completed Compliance Report Form with current (issued within the last 12 months) Jackson County Certificate of Compliance. Failure to complete this report or attach a current certificate as outlined above may result in the rejection of the bid.

Bids must be submitted on-line through the Bonfire Portal at https://jacksongov.bonfirehub.com. Bids submitted by any other method will not be accepted.

**Question Procedure**: All questions regarding this Invitation to Bid must be communicated electronically through the Bonfire Portal via the Opportunity Q&A on the Invitation to Bid. The Question Deadline is 2:00 PM on March 25, 2025.

**Tax Exempt:** Jackson County is a tax-exempt entity under 144.062, Revised Statutes of Missouri and will issue the Contractor and Subcontractors an exemption certificate. For information contact Compliance Review Officer at (816) 881-3302. Bidders are advised to read Jackson County Ordinance #4297 in the appendix of the Contract Documents, as well as Jackson County Code Section 1072, enacted by the Jackson County Legislature February 28, 2011.

**Bid Award:** The Project will be awarded to the lowest, responsive, responsible bidder. The Bidder is hereby notified of a County requirement related to paying wage rates for certain delivery truck drivers. Bidders are advised to read Jackson County Ordinance #4465 in the Appendix of the Contract Documents as well as Jackson County Code Section 1072, enacted by the Jackson County Legislature October 15, 2012.

The successful Bidder, as a condition of the award of this contract must have a surety company which (1) meets the minimum standard for an insurance carrier which currently is in effect for all coverage's purchased by Jackson County: and (2) is on the most current United States Treasury list as a surety whose bonds are acceptable to the United States Government.

### BIDDER CHECKLIST FINAL CHECKLIST BEFORE SUBMITTING BID

|  | 1. Submit completed Contractor Questionnaire and/or Contractor Prequalification Questionnaire with attachments not later than seven (7) days prior to the date and hour of the bid opening. See Secs 101-103 of the Standard Specifications, and Rule 7 CSR 10-15.900, "Prequalifications to Bid of Certain Contractors". Questionnaire and Contact information are provided on MoDOT's website. (if applicable – required on highway and bridge projects) |  |  |  |  |
|--|--|--|--|--|--|
|  | 2. For submittal of bids, the complete set of bidding documents includes all information through the DBE forms (for DBE forms see #6). The Technical Specifications/Job Special Provisions are for the bidder's information only and is not to be returned with the bid.   |  |  |  |  |
|  | 3. Please read all items in the bidding document carefully.  |  |  |  |  |
|  | 4. Sign this bidding document properly. If submitted in the name of a firm or corporation, the legal name of the firm or corporation should appear in the space designated, and be signed for by one or more persons legally qualified to execute papers in the name of said firm or corporation. Affix Corporate Seal if the Bidder is a Corporation.   |  |  |  |  |
|  | 5. Bid bond may be a bond or cashiers check: Bidder may upload a copy with bid and then submit the original to the Purchasing Department, 415 East 12 <sup>th</sup> Street, Room G-1, Kansas City, MO 64106.   |  |  |  |  |
|  | 6. Submit the DBE Submittal Forms within 3 business days of the Bid Opening. The BDE Identification Submittal Form (Page 2 of this document) must be submitted for each DBE to be utilized on the project.   |  |  |  |  |
| 7. Addenda should be inserted into the back of the electronic submittal. The bidder should retain a duplic applicable) |  |  |  |  |  |
|  | ***************************************  |  |  |  |  |
|  | Below is a list of common mistakes made by bidders leading to non-responsive bids. Please refer to the Standard Specifications for the appropriate procedures for completing and submitting a bid.  a) Not signing the bid  b) Submitting a physical (paper) copy of the bid and not using the BonFire Portal.  c) Not incorporating the addendum into the bidding documents, including attaching the letter to the bid                                    |  |  |  |  |
|  | d) Not providing a bid bond  |  |  |  |  |
|  | e) Not initialing changes made   |  |  |  |  |
|  |  |  |  |  |  |

All questions concerning the bid documents shall be submitted via the Bonfire Portal.

### TABLE OF CONTENTS

### Notice to Contractors

| Proposed Work(1)                       |
|--|
| Compliance With Contract Provisions(2) |
| Period of Performance(3)               |
| Liquidated Damages(4)                  |
| Bid Guaranty(5)                        |
| Certifications for Federal Jobs(6)     |
| Antidiscrimination(7)                  |
| Federal and State Inspection(8)        |
| Prevailing Wage(9)                     |
| Worker Eligibility Requirements(10)    |
| OSHA Training Requirements(11)         |
| Buy America Requirements(12)           |
| Addendum Acknowledgement(13)           |
| Signature and Identity of Bidder(14)   |
| Trainees(15)                           |
| Subcontractor Disclosure(16)           |
| Project Award(17)                      |
| Materials Inspections(18)              |
| Prime Contractor Requirements(19)      |
| Tax Exempt Status(20)                  |

**Itemized Bid Sheets** 

Bid Bond

DBE Identification Submittal

**DBE** Provisions

**General Provisions** 

Job Special Provisions

Form FHWA 1273

Federal Aid Provisions

Applicable State Wage Rates

Applicable Federal Wage Rates

Applicable Environmental and Cultural Permits and Clearances (RER)

ADA Checklist

Contract Forms

Contract Agreement

Sales Tax Exemption

Performance Bond

Maintenance Bond

Revenue Certificate

Contractors Acknowledgement

Subcontractor Certification Regarding Affirmative Action

Propex Installation Specifications

### NOTICE TO CONTRACTORS

All bids must be submitted through the Bonfire Portal at <a href="https://jacksongov.bonfirehub.com">https://jacksongov.bonfirehub.com</a> before 2:00PM (prevailing local time) on April 1, 2025.

(1) **PROPOSED WORK:** The proposed work, hereinafter called the work, includes:

Construction of a 10' wide concrete non-motorized transportation facility extending northeast between State Route 350/Blue Parkway and Brickyard Road. Improvements will include concrete pavement, micro surfacing, pavement repair, signing and striping, erosion control, fencing, storm drainage, grading, slope stabilization, rock base, and appurtenances.

(2) <u>COMPLIANCE WITH CONTRACT PROVISIONS:</u> The bidder, having examined and being familiar with the local conditions affecting the work, and with the contract, contract documents, including the current version of the Missouri Highways and Transportation Commission's "Missouri Standard Specifications for Highway Construction," and "Missouri Standard Plans for Highway Construction" (if applicable), their revisions, and the request for bid, including appendices, the special provisions and plans, hereby proposes to furnish all labor, materials, equipment, services, etc., required for the performance and completion of the work. All references are to the Missouri Standard Specifications for Highway Construction, as revised, unless otherwise noted.

The following documents are available on the Missouri Department of Transportation web page at www.modot.mo.gov under "Business with MoDOT" "Standards and Specifications". The effective version shall be determined by the letting date of the project.

General Provisions & Supplemental Specifications

Supplemental Revisions to Missouri Standard Plans For Highway Construction (if applicable)

These supplemental bidding documents contain all current revisions to the bound printed versions and have important legal consequences. It shall be conclusively presumed that they are in the bidder's possession, and they have been reviewed and used by the bidder in the preparation of any bid submitted on this project.

Please note that within the above-listed documents, the term "Commission" shall be replaced with the term, "Jackson County", and the term "Engineer" is a reference to the Engineer of Record from Olsson.

The contracting authority for this contract is Jackson County Parks + Rec.

(3) <u>PERIOD OF PERFORMANCE:</u> If the bid is accepted, the bidder agrees that work shall be diligently prosecuted at such rate and in such manner as, in the judgment of the engineer, is necessary for the completion of the work within the time specified as follows in accordance with Sec 108:

Calendar Days: 180

(4) <u>LIQUIDATED DAMAGES</u>: The bidder agrees that, should the bidder fail to complete the work in the time specified or such additional time as may be allowed by the engineer under the contract, the amount of liquidated damages to be recovered in accordance with Sec 108 shall be as follows:

Liquidated damages: \$1,225 per day.

|     | Standard | <b>UARANTY</b> : The bidder shall submit a Bid Guaranty meeting the requirements of Section 102 Specifications for Highway Construction. A sample project bid bond form is included in the all mark the box below to identify the type of Bid Guaranty. |
|-----|----------|---|
|     |          | Paper Bid Bond<br>Cashier's Check   |
| (6) | CERT     | IFICATIONS FOR FEDERAL JOBS: By signing and submitting this bid, the bidder make  |

- (6) <u>CERTIFICATIONS FOR FEDERAL JOBS</u>: By signing and submitting this bid, the bidder makes the certifications appearing in Sec. 102.18.1 (regarding affirmative action and equal opportunity), Sec. 102.18.2 (regarding disbarment, eligibility, indictments, convictions, or civil judgments), Sec. 102.18.3 (regarding anti-collusion), and Sec. 102.18.4 (regarding lobbying activities). Any necessary documentation is to accompany the bid submission, as required by these sections. As provided in Sec. 108.13, the contracting authority may terminate the contract for acts of misconduct, which includes but is not limited to fraud, dishonesty, and material misrepresentation or omission of fact within the bid submission.
- (7) <u>ANTIDISCRIMINATION:</u> The Contracting Authority hereby notifies all bidders that it will affirmatively insure that in any contract entered into pursuant to this advertisement, businesses owned and controlled by socially and economically disadvantaged individuals will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, religion, creed, sex, age, ancestry, or national origin in consideration for an award.
- (8) FEDERAL AND STATE INSPECTION: The Federal Government is participating in the cost of construction of this project. All applicable Federal laws, and the regulations made pursuant to such laws, shall be observed by the contractor, and the work will be subject to the inspection of the appropriate State or Federal Agency in the same manner as provided in Sec 105.10 of the Missouri Standard Specifications for Highway Construction with all revisions applicable to this bid and contract.
- (9) PREVAILING WAGE (FEDERAL AND STATE): This contract requires payment of the prevailing hourly rate of wages for each craft or type of work required to execute the contract as determined by the Missouri Department of Labor and Industrial Relations, and requires adherence to a schedule of minimum wages as determined by the United States Department of Labor. For work performed anywhere on this project, the contractor and the contractor's subcontractors shall pay the higher of these two applicable wage rates. The applicable state wage rates for this contract are detailed in "Annual Wage Order No. 31", that is attached to this bidding document. The applicable federal wage rates for this contract are the effective Davis-Bacon federal wage rates posted the tenth day before the bid opening date and are attached herein.

These supplemental bidding documents have important legal consequences. It shall be conclusively presumed that they are in the bidder's possession, and they have been reviewed and used by the bidder in the preparation of any bid submitted on this project.

(10) WORKER ELIGIBILITY REQUIREMENTS: Execution of the construction contract for this project is dependent upon the awarded bidder providing an Affidavit of Compliance AND E-Verify Memorandum-of-Understanding (MOU) between the bidder and Department of Homeland Security to the Contracting Authority as required by section 285.530 RSMo. The cover page and signature page of the E-Verify MOU and the Affidavit must be submitted prior to award of this contract.

A sample Affidavit of Compliance can be found at the Missouri Attorney General's website at the following link:

### http://ago.mo.gov/forms/Affidavit\_of\_Compliance.pdf

All bidders must also be enrolled in the E-Verify Program, and include their MOU prior to contract execution. Bidders who are not enrolled will need to go to the following website link and select "Enroll in the Program" to get started. After completing the program, they will receive their E-Verify MOU with Department of Homeland Security. This document will need to be printed out and kept on file so that a copy can be attached to the Affidavit of Compliance.

http://www.dhs.gov/files/programs/gc\_1185221678150.shtm

This requirement also applies to subcontractors and contract labor, but this contract only requires submittal of the verification documents for the prime contractor. It is the prime contractor's responsibility to verify the worker eligibility of their subcontractors in order to protect their own company from liability as required by section 285.530 RSMo.

- awarded contractor and its subcontractor(s) to provide a ten-hour Occupational Safety and Health Administration (OSHA) Construction Safety Program (or a similar program approved by the Missouri Department of Labor and Industrial Relations as a qualified substitute) for their on-site employees (laborers, workmen, drivers, equipment operators, and craftsmen) who have not previously completed such a program and are directly engaged in actual construction of the improvement (or working at a nearby or adjacent facility used for construction of the improvement). The awarded contractor and its subcontractor(s) shall require all such employees to complete this ten-hour program, pursuant to 292.675 RSMO, unless they hold documentation on their prior completion of said program. Penalties, for Non-Compliance include contractor forfeiture to the Contracting Authority in the amount of \$2,500, plus \$100 per contractor and subcontractor employee for each calendar day such employee is employed beyond the elapsed time period for required program completion under 292.675 RSMO.
- BUY AMERICA REQUIREMENTS: Construction contracts shall assure compliance with Section 165 of the Surface Transportation Assistance Act of 1982, Section 337 of the Surface Transportation and Uniform Relocation Assistance Act of 1987, 23 CFR 635.410, and the Bipartisan Infrastructure Law (2021) Build America, Buy America Act Publication L. No. 117-58 regarding Buy America provisions on the procurement of foreign products and materials. On all contracts involving Federal-aid, all products of iron, steel, or a coating of steel which are incorporated into the work must have been manufactured in the United States. Construction materials consisting primarily of non-ferrous metals, plastic and polymer-based products, glass, lumber, or drywall also require Buy America certification. Cement and cementitious materials; aggregates such as stone, sand, or gravel; or aggregate binding agents or additives are excluded from this requirement. In addition, manufactured products are currently exempted under the 1983 waiver from FHWA. The Contracting Authority may allow minimal amounts of these materials from foreign sources, provided the cost does not exceed 0.1 percent of the contract sum or \$2,500, whichever is greater. The Contractor certifies that these materials are of domestic origin. Additional information regarding the "Buy America" requirements can be found at:

https://www.fhwa.dot.gov/construction/cqit/buyam.cfm

| (13) <u>ADDENDUM ACKNOWLEDGEMENT</u> received, acknowledged and incorporated into their beautiful to their beautiful to their beautiful to the second of the seco |                                       | addenda (if applicable) have been |  |  |  |
|---|---------------------------------------|-----------------------------------|--|--|--|
| correct LEGAL name as stated on the contractor que  | estionnaire (if applicable).          | , which is the                    |  |  |  |
| (14) SIGNATURE AND IDENTITY OF BIDI is correct and that (if not signing with the intention to the agent of, and they are signing and executing this,  | bind themselves to become the resp    |                                   |  |  |  |
| correct LEGAL NAME as stated on the contractor q  | uestionnaire (if applicable).         | , which is the                    |  |  |  |
| a) The organization submitting this bid is a individuals or corporations, and whether doing busing the appropriate box below.   |                                       |                                   |  |  |  |
| sole individual   | partnership                           | joint venture                     |  |  |  |
| corporation, incorporated under laws of state of  |                                       |                                   |  |  |  |
| b) If the bidder is doing business under a fi   | ctitious name, indicate below by fill | ing in the fictitious name:       |  |  |  |

| THE BIDDER CERTIFIES THAT THE BIDDER AND ITS OFFICIALS, AGENTS, AND EMPLOYEES HAVE NEITHER DIRECTLY NOR INDIRECTLY ENTERED INTO ANY AGREEMENT, PARTICIPATED IN ANY COLLUSION, OR OTHERWISE TAKEN ANY ACTION IN RESTRAINT OF FREE COMPETITIVE BIDDING IN CONNECTION WITH THIS BID, AND THAT THE BIDDER INTENDS TO PERFORM THE WORK WITH ITS OWN BONAFIDE EMPLOYEES AND SUBCONTRACTORS, AND DID NOT BID FOR THE BENEFIT OF ANOTHER CONTRACTOR. |
|--|
| THE BIDDER ACKNOWLEDGES THAT THIS IS AN UNSWORN DECLARATION, EXECUTED UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES AND/OR FALSE DECLARATION UNDER THE LAWS OF MISSOURI, AND ANY OTHER APPLICABLE STATE OR FEDERAL LAWS. THE FAILURE TO PROVIDE THIS CERTIFICATION IN THIS BID MAY MAKE THIS BID NON-RESPONSIVE, AND CAUSE IT TO BE REJECTED.   |
| THE BIDDER CERTIFIES THAT THE BIDDER'S COMPANY KNOWINGLY EMPLOYS ONLY INDIVIDUALS WHO ARE AUTHORIZED TO WORK IN THE UNITED STATES IN ACCORDANCE WITH APPLICABLE FEDERAL AND STATE LAWS AND ALL PROVISIONS OF MISSOURI EXECUTIVE ORDER NO. 07-13 FOR CONTRACTS WITH THE CONTRACTING AUTHORITY.  |
| Check this box ONLY if the bidder REFUSES to make any or all of these certifications. The bidder may provide an explanation for the refusal(s) with this submittal.  |
| Signature of Bidder's Owner, Officer, Partner or Authorized Agent  |
| Please print or type name and title of person signing here   |
| Attest:  |
| Secretary of Corporation if Bidder is a Corporation  |
| Affix Corporate Seal (If Bidder is a Corporation)  |

2023

Executed by bidder this

day of

NOTE: If bidder is doing business under a fictitious name, the bid shall be executed in the legal name of the individual, partners, joint ventures, or corporation, and registration of fictitious name filed with the secretary of state, as required by sections 417.200 to 417.230 RSMo. If the bidder is a corporation not organized under the laws of Missouri, it shall procure a certificate of authority to do business in Missouri, as required by section 351.572 et seq RSMo. A certified copy of such registration of fictitious name or certificate of authority to do business in Missouri shall be filed with the Missouri Highways and Transportation Commission, as required by the standard specifications.

- (15) <u>TRAINEES</u>: By submitting this bid, the bidder certifies that the bidder is familiar with the Training Provision in the Missouri Highways and Transportation Commission's "General Provisions and Supplement Specifications" which are available on the Missouri Department of Transportation web page at www.modot.mo.gov under "Business with MoDOT" "Standards and Specifications". The number of trainee hours provided under this contract will be  $\underline{\mathbf{0}}$  slots at 1000 hours per slot or  $\underline{\mathbf{0}}$  hours.
- (16) <u>SUBCONTRACTOR DISCLOSURE</u>: Requirements contained within Sec 102.7.8 of the Missouri Standard Specification for Highway Construction shall be waived for this contract.
  - (17) **PROJECT AWARD:** This project will be awarded to the lowest, responsive, responsible bidder.
- (18) <u>MATERIALS INSPECTIONS</u>: All technicians who perform, or are required by the FHWA to witness, such sampling and testing shall be deemed as qualified by virtue of successfully completing the requirements of EPG 106.18 Technician Certification Program, for that specific technical area.
- (19) PRIME CONTRACTOR REQUIREMENTS: The limitation in Sec 108.1.1 of the Missouri Standard Specifications for Highway Construction that "the contractor's organization shall perform work amounting to not less than

40 percent of the total contract cost" is waived for this contract. Instead, the less restrictive terms of the Federal Highway Administration's rule at Title 23 Code of Federal Regulations (CFR) § 635.116(a) shall apply, so that the contractor must perform project work with its own organization equal to and not less than 30 percent of the total original contract price. Second-tier subcontracting will not be permitted on this contract. All other provisions in Sec 108.1.1 et seq. of the Missouri Standard Specifications for Highway Construction shall remain in full force and effect, and shall continue to govern the contractor and its subcontractors, in accordance with the provisions of Title 23 CFR § 635.116.

- (20) <u>SALES AND USE TAX EXEMPTION:</u> Jackson County, Missouri, a tax exempt entity, will furnish a Missouri Project Exemption Certificate as described in Section 144.062 RSMo to the awarded contractor who in turn may use the certificate to purchase materials for a specific project performed for the tax exempt entity. Only the materials and supplies incorporated or consumed during the construction of the project are exempt. The certificate will be issued to the contractor for a specific project for a defined period of time.
- (21) <u>RETAINAGE:</u> In accordance with the Missouri Prompt Pay Act (34.057 RSMo), the owner may withhold payment for any of the reasons outlined in RsMO 34.057, or as determined by the engineer.

<u>ITEMIZED BID</u>: The bidder should complete the following section in accordance with Sec 102.7. The bidder proposes to furnish all labor, materials, equipment, services, etc. required for the performance and completion of the work, as follows:

## **BID FORM**



## **INVITATION TO BID NO. 24-098**

## Little Blue Trace/Rock Island Connector Trail County Project No. 8902013 TAP3453(404)

| Item<br>No. | Description                                | Quantity | Units | Unit Price | Total Price |
|-------------|--|----------|-------|------------|-------------|
| 1           | Mobilization                               | 1        | LS    |            |             |
| 2           | Contractor Furnished Surveying and Staking | 1        | LS    |            |             |
| 3           | Clearing, Grubbing, and Demolition         | 1        | LS    |            |             |
| 4           | Traffic Control                            | 1        | LS    |            |             |
| 5           | 10' Concrete Trail                         | 2972     | LF    |            |             |
| 6           | Unclassified Excavation                    | 3256     | CY    |            |             |
| 7           | Embankment in Place                        | 4183     | CY    |            |             |
| 8           | Contractor Furnished Borrow                | 928      | CY    |            |             |
| 9           | 18" RCP                                    | 101      | LF    |            |             |
| 10          | 18" Concrete Flared End<br>Sections        | 4        | EA    |            |             |
| 11          | Gravel Surfacing - Access Road             | 270      | SY    |            |             |
| 12          | Fencing Along Bridge Deck                  | 213      | LF    |            |             |
| 13          | 8' Privacy Fence                           | 315      | LF    |            |             |
| 14          | Field Fence                                | 1360     | LF    |            |             |
| 15          | 14' Pipe Gate                              | 1        | EA    |            |             |
| 16          | Temporary Fence                            | 315      | LF    |            |             |
| 17          | Type F Concrete Barrier                    | 692      | LF    |            |             |
| 18          | Pavement Markings                          | 3445     | LF    |            |             |
| 19          | Signage                                    | 7        | EA    |            |             |
| 20          | MGS Guardrail                              | 87       | LF    |            |             |

| 21 | MGS Guardrail Transition | 2    | EA  |  |  |  |
|----|--------------------------|------|-----|--|--|--|
| 22 | Bollards                 | 4    | EA  |  |  |  |
| 23 | Turf Reinforcement Mat   | 2622 | SY  |  |  |  |
| 24 | Bank Stabilization       | 648  | CY  |  |  |  |
| 25 | Seal Coat Aggregate      | 4853 | SY  |  |  |  |
| 26 | Seal Coat                | 1456 | GAL |  |  |  |
| 27 | Micro-Surfacing          | 4853 | SY  |  |  |  |
| 28 | Pavement Repair          | 379  | SY  |  |  |  |
| 29 | APWA Light 18" Riprap    | 40   | CY  |  |  |  |
| 30 | Rock Ditch Check         | 1    | EA  |  |  |  |
| 31 | Silt Fence               | 2176 | LF  |  |  |  |
| 32 | Wattle Log               | 479  | LF  |  |  |  |
| 33 | Seeding                  | 2    | AC  |  |  |  |
| 34 | Construction Entrance    | 2    | EA  |  |  |  |
| 35 | Shade & Ornamental Trees | 197  | EA  |  |  |  |
| 36 | Tree Removal             | 1    | LS  |  |  |  |
|    | Total Bid for Project =  |      |     |  |  |  |

Total Amount of Bid for Project (Typed or Written)

Firm Name

Note: The County reserves the right to adjust the quantities up or down to any and all bid items to accommodate the available funds.

| BID ( | (continued) | ) |
|-------|-------------|---|
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| and that the learns of the |  | erstanding o | of the plans, provisions, specifications, and the foregoing            |
| ()                         | Missouri Individual                                    | ()           | Foreign Individual   |
| ()                         | Missouri Partnership                                   | ()           | Foreign Partnership  |
| ()                         | Missouri Corporation                                   | ()           | Foreign Corporation  |
|                            | Licensed in Misso                                      |              |  |
| ()                         | Individual or Partnership, name, registered in the off |              | or Foreign, doing business in Missouri under fictitious tary of State. |
| Dated at                   |  |              |  |
|                            |  | City, State  |  |
| this                       | day of   |              | . 2023   |
|                            |  |              | ,  |
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|                            |  | SIGNA        | ATURES   |
|                            |  | SIGNA        | TOKES  |
| Name and A                 | Address of all Partners                                |              |  |
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|                            |  |              | Authorized Signature   |
|                            |  |              |  |
|                            |  |              | Title of Person Signing  |
|                            |  |              | Attest (Seal)  |

## **BID BOND**

### **INVITATION TO BID NO. 24-098**



Project Number: County Project No. 8902013; Federal No. TAP-3453(404)

Project Title: Little Blue Trace/Rock Island Connector Trail

### KNOW ALL PERSONS BY THESE PRESENTS, that we,

| Legal Name of Bidding Firm  |
|---|
|   |
| of  |
| City and State  |
| hereinafter referred to as "Bidder," and  |
|   |
|   |
| Name of Surety  |
| a comparation argumized under the laws of the Ctate of  |
| a corporation organized under the laws of the State of and authorized to transact business in the State of Missouri, as "Surety," are held and firmly bound |
| unto the <b>County of Jackson, Missouri</b> , hereinafter referred to as "Owner," in the penal sum of   |
|   |
| (\$   |
| for the payment of which sum, well and truly to be made to the Owner, we bind ourselves and our   |
| hairs executors administrators successors and assigns jointly and severally by these presents   |

**WHEREAS**, Bidder is herewith submitting its Bid to enter into a contract with Owner for the above referenced project.

**NOW, THEREFORE** the condition of the obligation is such that if the Bidder is awarded the contract the Bidder will, within the time required, enter into a contract and give a good sufficient surety bonds to secure the performance of the terms and conditions of the contract and for the prompt payment of all labor and material furnished in the prosecution thereof as required by the contract documents, then this obligation shall be void; otherwise the Bidder and Surety will immediately pay unto the Owner the full amount of this bond as liquidated damages for failure to fulfill the conditions of this obligation; but in no event shall the Surety's liability exceed the penal sum hereof.

| Signed, sealed and delivered this | day of   |
|-----------------------------------|--|
|                                   | BIDDER AND PRINCIPAL   |
|                                   | Name, address and facsimile number of Bidder and Principal   |
|                                   |  |
|                                   |  |
|                                   | I hereby certify that I have authority to execute this document on behalf of Bidder and Principal.   |
|                                   | Ву:  |
|                                   | Title:   |
|                                   | (Attach corporate seal if applicable) SURETY   |
|                                   | Name, address and facsimile number of Surety:  |
|                                   |  |
|                                   |  |
|                                   | I hereby certify that (1) I have authority to execute this document on behalf of Surety; (2) Surety has an A.M. Best rating of A- or better; (3) Surety is named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (most current revision) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury; and (4) Surety is duly licensed to issue bonds in the State of Missouri and in the jurisdiction in which the Project is located. |
|                                   | By:  |
|                                   | Title:   |
|                                   | Date:  |

(Attach seal and Power of Attorney)

## **Submittal Forms**

| (6)               | <u>D</u> | DBE Submittal Forms: This form must be submitted by 4 p.m. three (3) business days after bid open  | ening |
|-------------------|----------|--|-------|
|                   | Of       | (A) <u>DBE Contract Goal</u> : By submitting this bid, the bidder certifies that the bidder is amiliar with the DBE Program Requirements in this contract. The contract DBE goal for the amount of work to be awarded is 8% of the total federal project price. The bidder shall also complete the DBI Submittal Form in accordance with the program requirements.                   | nt    |
|                   |          | (B) <u>DBE Participation</u> : The bidder certifies that it will utilize DBE's as follows:   |       |
|                   |          | % OF TOTAL FEDERAL CONTRACT  |       |
| with the contract | t goal.  | ust fill in the above blank. If no percentage is specified, the bidder certifies that it agrees to, and will comply If a percentage below the contract goal is specified, then the bidder must submit complete documentation of set the DBE contract goal, immediately below.  |       |
|                   | to       | (C) <u>Certification of Good Faith Efforts to Obtain DBE Participation</u> : By submitting its signed bid, the bidder certifies under penalty of perjury and other provisions of law, that the bidder ook each of the following steps to try to obtain sufficient DBE participation to achieve the Commission's proposed DBE Contract Goal: (Attach additional sheets if necessary). |       |
|                   |          |  |       |
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Form ECR-101 (Rev. 05/2020)

## **DBE Identification Submittal Form**

(For Local Program Agency (LPA) Projects)

**Job Number: 8902013 TAP3453(404)** 

| Route: <u>Little Blue Trace/Rock Island Connector Trail</u> | County: <u>Jackson</u> |  |
|---|------------------------|--|
| Prime Contractor  | Contract Amount        |  |

**Identification of Participating DBE's:** Provide the requested information below for each DBE participating on the project. If this page is submitted but not signed, it will not be cause for rejection. The apparent low and second low bidder must file this form with the Jackson County Compliance Review Office no later than 4:00 p.m. on the 3rd working day after the bid opening. Fax or e-mail transmittal is permitted. The fax number is (816) 881-3302 and the e-mail address for submittal is cro@jacksongov.org. Contact MoDOT's External Civil Rights Division (ECR) at (573) 526-2978 for questions and assistance on completion. This page of this document must be received for each DBE utilized on the project.

All information must be provided.

If awarded the contract for this project, the undersigned will use the following DBE to perform or furnish the work, supplies, and/or services as shown below:

| DBE Name: | Address: |
|-----------|----------|
|           |          |

| (A)<br>Line<br>No. | (B) Dollar Value of DBE Work** ( Unit Price x Quantity of the Item in (A), or Lump Sum) | (C) Dollar value applicable to DBE Goal** (100%, 60%) | (D) Dollar amount applicable to DBE Goal (BxC) | (E) Percent of total contract amount for line item (D / total contract amount) | Ren | dd<br>or<br>nove<br>nes |
|--------------------|---|---|--|--|-----|-------------------------|
|                    |   |   |  |  | •   | +                       |
|                    |   |   |  |  | -   | +                       |
|                    |   |   |  |  | -   | +                       |
|                    |   |   |  |  | -   | +                       |
|                    |   |   |  |  | -   | +                       |
|                    |   |   |  |  | -   | +                       |
|                    |   |   |  |  |     | +                       |
|                    |   |   |  |  |     | +                       |
|                    |   |   |  |  | -   | +                       |
|                    |   |   |  |  | -   | +                       |
|                    |   | DBE Total:  |  | Total %  |     |                         |

<sup>\*\*</sup>Cannot exceed contract amount for given item of work

Allowed amount of participation will be in accordance with 49 CFR Part 26.

Brokered services will only receive credit for fees.

|  | Respectfully | submitted: |
|--|--------------|------------|
|--|--------------|------------|

| Company Name (Prime Contractor) | Name / Title |  |
|---------------------------------|--------------|--|
|                                 |              |  |
|                                 |              |  |
| Signed (Prime Contractor)       |              |  |

Trucking services credited at 100% if the DBE owns the trucks or is leasing from a DBE firm

# Instructions for Completing the DBE Identification Submittal Form (For Local Program Agency (LPA) Projects)

(For Local Program Agency (LPA) Projects) $(\mathrm{ECR ext{-}}101)$ 

Submit this form with your bid or as outlined on front of page no later than 4:00 p.m. on the 3rd working day after the bid opening. Only DBE's listed on MoDOT's Missouri Regional Certification Committee (MRCC) directory may be used towards obtaining the DBE goal on the project. DBE firm must be certified with the appropriate North American Industrial Classification

System (**NAICS**) code for the type of work being utilized to perform. The MRCC directory is available at the following link under the MRCC Directory tab: <a href="https://www.modot.org/welcome-external-civil-rights"><u>HTTP://www.modot.org/welcome-external-civil-rights</u></a>

- (A) Insert Bid Line Item in the same order as it appears in the bid document.
- (B) Insert the result from multiplying the unit price for the bid line item by the quantities listed in column (A); a lump sum, if applicable, may also be inserted.
- (C) Insert the percentage of column (B) that the DBE will perform. If the DBE is a supplier as that term is defined in 49 CFR Part 26.55, then only 60% of the value in column (B) can be applied towards the contract specific goal. If the DBE is furnishing and installing the line item, then 100% of the value can be applied.
- (D) Insert the result from dividing columns (B) and (C).
- (E) Insert the result from dividing column (D) from the total bid line item amount.

## DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM REQUIREMENTS FOR LOCAL PROGRAMS

- **1.0 Program Applicability.** The subsequent sections will apply only to contracts involving U.S. Department of Transportation (USDOT) federal-aid or federal funded participation. Federal-aid or federal funded participation includes, but is not limited to, any funds directly or indirectly received by MoDOT, or authorized for distribution to or through MoDOT, by the USDOT or any operating administration within the USDOT. These provisions will not apply to contracts funded exclusively with state funds, or state and local funds. Any contractor, subcontractor, supplier, DBE firm, and contract surety involved in the performance of a federal-aid contract shall be aware of and fully understand the terms and conditions of the USDOT DBE Program, as the terms appear in Title 49 CFR Part 26 (as amended), the USDOT DBE Program regulations; Title 7 CSR Division 10, Chapter 8 (as amended), and the Commission's DBE Program rules.
- **2.0 DBE Program Distinguished From Other Affirmative Action Programs.** The USDOT DBE Program established by the U.S. Congress is not the same as, and does not involve or utilize, any of the elements or authority of other state or local affirmative action programs, nor does the program rely upon state legislation or gubernatorial executive orders for implementation or authorization, other than the general authority given the Commission in Section 226.150, RSMo. The USDOT DBE Program is implemented by the Commission and MoDOT, through and in conjunction with the FHWA, FTA and FAA, as a "recipient" defined in Title 49 CFR 26.5.
- **3.0 Policy Regarding DBE Firms.** It is the policy of the U. S. Department of Transportation and MoDOT that businesses owned by socially and economically disadvantaged individuals have an opportunity to participate in the performance of contracts funded in whole or in part with federal funds. Consequently, the requirements of 49 CFR Part 26 (as amended) and the Commission's implementing state regulations in Title 7 CSR Division 10, Chapter 8, "Disadvantaged Business Enterprise Program", will apply to any contract funded in whole or part with federal funds.
- **4.0 Opportunity for DBEs to Participate.** Each contractor, subcontractor and supplier working on a contract funded in whole or in part with federal funds shall take all necessary and reasonable steps to ensure that DBEs have an opportunity to compete for and participate in performance on project contracts and subcontracts in which a DBE goal is established.
- **5.0 Required Contract Provision.** The federal-aid contract will include the following provision, as mandated by USDOT at Title 49 CFR 26.13(b):
- (a) The contractor, subrecipient or subcontractor shall not discriminate based on race, color, national origin, or gender in the performance of the contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of USDOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of the contract, which may result in the termination of the contract or such other remedy, as the recipient deems appropriate.

In this provision, "contractor" will be defined as the contractor on the contract; sub-contractor, or material supplier performing the work on or for the project. For the purposes of any federal-aid contract awarded by the Local Public Agency (LPA), they will be designated as "the recipient". The contractor shall include this same contract provision in every supply contract or subcontract the contractor makes or executes.

- **6.0 DBE Program Information.** DBE Program information may be obtained from the MoDOT External Civil Rights Division, 105 W. Capitol Avenue, P.O. Box 270, Jefferson City, Missouri 65102-0270. Phone (573) 751-7801, Fax (573) 526-0558, E-Mail: <a href="mailto:dbe@modot.mo.gov">dbe@modot.mo.gov</a>. It will be the duty of each contractor, and for the contractor's subcontractors to take the steps necessary to determine the legal obligations and limitations under the DBE Program, as an element of responsibility. It will be the duty of each certified DBE firm to know, understand and comply with the DBE firm's legal obligations and limitations under the DBE Program, as a requirement of program participation.
- **7.0 DBE Certification, and the Missouri Unified Certification Program.** MoDOT and other certifying agencies within Missouri have partnered to form the Missouri Regional Certification Committee (MRCC) and developed a Unified Certification Program (UCP) pursuant to 49 CFR 26.81 and 7 CSR 10-8.061. Only DBE firms certified by the MRCC are eligible to perform work on a federal-aid contract for DBE contract goal credit. It is the contractor's responsibility to ensure firms identified for participation are approved certified DBE firms. The MRCC DBE Directory can be found at the following link: https://www.modot.org/welcome-external-civil-rights
- **8.0 DBE Program-Related Certifications Made By Bidders and Contractors**. If the bidder makes a written, express disclaimer of one or more certifications or assurances in the bid, the bid will be considered non-responsive. By submitting a bid on any project involving USDOT federal financial participation, and by entering into any contract on the basis of that bid, the contractor makes each of the following DBE Program-related certifications and assurances to USDOT, to the Commission, to MoDOT, and to the LPA:
- (a) The bidder certifies that management and bidding officers have reviewed and understand the bidding and project construction and administration obligations of the USDOT DBE Program regulations at Title 49 CFR Part 26 (as amended), , and the Commission's DBE Program rules at Title 7 CSR Division 10, Chapter 8 (as amended).
- (b) The bidder agrees to ensure that certified DBE firms have a full and fair opportunity to participate in the performance of the contract financed in whole or in part with federal funds. The bidder certifies that all necessary and reasonable steps were taken to ensure that DBE firms have an opportunity to compete for, and perform work on the contract. The bidder further certifies that the bidder not discriminate on the basis of race, color, age, national origin or gender in the performance of the contract, or in the award of any subcontract.
- (c) The bidder certifies that if awarded the federal-aid contract, the contractor will make a good faith effort to utilize certified DBE firms committed to with the awarded contract.
- (d) The bidder certifies, that if awarded the federal-aid contract with less than the original DBE contract goal proposed by the Commission in the bid documents, as a result of an approved good faith effort, the revised lower amount shall become the final DBE goal, and that goal will be used to determine any liquidated damages to be assessed at the completion of the project.
- (e) The bidder understands and agrees that if awarded the contract the contractor is legally responsible to ensure that the contractor and each DBE, comply fully with all regulatory and contractual requirements of the USDOT DBE Program, and that each DBE firm participating in the contract fully perform the designated tasks, with the DBE's own forces and equipment, under the DBE's own direct supervision and management. The bidder certifies, that if awarded the contract and if MoDOT or the Commission determine that the contractor, a DBE or any other firm retained by the contractor has failed to comply with the DBE Program requirements or federal or state DBE Program regulations, the Commission, through MoDOT, shall have the sole authority and discretion

to determine the extent of the monetary value to which the DBE contract goals have not been met at the project completion, and to assess against and withhold monetary damages from the contractor up to the full amount of that breach. The bidder further understands and agrees that this clause authorizes the Commission, through MoDOT, to determine and fix the extent of the damages caused by a breach of any contractual or regulatory DBE Program requirement and that the damage assessment will be enforced in addition to, and not in lieu of, any other general liquidated damages clause in the contract. By submitting a bid for a federal-aid contract, the bidder irrevocably agrees to such an assessment of liquidated damages for DBE Program purposes, and authorizes the Commission and MoDOT to make such an assessment of liquidated damages against the contractor, and to collect that assessment from any sums due the contractor under the contract, or any other contract, or by other legal process. The bidder makes this certification, agreement and authorization on behalf of itself, for each federal-aid contract.

- **9.0 Designation of DBE firms to perform on contract.** The bidder states and certifies that the DBE participation information submitted in the bid or within the contract designated time is true, correct and complete and that the information provided includes the names of all DBE firms that will participate in the contract, the specific line item (s) that each DBE firm will perform or partially perform, and the creditable dollar amounts of the participation of each DBE. The specific line item must reference the bid line number and item number contained in the proposal. The bidder further states and certifies that the bidder has committed to use each DBE firm listed for the work shown to meet the DBE contract goal and that each DBE firm listed has clearly confirmed to the bidder that the DBE firm will participate in and perform the work, with the DBE's own forces.
- (a) The bidder certifies the bidder's understanding that as the contractor on a contract funded in whole or in part by USDOT federal funds, the bidder may not unilaterally terminate, substitute for, or replace any DBE firm that was designated in the executed contract, in whole or in any part, with another DBE, any non-DBE firm or with the contractor's own forces or those of an affiliate, without the prior written consent of MoDOT. The bidder understands it must receive approval in writing from MoDOT for the termination of a DBE firm, or the substitution or replacement of a DBE before any substitute or replacement firm may begin work on the project in lieu of the DBE firm participation information listed in the executed contract. Unless MoDOT's written consent is provided as outlined above, the bidder shall not be entitled to any payment of work or material unless it is performed or supplied by the listed DBE.
- (1) The bidder further certifies understanding, that if a DBE firm listed in the bid or approved in the executed contract documents ceases to be a certified DBE firm, at any time during the performance of the contract work, and a contract or subcontract with that firm has not yet been executed by the prime and subcontractor, the contractor can not count any work performed by that firm after the date of the firm's loss of eligibility toward meeting the DBE contract goal. The contractor can pursue efforts to replace the work planned with the decertified firm, with other certified DBEs, in coordination with MoDOT's External Civil Rights Division. However, if the contractor has executed a subcontract with the firm before the DBE lost eligibility and ceased to be a certified DBE, the contractor may continue to receive credit toward the DBE contract goal for that firm's work.
- (2) The bidder further certifies the bidder's understanding, that the dollar value of any work completed by a DBE firm prior to approval of the DBE's substitution or replacement, in writing, by MoDOT will not be credited toward meeting the DBE contract goal. No credit toward the DBE goal will be given for any amount withheld from payment to the DBE or "back charged" against monies owed to the DBE, regardless of the purpose or asserted debt.

- **10.0 Contract Goal, Good Faith Efforts Specified.** The bidder may submit the completed "DBE Identification Submittal" information in the bid documents at the same time as, and within the sealed bid, at the time the bid is submitted. However, if that information is not completed and submitted with the initial sealed bid, then as a matter of responsiveness and responsibility, all bidders shall file the completed "DBE Identification Submittal" pages to the Local Agency on or before 4:00 p.m. of the third business day after the bid opening date. The Local Agency may permit telefax transmittal. No extension of time will be allowed for any reason. The means of transmittal and the risk of timely receipt of the information shall be the bidder's.
- **10.1 Good Faith Effort Submittal.** If the bidder is not able to meet the Commission's DBE contract goal, the bidder has the opportunity to submit with and as a part of the bid, a true, accurate, complete and detailed written explanation of good faith efforts taken to meet the DBE Contract Goal established in the bid documents. The bidder shall use the "DBE Identification Submittal" sheets for any DBE participation that will be committed towards the goal and an explanation, with any supporting documentation, for the inability to meet the full goal established on the contract. Any Good Faith efforts shall be submitted as part of the bid or within the three business days after the bid opening.
- **10.2 Bidders Good Faith Efforst Described.** MoDOT will consider the quality, quantity, and intensity of the different kinds of efforts that the bidder has made based upon 49 CFR Appendix A to Part 26 and the following additional efforts:
  - (a) Providing documentation on any and all past GFE activities for review.
  - (b) Past project DBE performance utilization.
  - (c) Race neutral methods utilized on completed projects.

All good faith efforts are evaluated on a case-by-case basis whereas any of the numerous good faith elements listed individually or collectively is not a guarantee of approval.

- 10.3 Administrative Reconsideration of the Bidder's Good Faith Efforts Made as a Part of the Bid Submittal. If MoDOT determines that the apparent low bidder has failed to adequately document in the bid that the bidder made a good faith effort to achieve sufficient DBE participation in the contract work, that firm will be offered the opportunity for administrative reconsideration upon written request, before MoDOT and the Commission reject that bid as non-responsive.
- **10.4 Forfeiture of Bid Bond possible when:** The failure of either the apparent low bidder to file the completed and executed "DBE Identification Submittal", listing actual, committed DBE participation equal to or greater than the DBE contract goal percentage specified in the bid by 4:00 p.m. on the third business day after the bid opening, will be cause for rejection of that bid. In addition, the bid surety bond or bid guaranty of the apparent low bidder will be forfeited to and become the property of the Local Agency upon demand, only if the contract is awrded
- (a) Any bidder rejected for failure to submit the completed and executed "DBE Identification Submittal" information in the bidding documents, with full documentation of sufficient DBE participation to satisfy the DBE contract goal cannot submit a bid on the same, or substantially similar, project, when and if the project is readvertised for bids. By submitting a bid on a federal-aid project, the bidder accepts and agrees to these provisions, and the disposition of the bidders bid bond or guaranty, upon demand by the Local Agency.
- (b) The surety separately acknowledges the surety to be held and firmly bound to the Local Agency to immediately upon demand pay the face amount of the bid bond.

**11.0 DBE Participation for Contract Goal Credit.** In addition to participation outlined by 49 CFR part 26, the following shall apply:

In addition to allowances provided for in the Federal Regulations, a bidder may count toward the DBE contract goal the following expenditures to certified DBE firms that are not "regular dealers" or "manufacturers" for DBE program purposes:

- (1) A bidder may count toward the DBE contract goal 100 percent of the fees paid to a certified DBE trucker or hauler for delivery of material and supplies required on a job site, but not for the cost of those materials or supplies themselves, or for the removal or relocation of excess material from or at the job site, when the DBE certified trucking company is not also the manufacturer of or a regular dealer in those material and supplies, provided that the trucking or hauling fee is determined by MoDOT to be reasonable as compared with fees customarily charged by non-DBE firms for similar services. The certified DBE trucking firm shall also perform a CUF on the project and not operate merely as a pass through for the purposes of gaining credit toward the contract DBE goal. Prior to submitting a bid, the bidder shall determine, whether a DBE trucking firm will meet the criteria for performing a CUF on the project.
- (2) The bidder will receive DBE contract goal credit for the fees or commissions charged by and paid to a DBE broker who arranges or expedites sales, leases or other project work or service arrangements, provided that those fees are determined by MoDOT to be reasonable and not excessivge, as compared with fees customarily charged by non-DBE firms for similar services. A broker will be defined as a person or firm that does not own or operate the delivery equipment necessary to transport materials, supplies or equipment to or from a job site. In most intances, the broker is merely the entity making arrangements for delivery of material, supplies, equipment, or arranging project services. To receive DBE contract goal credit, MoDOT must determine that the DBE broker has performed a CUF in providing the contract work or service.
- **12.0 DBE Required to Perform a Commercially Useful Function (CUF).** The DBE CUF requirements are stated in 49 CFR Part 26, (26.55). Any questions or further information needed for CUF determinations should be directed to MoDOT's External Civil Rights Division.
- 12.1 Quality Control (QC) and Quality Assurance (QA) Reviews. The prime contractor shall monitor their planned DBE project usage for CUF compliance and provide MoDOT information for areas of concern for further evaluation. MoDOT will perform a QA review, or compliance review, for DBE CUF and project documentation retained by the contractor through project completion. The contractor shall maintain all DBE related information it has received, documented and provided to MoDOT for a period of three years beyond the date of final inspection. MoDOT's determination that a DBE's participation may not count toward the project goal, or good faith effort level approved will be subject to administrative reconsideration.
- **12.2 MoDOT Makes Final Determination On Whether a CUF Is Performed.** MoDOT will have the final authority to determine whether a DBE firm has performed a CUF on a federal-aid contract.
- **13.0 Verification of DBE Participation at project completion.** (Assessment of Liquidated Damages Possible)
- 13.1 Prior to final payment by the Local Agency, the contractor shall file with the Local Agency a detailed list showing each DBE used on the contract work, and the work performed by each DBE. The list shall show the actual dollar amount paid to each DBE for the creditable work on the contract, less any rebates, kickbacks, deductions, withholdings or other repayments made. The list shall be certified under penalty of perjury, or other law, to be accurate and complete. MoDOT and the Commission will use this certification and other information

available to determine if the contractor and the contractor's DBEs satisfied the DBE contract goal percentage specified in the contract and the extent to which the DBEs were fully paid for that work. The contractor shall acknowledge, by the act of filing the detailed list, that the information is supplied to obtain payment regarding a federal participation contract.

- 13.2 Failure on the part of the contractor to achieve the DBE participation to which the contractor committed in the contract may result in liquidated damages being imposed on the contractor by the Commission for breach of contract and for non-compliance. If the contract was awarded with less than the original DBE contract goal proposed by the Commission, the revised lower amount became the final DBE contract goal, and that goal will be used to determine any liquidated damages to be assessed. Additionally, the Commission or MoDOT may impose any other administrative sanctions or remedies available at law or provided by the contract in the event of breach by the contractor by failing to satisfy the contractor's DBE contract goal commitment. The contractor will be offered the opportunity for administrative reconsideration of any assessment of liquidated damages determined at the project completion, upon written request. The administrative reconsideration officer may consider all facts presented, including the legitimacy or business reason for back charges assessed against a DBE firm, in determining the final amount of liquidated damages.
- **14.0 Miscellaneous DBE Program Requirements.** In accordance with Title 49 CFR Part 26 and the Commission's DBE Program rules in Title 7 CSR Division 10, Chapter 8, the contractor, for both the contractor and for the contractor's subcontractors and suppliers, whether DBE firms or not, shall commit to comply fully with the auditing, record keeping, confidentiality, cooperation and anti-intimidation or retaliation provisions contained in those federal and state DBE Program regulations. By bidding on a federal-aid contract, and by accepting and executing that contract, the contractor agrees to assume these contractual obligations, and to bind the contractor's subrecipients contractually, at the contractor's expense.
- **15.0** Data Collection from Bidders for DBE and Non-DBE Subcontractors, Suppliers, Manufacturers and/or Borkering used and not used in bids during the reporting period. MoDOT is a recipient of federal funds and is required by 49 CFR 26.11, to provide data about its DBE program. The information shall consist of all subcontractor quoting received for actual use and of consideration by the prime bidder. MoDOT will be requesting this information from bidding prime contractors and will provide prime bidders a form to submit the data by the last day of each month for the current letting. The information shall only include the names of both DBE and non-DBE companies that the prime bidders received quotes. MoDOT will then contact the DBEs and non-DBE subcontractors and request additional information from DBE and non-DBE subcontractors including current year of gross receipts and number of years in business. The information provided by the prime bidders shall not include any bid quote pricing regardless if it was used or not. This information will aid MoDOT in the determination of the availability of DBEs and will be used in subsequent availability studies.

## **GENERAL PROVISIONS**

See General Provisions, Supplemental Specifications to 2023 Missouri Standard Specifications for Highway Construction effective July 1, 2023 (or latest edition).

 $\underline{https://www.modot.org/sites/default/files/documents/BLUE\_PACK\_07\_01\_2023\_signed.pdf}$ 

**JOB SPECIAL PROVISIONS** 

### FEDERAL AID PROVISIONS

December 1980

## NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

- 1. The Offeror's or Bidders attention is called to the "Equal Opportunity Clause" and the Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth therein.
- 2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:
- 3. Construction contractors which are participating in an approved Hometown Plan (see 41 CFR 60-4.5) are required to comply with the goals of the Hometown Plan with regard to construction work they perform in the area covered by the Hometown Plan. With regard to all their covered construction work, such contractors are required to comply with the following goals:

Goals for Female participation for each trade

#### AREA COVERED

Goals for women apply nationwide

### **GOALS AND TIMETABLES**

### Goals

| Timetable                               | (Percent) |
|---|-----------|
| From April 1, 1978 until March 31, 1979 | 3.1       |
| From April 1, 1979 until March 31, 1980 | 5.1       |
| From April 1, 1980 until March 31, 1981 | 6.9       |

### **Goals for Minority Participation for Each Trade**

| <u>County</u>  | Goal (Percent) | County      | Goal (Percent) |
|----------------|----------------|-------------|----------------|
|                |                |             |                |
| Adair          | 4              | Linn        | 4              |
| Andrew         | 3.2            | Livingston  | 10             |
| Atchison       | 10             | McDonald    | 2.3            |
| Audrain        | 4              | Macon       | 4              |
| Barry          | 2.3            | Madison     | 11.4           |
| Barton         | 2.3            | Maries      | 11.4           |
| Bates          | 10             | Marion      | 3.1            |
| Benton         | 10             | Mercer      | 10             |
| Bollinger      | 11.4           | Miller      | 4              |
| Boone          | 6.3            | Mississippi | 11.4           |
| Buchanan       | 3.2            | Moniteau    | 4              |
| Butler         | 11.4           | Monroe      | 4              |
| Caldwell       | 10             | Montgomery  | 11.4           |
| Callaway       | 4              | Morgan      | 4              |
| Camden         | 4              | New Madrid  | 26.5           |
| Cape Girardeau | 11.4           | Newton      | 2.3            |
| Carroll        | 10             | Nodaway     | 10             |
| Carter         | 11.4           | Oregon      | 2.3            |
| Cass           | 12.7           | Osage       | 4              |

| Cedar     | 2.3  | Ozark            | 2.3  |
|-----------|------|------------------|------|
| Chariton  | 4    | Pemiscot         | 26.5 |
| Christian | 2    | Perry            | 11.4 |
| Clark     | 3.4  | Pettis           | 10   |
| Clay      | 12.7 | Phelps           | 11.4 |
| Clinton   | 10   | Pike             | 3.1  |
| Cole      | 4    | Platte           | 12.7 |
| Cooper    | 4    | Polk             | 2.3  |
| Crawford  | 11.4 | Pulaski          | 2.3  |
| Dade      | 2.3  | Putnam           | 4    |
| Dallas    | 2.3  | Ralls            | 3.1  |
| Daviess   | 10   | Randolph         | 4    |
| DeKalb    | 10   | Ray              | 12.7 |
| Dent      | 11.4 | Reynolds         | 11.4 |
| Douglas   | 2.3  | Ripley           | 11.4 |
| Dunklin   | 26.5 | St. Charles      | 14.7 |
| Franklin  | 14.7 | St. Clair        | 2.3  |
| Gasconade | 11.4 | St. Francois     | 11.4 |
| Gentry    | 10   | Ste. Genevieve   | 11.4 |
| Greene    | 2    | St. Louis City   | 14.7 |
| Grundy    | 10   | St. Louis County | 14.7 |
| Harrison  | 10   | Saline           | 10   |
| Henry     | 10   | Schuyler         | 4    |
| Hickory   | 2.3  | Scotland         | 4    |
| Holt      | 10   | Scott            | 11.4 |
| Howard    | 4    | Shannon          | 2.3  |
| Howell    | 2.3  | Shelby           | 4    |
| Iron      | 11.4 | Stoddard         | 11.4 |
| Jackson   | 12.7 | Stone            | 2.3  |
| Jasper    | 2.3  | Sullivan         | 4    |
| Jefferson | 14.7 | Taney            | 2.3  |
| Johnson   | 10   | Texas            | 2.3  |
| Knox      | 4    | Vernon           | 2.3  |
| Laclede   | 2.3  | Warren           | 11.4 |
| Lafayette | 10   | Washington       | 11.4 |
| Lawrence  | 2.3  | Wayne            | 11.4 |
| Lewis     | 3.1  | Webster          | 2.3  |
| Lincoln   | 11.4 | Worth            | 10   |
|           |      | Wright           | 2.3  |

These goals are applicable to all of the contractor's construction work (whether or not is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on Its Implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its effort to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority, or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

4. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the

contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

5. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" of the county, route and limits described in the proposal for the work.

**July 1986** 

## STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246

- 1. As used in these specifications:
  - a. "Covered area" means the geographical area described in the solicitation which this contract resulted.
  - b. "Director" mean Director, Office of Federal Contract Compliance Programs, United States Department of labor, or any person to who the Director delegates authority;
  - c. "Employer Identification Number" means the Federal Social Security number used on the Employer's quarterly Federal Tax Return, U.S. Treasury Department Form 941;
  - d. "Minority" includes;
    - (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin):
    - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
    - (iii) Asian and pacific islander (all persons having origins in any of the original peoples of the Far East, southeast Asia, the Indian Subcontinent, or the Pacific Islands; and
    - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North American and maintain identifiable affiliations through membership and participation or community identifications.
- 2. Whenever the Contractor, or any Subcontractor at any tier, subcontractors a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
- 3. If the Contract is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through the association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with the Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligation under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractors' failure to take good faith efforts to achieve the Plan goals and timetables.
- 4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through 7p of these specifications. The goals set forth in the solicitation from which this contact resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered Construction contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.

- 5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with who the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 6. In order for the nonworking training hours or apprentices and trainees to be counted in meeting the goal, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- 7. The contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be used its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
  - a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and all facilities at which the Contractor's employees are assigned to work. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
  - b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
  - c. Maintain a current file or the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred not employed by the contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.
  - d. Provide immediate written notification to the Director when the union or unions with which the Contractor has as collective bargaining agreement has not referred to the contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
  - e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant of the contractor's employment needs, especially those programs funded or approved by the Department of Labor. The contractor shall provide notice of these programs to the sources complied under 7b above.
  - f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement by publicizing it in the company newspaper, annual report, etc., by specific review of the policy with all management personnel and with all minority and female employees at least one a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
  - g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as superintendents, General foreman, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, person attending, subject matter discussed, and the disposition of the subject matter.

- h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media and providing written notification to and discussing the contractor's EEO policy with other Contractors and Subcontractors with who the Contractor does or anticipates doing business.
- i. Direct is a recruitment effort, both oral and written, to minority female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance or applicants for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and test to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer vacations employment to minority and female youth both on the site and in other areas or contractor's workforce.
- k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc. such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligation under these specifications are being carried out.
- n. Ensure that all facilities and company activities are non-segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- Document and maintain a record of all solicitations or offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through 7p). The efforts of a contractor association, joint contractor union, contractor community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling anyone or more of its obligations under 7a through 7p of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- 9. A single goal for minorities and a separate single goal for women have been established to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the executive order if a specific minority group of women is underutilized).
- 10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contract pursuant to Executive Order 11246.

- 12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
- 13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Direct shall proceed in accordance with 41 CFR 60-4.8.
- 14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status, (e.g. mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rat of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.
- 15. Nothing herein provided shall be constructed as a limitation upon the application of other laws which establish different standard of compliance or upon the application of requirements for the hiring of local or other area residents (e.g. those under the Public Works Employment Action of 1977 and the Community Development Block Grant Program.

#### OPERATING POLICY STATEMENT

The contractor shall accept as their operating policy the following statement, or one of equal coverage, which is designed to further the provision of equal employment opportunity to all persons without regard to their race, color, religion, sex, or national origin, and to promote the full realization of equal employment opportunity through a positive continuing program.

"It is the policy of this company to assure that applicants are employed, and that employees are treated during employment without regard to their race, religion, sex, color, or national origin. Such action shall include: employment, upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

### SUPPLEMENTAL REPORTING REQUIREMENTS

- **A.** The Contractor will keep such records as are necessary to determine compliance with the contractor's equal employment opportunity obligations. The records kept by the contractor will be designed to indicate the number of minority and non-minority group members and women employed in each work classification on the project.
- B. All such records must be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the State Highway Agency and the Federal Highway Administration.
- C. The contractor and each covered subcontractor will submit to the State Highway Agency, for the month of July, for the duration of the project, a report (Form PR-1391) "Federal-Aid Highway Construction Contractors Annual EEO Report", indicating the number of minority, women and non-minority group employees currently engaged in each work classification required by the contract work.

### NONDISCRIMINATION IN EMPLOYMENT

**July 1990** 

The following provisions are added by the State to the Required Contract Provisions of Federal-Aid Contracts.

The contractor is advised that the exemptions referred to in the Required Contract Provisions, Federal-Aid contracts under Section II, Nondiscrimination, Paragraph 3g, with respect to contracts and subcontracts, are substantial and are to be found in Chapter 60, Office of Federal Contract Compliance, Equal Employment Opportunity, Department of Labor (33 Federal Register 7804-7812, May 28, 1968, effective July 1, 1968, Chapter 60, Title 41, Code of Federal Regulations), by which contracts and subcontracts of \$10,000 or less and certain contracts and subcontracts for indefinite quantities are exempt.

The two pertinent exemption clauses are as follows:

### 60-1.5 Exemptions

(a) General – (1) Transactions of \$10,000 or under. Contracts and Subcontractors not exceeding \$10,000, other than Government bills of lading, and other than contract and subcontracts with depositories of Federal funds in any amount and with financial institutions which are issuing and paying agents for U.S. savings bonds and savings notes, are exempt from the requirements of the equal opportunity clause. In determining the applicability of this exemption to any federally assisted construction contract, or subcontract thereunder, the amount of such contract or subcontract rather than the amount of the Federal financial assistance shall govern. No agency, contractor, or subcontractor shall procure supplies or services in a manner so as to avoid applicability of the equal opportunity clause: Provided, that where a contractor has contracts or subcontracts with the Government in any 12-month period which have an aggregate total value (or can reasonably be expected to have an aggregate total value) exceeding \$10,000, the \$10,000 or under exemption does not apply, and the contracts are subject to the order and the regulation issued pursuant thereto regardless of whether any single contracts exceeds \$10,000.

## STATE WAGE RATES FEDERAL WAGE RATES

# ENVIRONMENTAL REVIEW (RER) CLEARANCE

# **ADA CHECKLIST**

# **CONTRACT FORMS**

# **CONTRACT AGREEMENT**

| THIS AGREEMENT, is made an hereinafter called the County, and  | d entered into by and between, Jackson County, Missouri, Party of the F  | First Part and  |
|--|--|---|
| a  | Party of the Second Part and hereinafter called the Contractor,  | _1  |
| <u>WITNESSETH</u>  |  |   |
| Advertisement calling for bids   | nce with law, the County has caused contract documents to be prepto be published for and in connection with Jackson County Project: or Trail, Invitation to Bid No. 24-098, County Project Number  | : Little Blue   |
|  | response to the Advertisement, has submitted to the County, in the nic bid in accordance with the terms of the Advertisement, and  | manner and  |
| submitted, and has determined duly awarded to the said Cont  | the manner prescribed by law, has opened, examined, and canvast the aforesaid Contractor to be the lowest and best bidder for the waractor, a contract therefor, for the sum or sums named in the Contract and made a part of this contract.   | ork and has   |
| agreements herein contained, and its successors, and the C   | deration of the compensation to be paid to the Contractor and of<br>the parties to these presents have agreed and hereby agree, the Contractor for itself, himself, or themselves, and its, his, or their succecutors and administrators, as follows:  | unty for itself   |
| and other construction access specified and required to be in perform all necessary labor; an requirements, stipulations, progeneral Conditions, Special Cobeing as fully a part thereof as included in and covered by the | or shall: (a) furnish all tools, equipment, supplies, superintendence, tractories, services, and facilities; (b) furnish all materials, supplies, and accorporated in and form a permanent part of the completed work; (c) and (d) in a good, substantial, and workmanlike manner and in accordativisions, and conditions of the contract documents as defined in the conditions, and Technical Specifications, said documents forming the sift repeated verbatim herein, perform, execute, construct and complete County's official award of this contract to the said Contractor, such the County of the Contractor's Bid. | d equipment<br>provide and<br>ance with the<br>the attached<br>contract and<br>ete all work |
|  | shall pay to the Contractor for the performance of the work embrewill accept as full compensation therefore, the sum (subject to ad  |   |
|  | cluded in the contract award and designated in the foregoing Article   | _)  |
| for all work covered by and in thereof to be made in cash or i   | ncluded in the contract award and designated in the foregoing Article its equivalent, in the manner provided in the General Conditions.  | ∍ I; payment  |

### CONTRACT AGREEMENT (cont.)

<u>ARTICLE III</u>. That the Contractor shall start work within ten (10) days following the date stipulated in a written order from the County to proceed with the work to be performed hereunder, and that the Contractor shall complete the work within the number of days, after the authorized starting date, stipulated in the attached Bid.

ARTICLE IV. That the Contractor expressly warrants that they have employed no third person to solicit or obtain this contract in their behalf, or to cause or produce the same to be obtained upon compensation in any way contingent, in whole or in part, upon such procurement; and that they have not paid, or promised or agreed to pay, to any third person, in consideration of such procurement, or in compensation for services in connection therewith any brokerage, commission, or percentage upon the amount receivable by them here-under; and that they have not, in estimating the contract price demanded by them, included any sum by reason of any such brokerage, commission, or percentage, and that all monies payable to them hereunder are free from obligation of any other person for services rendered, or supposed to have been rendered, in the procurement of this contract. The contractor further agrees that any breach of this warranty shall constitute adequate cause for the annulment of this contract by the County and that the County may retain to its own use from any sums due or to become due hereunder an amount equal to any brokerage, commission, or percentage so paid, or agreed to be paid.

The County agrees to pay the Contractor in the manner and in the amount provided in the said specifications and bid.

## CONTRACT AGREEMENT (cont.)

|                                    |                 | ssouri has caused by Resolution No.            |            |
|------------------------------------|-----------------|--|------------|
|                                    |                 | 5, these presents to be executed in its behalf |            |
| authonzed agent, and the said Fai  | nty of the Sect | ond Part (Contractor) has hereunto set its ha  | nu anu sea |
| Recommended by:                    |                 |  |            |
|                                    |                 |  |            |
| Michele Newman                     |                 | Date   |            |
| Director of Parks + Rec Dept.      |                 |  |            |
|                                    |                 |  |            |
| Frank White, Jr. County Executive  |                 | Date   |            |
|                                    |                 | 2024   |            |
| Approved to form this              | day of          | , 2024.  |            |
|                                    |                 |  |            |
|                                    |                 |  |            |
| County Counselor                   |                 |  |            |
| ·                                  |                 |  |            |
|                                    |                 |  |            |
| Attest: Clerk of the Legislature   |                 |  |            |
| Clerk of the Legislature           |                 |  |            |
|                                    |                 |  |            |
|                                    | Ву:             |  |            |
|                                    | ,               | Second Party (Contractor)                      | _          |
|                                    |                 |  |            |
|                                    | Attest:         |  |            |
|                                    | Allest.         |  | _          |
| Contractor<br>Seal (if applicable) |                 |  |            |

### **SALES TAX EXEMPTION**

Jackson County, Missouri is an exempt entity under Section 144.062, RSMo, and the purchase of tangible personal property and materials to be incorporated into or consumed in the construction of this project, can be made on a tax-exempt basis as provided in that statute.

Jackson County will issue an exemption certificate to the contractor along with the contract. Sales tax paid due to the contractor's or any subcontractors failure to take advantage of the county's tax exempt status will not be included in the Contractor's invoice to the County.

"Missouri Project Exception Certificate" (Form 5060) will be issued to the contractor and subcontractors working on the same project after the contract has been awarded.

### State of Missouri

### EXEMPTION FROM MISSOURI SALES AND USE TAX ON PURCHASES

ISSUED TO:

MISSOURI TAX I.D. NUMBER: 13643347

COUNTY OF JACKSON 415 E 12TH ST RM G-1 KANSAS CITY, MO 64106-2706

EFFECTIVE DATE: 07/11/2002 EXPIRATION DATE: Non-Expiring

YOUR APPLICATION FOR SALES/USE TAX EXEMPT STATUS HAS BEEN APPROVED PURSUANT TO CHAPTER 144.303.1, RSMo. THIS LETTER IS ISSUED AS DOCUMENTATION OF YOUR EXEMPT STATUS.

PURCHASES BY YOUR AGENCY ARE NOT SUBJECT TO SALES OR USE TAX IF WITHIN THE CONDUCT OF YOUR AGENCY'S EXEMPT FUNCTIONS & ACTIVITIES. WHEN PURCHASING WITH THIS EXEMPTION, FURNISH ALL SELLERS OR VENDORS A COPY OF THIS LETTER. THIS EXEMPTION MAY NOT BE USED BY INDIVIDUALS MAKING PERSONAL PURCHASES.

A CONTRACTOR MAY PURCHASE AND PAY FOR CONSTRUCTION MATERIALS EXEMPT FROM SALES TAX WHEN FURFILLING A CONTRACT WITH YOUR AGENCY ONLY IF YOU AGENCY ISSUES A PROJECT EXEMPTION CERTIFICATE AND THE CONTRACTOR MAKES PURCHASES IN COMPLIANCE WITH THE PROVISIONS OF SECTION 144.062, RSMo.

SALES BY YOUR AGENCY ARE SUBJECT TO ALL APPLICABLE STATE AND LOCAL SALES TAXES. IF YOU ENGAGE IN THE BUSINESS OF SELLING TANGIBLE PERSONAL PROPERTY OR TAXABLE SERVICES AT RETAIL, YOU MUST OBTAIN A MISSOURI RETAIL SALES LICENSE AND COLLECT AND REMIT SALES TAX.

A CONTRACTOR MAY PURCHASE AND PAY FOR CONSTRUCTION MATERIALS EXEMPT FROM SALES TAX WHEN FULFILLING A CONTRACT WITH YOUR GOVERNMENTAL AGENCY ONLY IF YOUR GOVERNMENTAL AGENCY ISSUES A PROJECT EXEMPTION CERTIFICATE AND THE CONTRACTOR MAKES PURCHASES IN COMPLIANCE WITH THE PROVISION OF SECTION 144.062, RSMO.

THIS IS A CONTINUING EXEMPTION SUBJECT TO LEGISLATIVE CHANGES AND REVIEW BY THE DIRECTOR OF REVENUE. IF IT IS DETERMINED THAT YOUR AGENCY CEASES TO QUALIFY AS AN EXEMPT ENTITY, THIS EXEMPTION WILL CEASE TO BE VALID. THIS EXEMPTION IS NOT ASSIGNABLE OR TRANSFERRABLE. IT IS AN EXEMPTION FROM SALES AND USE TAXES ONLY AND IS NOT AN EXEMPTION FROM REAL OR PERSONAL PROPERTY TAX.

ANY ALTERATION TO THIS EXEMPTION LETTER RENDERS IT INVALID.

IF YOU HAVE ANY QUESTIONS REGARDING THE USE OF THIS LETTER, PLEASE CONTACT THE SALES/USE TAX SECTION, MISSOURI DEPARTMENT OF REVENUE, P.O. BOX 3300, EFFERSON CITY, MO 65105-0840, PHONE 573-751-2836.



hereinafter referred to as the Contract.

# PERFORMANCE BOND INVITATION TO BID NO. 24-098

Project Number: County Project No. 8902013; Federal Project Number: TAP3453(404)

Project Title: Little Blue Trace/Rock Island Connector Trail

| KNOW ALL PERSONS BY THESE PRESENTS:  |                            |
|--|----------------------------|
| That   | <del>,</del>               |
| as PRINCIPAL (CONTRACTOR), and   |                            |
| Dollars (\$  | )                          |
| for the payment whereof CONTRACTOR and SURETY bind themselves, their heirs, e successors and assigns, jointly and severally, firmly by these presents. | executors, administrators, |
| WHEREAS,   |                            |
| CONTRACTOR has entered into a Contract with OWNER for  | which                      |

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION is such that, if CONTRACTOR shall promptly and faithfully perform said Contract including all duly authorized changes thereto, according to all the terms thereof, including those under which CONTRACTOR agrees to pay legally required wage rates including the prevailing hourly rate of wages in the locality, as determined by the Department of Labor and Industrial Relations or by final judicial determination, for each craft or type of workman required to execute the Contract and, further, shall defend, indemnify, and hold harmless OWNER from all damages, including but not limited to liquidated damages, loss and expense occasioned by any failure whatsoever of said CONTRACTOR and SURETY to fully comply with and carry out each and every requirement of the Contract, then this obligation shall be void; otherwise, it shall remain in full force and effect.

WAIVER. That SURETY, for value received, hereby expressly agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the Work to be performed thereunder, shall in any way affect the obligations of this Bond; and it does hereby waive notice of any such change, extension of time, or alteration or addition to the terms of the Contract or the Work to be performed thereunder.

| IN WITNESS WHEREOF, | the above parties have executed this instrument the day of   |
|---------------------|--|
| ,                   | 2025.  |
| CONTRACTOR          | Name, address and facsimile number of Contractor   |
|                     |  |
|                     | I hereby certify that I have authority to execute this document on behalf of Contractor.   |
|                     | Ву:  |
|                     | Title:   |
| CLIDETY             | (Attach corporate seal if applicable)  |
| <u>SURETY</u>       | Name, address and facsimile number of Surety:  |
|                     |  |
|                     | I hereby certify that (1) I have authority to execute this document on behalf of Surety; (2) Surety has an A.M. Best rating of A- or better; (3) Surety is named in the current list of "Companies Holding Certificates of Authority as Acceptable Reinsuring Companies: as published in Circular 570 (most current revision) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury; and (4) Surety is duly licensed to issue bonds in the State of Missouri and in the jurisdiction in which the Project is located. |
|                     | By:  |
|                     | Title:   |
|                     | Date:  |

(Attach seal and Power of Attorney)





Project Number: County Project No. 8902013; Federal Project
Number: TAP3453(404)

Project Title: Little Blue Trace/Rock Island Connector Trail

### KNOW ALL PERSONS BY THESE PRESENTS, that we,

| Legal Name of Contracting Firm  |                    |
|---|--------------------|
| of  |                    |
| City and State nereinafter referred to as "Contractor," and   |                    |
| Name of Surety  |                    |
| a corporation organized under the laws of the State of  | the                |
| or the payment of which sum, well and truly to be made to the Owner, we bind ourselves and our  | ır hairs           |
| executors, administrators, successors, and assigns, jointly and severally, by these presents.   | i iiciis,          |
| WHEREAS, on the day of,, the Corentered into a written contract with the Owner for the conditions of this obligation are such that if, demaintenance period of <b>one (1) year</b> from the date of acceptance of the contracted work, the Principal eceiving written notice of a need for repairs which are directly attributable to defective materials or workman shall diligently take the necessary steps to correct said defects within seven (7) days from the date of said then this obligation shall be null and void; otherwise it shall remain in full force and effect. | al upon<br>anship, |

As part of this obligation secured hereby and in addition to the face amount specified therefore, there shall be included costs and reasonable expenses and fees, including reasonable attorney's fees, incurred by the Owner in successfully enforcing such obligation, all to be taxed as costs and included in any judgment rendered.

The Surety hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of this agreement or to the work to be performed there under or the specifications accompanying the same shall in anywise affect its obligations on this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the agreement or to the work or to the specifications.

| on the | day of | ,·                      |        |
|--------|--------|-------------------------|--------|
|        |        | Contractor              | (SEAL) |
|        |        | Ву:                     |        |
|        |        | Surety Company          |        |
|        |        | By:<br>Attorney-in-Fact |        |
|        |        | By:                     |        |

IN TESTIMONY WHEREOF, the Contractor has hereunto set their hand, and the Surety has caused these presents to be executed in its name and its corporate seal, to be affixed by its attorney-in-fact at

(Accompany this bond with attorney-in-fact's authority from the Surety Company certified to include the date of the bond.)

## **REVENUE CERTIFICATE**

|                      |                | , which is hereby authorized |
|----------------------|----------------|------------------------------|
|                      |                |                              |
|                      |                | Manager, Division of Finance |
| NT NUMBER(S) TO BE C | CHARGED:       |                              |
| Department           | Account Number | Amount                       |
| Department           |                |                              |

### NOTICE TO CONTRACTORS

Under the laws of the State of Missouri, any changes made in this contract must be made in writing, approved of record by the County Legislature, Certified by the Manager, Division of Finance, and all made a matter of record before the County is liable therefore.

Manager, Division of Finance

Jackson County, Missouri

### CONTRACTOR'S ACKNOWLEDGEMENT

1. Form to be used if Contractor is an individual. On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_, before me personally appeared , to me known to be the person described in and who executed the foregoing proposal, contract agreement, and bond, and being first duly sworn, acknowledged that he/she executed the same as his/her free act and deed. Witness my hand and seal at \_\_\_\_\_\_, \_\_\_\_\_, the day and year first above written. (SEAL) Notary Public Form to be used if Contractor is a partnership or unincorporated company. On this \_\_\_\_\_\_, 20 \_\_\_\_\_, before me personally to me known to be the person described in and who executed the foregoing proposal, contract agreement, and bond, and being first duly sworn, acknowledged that he/she executed the same as the free act and deed of the partnership or company, and stated that all of the members of the partnership or company are correctly shown in the proposal. Witness my hand and seal at \_\_\_\_\_\_, the day and year first above written. (SEAL) Notary Public

My commission expires , 20 .

### 3. Form to be used if Contractor is a corporation

| State of                             | )                   |                    |               |                          |
|--------------------------------------|---------------------|--------------------|---------------|--------------------------|
| State of                             | ) ss.<br>)          |                    |               |                          |
| On this                              | day of              |                    | , 20          | _, before me appeared    |
|                                      |                     | , 1                | to me perso   | nally known, who being   |
| by me duly sworn, did say that he    | she is the          |                    |               | of                       |
|                                      | (the Co             | ontractor) and th  | nat the seal  | affixed to the foregoing |
| agreement and contract bond is th    | e corporate seal of | f said corporation | n, and that t | he foregoing proposal,   |
| contract agreement, and contract b   | oond were signed a  | and sealed in bel  | half of said  | corporation by authority |
| of its board of directors, and he/sh | e acknowledges sa   | aid instruments    | to be the fre | e act and deed of said   |
| corporation.                         |                     |                    |               |                          |
| Witness my hand and sea              | ıl at               |                    |               | ,                        |
| the day and year first above writte  | en.                 |                    |               |                          |
| (SEAL)                               |                     |                    |               |                          |
|                                      |                     |                    | Notary        | Public                   |
| My commission expires                |                     | 20                 |               |                          |

# SUBCONTRACTOR CERTIFICATION REGARDING AFFIRMATIVE ACTION INVITATION TO BID NO. 24-098

**Project:** <u>Little Blue Trace/Rock Island Connector Trail</u> **Job No.**8902013 TAP3453(404)

Route:Little Blue Trace/Rock Island Connector Trail

County: Jackson County

Certification Regarding Affirmative Action and Equal Opportunity: The bidder (prospective prime contractor) or proposed subcontractor certifies:

- 1. <u>Affirmative Action Program:</u> That it has developed and has on file at each of its establishments affirmative action programs pursuant to 41 CFR Part 60-2.
- 2. <u>Equal Opportunity Clause:</u> That it has participated in a previous contract or subcontract subject to the equal opportunity clause set forth in 41 CFR Part 69-1.4 and executive order no. 11246.
- 3. <u>Compliance Reports:</u> That it has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs and their designate, or the Equal Employment Opportunity Commission, all reports due under the applicable filing requirements contained in 41 CFR Part 60-1.

If the text of the certification above is incorrect, the bidder or subcontractor making the certification shall

| correct it below:  |  |
|--|--|
| NOTE: This certification applies to and must be executed by each bidde its proposed contract or subcontract on this project will equal or exceed \$ subcontracts on federally assisted projects in any 12-month period which total value exceeding \$10,000 41 CFR Part 60-1.5(a)(1). It is a duty and of its subcontractors, which meet this criterion, executes and submits to the subcontractors. | \$10,000 or that contractor or subcontractor has contracts or have or can reasonably be expected to have, an aggregate contract obligation of the prime contractor to insure that each |
|  | Company  |
|  | By:  |
| Date:  | <br>Title  |

