OMB Number: 4040-0004 Expiration Date: 03/31/2012

Application for Federal Ass	istance SF-424									
* 1. Type of Submission: Preapplication * 2. Type of Application: New * Other (Specify)										
× Application	Continuation * O	Other (Specify)								
Changed/Corrected Application	Revision									
* 3. Date Received:	4. Applicant Identifier:									
06/03/2011										
5a. Federal Entity Identifier:		* 5b. Federal Award Identifier:								
State Use Only:										
6. Date Received by State:	7. State Application Ide	entifier:								
8. APPLICANT INFORMATION:	•									
* a. Legal Name: Missouri Department	of Transportation									
* b. Employer/Taxpayer Identification Nu	l r	* c. Organizational DUNS:								
d. Address:	L									
* Street1: 830 MoDOT Dr	ive									
Street2:										
* City: Jefferson City										
County:										
* State: Missouri										
Province:										
*Country: USA: UNITED STATES										
* Zip / Postal Code: 65109										
e. Organizational Unit:										
Department Name:		Division Name:								
Missouri DOT		Multimodal Operations								
f. Name and contact information of p	erson to be contacted on matte	ers involving this application:								
Prefix: Mr.	* First Name:	Rodney								
Middle Name: P.										
* Last Name: Massman										
Suffix:										
Title: Administrator of Railroads										
Organizational Affiliation:										
Missouri Department of Transportation	n - Multimodal Operations									
* Telephone Number: 573-751-7476		Fax Number: 573-526-4709								
* Email: Rodney.Massman@modot.n	no.gov									

Application for Federal Assistance SF-424	
9. Type of Applicant 1: Select Applicant Type:	
State Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
* Other (specify):	
* 10. Name of Federal Agency:	_
FHWA	
	_
11. Catalog of Federal Domestic Assistance Number:	
20.205	
CFDA Title:	
* 12. Funding Opportunity Number:	_
Tall all all all all all all all all all	
* Title:	
Tide.	
13. Competition Identification Number:	
Title:	
	_
14. Areas Affected by Project (Cities, Counties, States, etc.):	
Nfo Road, near the town of La Monte in Pettis County, Missouri	
	_
* 15. Descriptive Title of Applicant's Project:	
MO-La Monte—Nfo Rd. Crossing Upgrade	
	_
Attach supporting documents as specified in agency instructions.	

Application for Federal Assistance SF-424								
16. Congressional Districts Of:								
* a. Applicant MO-004 * b. Program/Project MO-004								
Attach an additional list of Program/Project Congressional Districts if needed.								
17. Proposed Project:								
* a. Start Date: 09/01/2011 * b. End Date: 09/01/2012								
18. Estimated Funding (\$):								
* a. Federal 150000								
* b. Applicant								
* c. State 50000								
* d. Local								
* e. Other 50000								
* f. Program Income								
*g. TOTAL 250000								
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? a. This application was made available to the State under the Executive Order 12372 Process for review on b. Program is subject to E.O. 12372 but has not been selected by the State for review. c. Program is not covered by E.O. 12372.								
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.) Applicant Federal Debt Delinquency Explanation Yes No								
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.								
Authorized Representative:								
Prefix: Mr. * First Name: Rodney								
Middle Name: P.								
* Last Name: Massman								
Suffix:								
* Title: Administrator of Railroads								
* Telephone Number: 573-751-7476 Fax Number: 573-526-4709								
* Email: Rodney.Massman@modot.mo.gov								
* Signature of Authorized Representative: ** Date Signed: 05/31/2011								

	or Federal Assis	stance 5F-424	
	eral Debt Delinquer		
following field acters that ca	d should contain an n be entered is 4,000	n explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of 0. Try and avoid extra spaces and carriage returns to maximize the availability of space.	

Previous
s Edition
Usable

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Standard Form 424C (Rev. 7-97)
Prescribed by OMB Circular A-102

150,000.00	↔	3c Multiply X%	Enter eligible costs from line 16c Multiply X	Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share.	17. E (6
			FEDERAL FUNDING		
250,000 .00	0.00	↔	\$ 250,000 .00	TOTAL PROJECT COSTS (subtract #15 from #14)	16.
	.00	49	\$.00	Project (program) income	15.
250,000.00	0.00 \$	49	\$ 250,000 .00	SUBTOTAL	14.
	.00	49	\$.00	Contingencies	13.
250,000.00	0.00 \$	49	\$ 250,000 .00	SUBTOTAL (sum of lines 1-11)	12.
	.00 \$	49	\$.00	Miscellaneous	1 1 .
-	.00 \$	49	\$.00	Equipment	10.
240,000.00	.00 \$	49	\$ 240,000 .00	Construction	
	.00 \$	49	\$.00	Demolition and removal	
10,000.00	.00 \$	49	\$ 10,000.00	Site work	lonte
	.00	49	\$.00	Project inspection fees	
	.00	49	\$.00	Other architectural and engineering fees	
	.00	49	\$.00	Architectural and engineering fees	
	.00	49	\$.00	Relocation expenses and payments	
	.00 \$	49	\$.00	Land, structures, rights-of-way, appraisals, etc.	
	.00 \$	49	\$.00	Administrative and legal expenses	
c. Total Allowable Costs (Columns a-b)		b. Costs Not Allowable for Participation	a. Total Cost	COST CLASSIFICATION	de
OMB Approval No. 0348-0047 case, you will be notified.	ch is the	OMB Approva Construction Programs share of project costs eligible for participation. If such is the case, you will be notified.	BUDGET INFORMATION - Construent of projection and computations to arrive at the Federal share of projections.	Certain Federal assistance programs require additio	NOTE:

Project Narrative Statement for Rail Crossing Discretionary Funds Application

- <u>Background</u> Briefly describe the events that led to the development of this project and the issue the project will address. Also provide a description of the role of high-speed rail as a component of the State's transportation system, including:
 - a. The State's high-speed rail service objectives for the Federally-designed HSR Corridor on which the proposed project is located;
 - b. The type of service currently in operation and that which is envisioned (e.g. number of daily round trips, speed, trip times, locations served, etc); and
 - c. The State's long-range investment program for current and future high-speed rail infrastructure, including a list of rail capital projects expected to be undertaken or supported by the State to develop the HSR Corridor.
- See attached Federal HSIPR map.
- See attached most recent map of all projects planned on the corridor for HSIPR.
- See most recent summary of all crossings awarded under HSIPR program.
- See attached map of the Missouri crossing project candidates applied for 2011 Discretionary Funds under the Railway-Highway Crossing Hazard Elimination in High Speed Rail Corridors Program.
- See Show Me Improved Rail Service high speed rail projects overview—June 2011.

This package of crossing project improvements is located on the Union Pacific Railroad in Missouri along the *Missouri River Runner* route, which is the Amtrak-state supported service and has been designated as a High Speed Rail Corridor.(see attached map) Any of these projects in combination or singly will improve service and safety along the route. There are 10 Amtrak stations along the route that include St. Louis, Kirkwood, Washington, Hermann, Jefferson City, Sedalia, Warrensburg, Lee's Summit, Independence and Kansas City. There is no commuter rail service on this line. The only freight use is by Union Pacific freight trains, which will also benefit from the project. There will be no donated land from the railroad in order to construct the project.

As illustrated in the attached information, this project will improve on-time performance along the entire Union Pacific corridor in Missouri between St. Louis and Kansas City. It will also enhance the future provision of 90- to 110-mph service. Many of these crossings have a history of train-vehicle accidents, most of them involving the higher-speed Amtrak trains. These crossings were identified through a process that reviewed the busiest five rail corridors in Missouri. Since this is the busiest Amtrak corridor and one of the top 10 busiest freight corridors in Missouri, these crossings all need improvements for overall traffic and train safety. These projects will improve up to 9 highway/rail at-grade crossings between Jefferson City and Kansas City. It is important to note that there are already 13 crossings that will receive lights and gates, and two crossings that will be closed on this corridor as a result of federal award of ARRA HSIPR money in 2010 that is currently in the construction agreement stages and on which work will begin soon. When these projects are completed, nearly the entire 283-mile

corridor will be completely "sealed" in the sense that public crossings will be either grade-separated or will have a full set of lights and gates.

This project is part of an already existing agreement between MoDOT and the Union Pacific Railroad in which the costs are split 80-20 between the two parties. UP's share remains the same at 20 percent. MODOT intends to use both state funds and federal 23 USC Section 130 funds for its share of 20 percent. MoDOT is proposing federal funds for the other 60 percent.

All the crossings identified in an attached sheet are in, Pettis, Johnson, Morgan, Jackson and Cole counties on the UP Sedalia Subdivision. Crossing improvements will all be full lights and gates installations with constant warning time circuitry and LED lighting. Closed crossings will be closed with type IV object markers and surfaces obliterated. The overall purpose of this project is to promote crossing safety and reduce the amount of accidents between Amtrak trains and vehicles, thereby, increasing safety for both the general public and for train crews and passengers.

- <u>Project Location</u> Specify the location of the proposed project, including:
 - a. The county, city, cross streets, Federally-designated HSR Corridor, railroad right-of-way owner, railroad milepost number, DOT crossing inventory number, and longitude and latitude coordinates;
 - b. The characteristics of the crossing, including the number of railroad tracks, number of roadway traffic lanes, existing traffic control devices, average annual daily traffic, volume of truck traffic, and the history of train-vehicle accidents at the crossing; and
 - c. Any nearby areas of significant concern, such as schools, hospitals, first responders, or other emergency services providers.
 - a. This project is located at DOT crossing no. 442 092K on the Union Pacific Railroad Sedalia Subdivision at railroad milepost 198.67, longitude 93.38627, latitude 38.76581. This crossing is located on the St. Louis-Kansas City spoke of the Chicago Hub Network federally-designated HSR corridor.
 - The crossing is located 2.08 miles east of the city of La Monte, MO in Pettis County. The Railroad operates a single main track through this crossing and timetable direction is east/west.
 - b. Crossing no. 442 092K is a public at grade crossing where Nfo Road, a 2-lane county maintained gravel roadway traversing north/south intersects at right angle with the Railroad's track. Approximately 750 feet south of the crossing Nfo Road intersects at right angle with US Highway 50, a 4-lane asphalt divided highway with a Function Classification at this location of Rural Other Principal Arterial.
 - Approximately 22 freight and 4 passenger trains operate daily thru this crossing, maximum timetable authorized speed at this location is 70 mph passenger and 55 mph freight.

The warning devices consist of R15-1 crossbuck signs and W10-1 advance warning signs located on the right hand side of each roadway approach.

The estimated AADT is 10 which consists of 40% trucks.

There have been no train/vehicle collisions at this crossing.

- c. A farming operation located approximately 800 feet north of the crossing operates large farm machinery through the crossing at various times of the year.
- <u>Scope of Activities</u> Clearly describe the scope of work to be accomplished and identify the general objectives of the proposed project, including:
 - a. General Objective Provide a general description of the work to be accomplished through this grant, including project work effort and other parties involved. Describe the end-state of the project, how it will address the need identified in Background (above), and the outcomes that will be achieved as a result of the project; and
 - b. Description of Work Provide a detailed description of the work to be accomplished through this grant by task (e.g., discreet design and construction elements) including a description of the geographical and physical boundaries of the project. Address the work in a logical sequence that would lead to the anticipated outcomes and the end state of the activities.
 - a. General Objective The objective of this project is to upgrade the passive warning devices at this crossing to flashing light signals and gates.
 - The \$150,000 grant amount requested for this project represents 60% of the estimated cost to upgrade to flashing light signals and gates. In addition to the requested grant, MoDOT and Union Pacific will each contribute 20% of the upgrade cost. MoDOT's 20% contribution will be split evenly between Section 130 and State Grade Crossing Safety Account funds.
 - b. Description of Work New flashing light signals and gates with LED lights and constant warning time circuitry will be installed by Union Pacific Railroad signal forces.
- <u>Response to Evaluation Criteria</u> Describe how the proposed project addresses the evaluation criteria listed below:

Evaluation Criteria

Applications submitted for consideration will first be screened for completeness and eligibility. Eligible and complete applications will then be evaluated against the following criteria:

- Improves safety at a crossing that has recent activity or high potential for accidents between pedestrian and/or vehicle traffic and HSR or intercity passenger rail operations;
 - Upgrading the warning devices from passive crossbucks to flashing lights and gates will improve safety at this crossing. There is presently a high potential for accidents as there is limited sight distance in the northwest quadrant, maximum timetable authorized speed at this location is 70 mph passenger and 55 mph freight and large, slow moving farm machinery operates through the crossing during the year.
- Upgrades a crossing or a series of crossings to create a "sealed Corridor" segment utilizing advanced warning technology, four-quadrant gates, or median separators with preference to crossing closures;
 - After this project (and related crossing upgrades or closures that are also included in this grant application) are completed, nearly the entire St. Louis-Kansas City HSR corridor will be sealed because every public crossing save one will be either grade-separated or equipped with flashing light signals and gates.
- Supports a HSR Corridor Service Development Plan
 - This project supports the Chicago Hub Network Corridor Service Development plan of which the St. Louis-Kansas City line is a spoke.
- Is included on a Corridor with active HSR or intercity passenger rail service that has programmed capital funding for an increase in service frequency or speeds of 90 mph or greater with preference toward the development of Corridors with speeds of 110 mph or greater;
 - This project is included on a Federally-designated HSR Corridor with active intercity passenger rail service.
- Will generate improvements to existing HSR or intercity passenger rail service, as reflected by estimated increases in ridership, increases in operational reliability, increases in average and/or top operating speeds, reductions in trip times, additional service frequencies, and other related factors;
 - This project will increase the operational reliability of existing intercity passenger rail service because the high potential of a train/vehicle collision at this crossing will be eliminated.
- Demonstrates support from key project partners, including the infrastructure owning railroad, local governments, and other relevant stakeholders;

• Both Union Pacific Railroad and Pettis County support the upgrade of this crossing from passive crossbucks to flashing lights and gates.

and

- Conforms to the FRA's "High-Speed Passenger Rail Safety Strategy" guidance published November 2009, which is posted on the FRA website at the following link http://www.fra.dot.gov/downloads/safety/HSRSafetyStrategy110609.pdf.
 - This project conforms to the above referenced FRA guidance as the proposed crossing upgrade, coupled with similar planned upgrades, are part of the overall plan to create a "sealed Corridor" on the St. Louis-Kansas City HSR corridor.

Missouri is currently embarking on a project to develop a PRIIA compliant Missouri State Rail Plan. This project is anticipated to complete by May 2012.

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE <u>DO NOT</u> RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property aquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex: (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the

- National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955. as amended (42 U.S.C. §§7401 et seg.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE			
Rodney P. Marin	Administra	rator of Railroads		
APPLICANT ORGANIZATION		DATE SUBMITTED		
Missouri Department of Transportation		May 31, 2011		

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

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Standard Form LLL (Rev. 7-97)

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.) 1. Type of Federal Action: 2. Status of Federal Action: 3. Report Type: a. contract a. bid/offer/application a. initial filing b. grant b. initial award b. material change c. cooperative agreement c. post-award For Material Change Only: d. loan year ____ quarter e. loan guarantee date of last report f. loan insurance 4. Name and Address of Reporting Entity: 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name × Prime Subawardee and Address of Prime: Tier _____, if known: Missouri DOT 830 MoDOT Drive Jefferson City, MO 65102 Congressional District, if known: Congressional District, if known: 6. Federal Department/Agency: 7. Federal Program Name/Description: USDOT/FHWA and FRA CFDA Number, if applicable: 20.205 8. Federal Action Number, if known: 9. Award Amount, if known: 10. a. Name and Address of Lobbying Registrant b. Individuals Performing Services (including address if (if individual, last name, first name, MI): different from No. 10a) (last name, first name, MI): Information requested through this form is authorized by title 31 U.S.C. section
 1352. This disclosure of lobbying activities is a material representation of fact Signature: upon which reliance was placed by the tier above when this transaction was made Print Name: Rodney P. Massman or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the Title: Administrator of Railroads required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. 05/31/2011 Telephone No.: 573-751-7476 Date:

Federal Use Only:



May 27, 2011

To Whom It May Concern:

This letter is to demonstrate Union Pacific Railroad's (UP's) support for the Missouri Department of Transportation (MoDOT) to obtain additional funding through the 2011 Discretionary Grant Program for Railway-Highway Crossing Hazard Elimination in High Speed Rail Corridors jointly administered by the Federal Railroad Administration and the Federal Highway Administration. UP has worked collaboratively with MoDOT to advance projects like this in the past. It is understood that MoDOT has not yet received funding for these projects along the KC to STL Corridor:

- 1. Centertown-Close Oak St crossing by making engineering improvements near Rt NN crossing
- 2. Syracuse-MFA crossing-Close crossing by providing incentive payment to MFA
- 3.LaMonte- Nifo Road-Upgrade to flashing lights & gates
- 4.LaMonte- Walnut St-Upgrade to flashing lights & gates
- 5. Knob Noster-Upgrade to flashing lights & gates
- 6. Kingsville-Upgrade to flashing lights & gates
- 7. Strasburg-Co Rd. 1971Close crossing by providing incentive payment to Johnson County
- 8. Strasburg-Rogers Rd-Upgrade to flashing lights & gates
- 9. Greenwood—Ranson Rd-Grade separation

Sincerely,

Michael L Benjamin

Manager Special Projects - Industry and Public

Union Pacific Railroad Company

NATIONAL RAILROAD PASSENGER CORPORATION

525 West Van Buren Street, Chicago, I: 6360?



May 26, 2011

Mr. Rodney Massman
Administrator of Railroads
Missouri Department of Transportation
830 MoDOT Drive
Jefferson City, MO 65109

Dear Mr. Massman:

The National Railroad Passenger Corporation (Amtrak) would like to take this opportunity to express its support for Missouri's 2011 Discretionary Grant Program application for Railway-Highway Crossing Hazard Elimination in High Speed Rail Corridors jointly administered by the Federal Railroad Administration and the Federal Highway Administration.

Amtrak's support relates to the following infrastructure improvement projects on the St. Louis-Kansas City federally designated high speed rail corridor:

- 1. Centertown-Close Oak St crossing by making engineering improvements near Rt NN crossing
- 2. Syracuse-MFA crossing-Close crossing by providing incentive payment to MFA
- 3. LaMonte- Nifo Road-Upgrade to flashing lights & gates
- 4. LaMonte Walnut St-Upgrade to flashing lights & gates
- 5. Knob Noster-Upgrade to flashing lights & gates
- Kingsville-Upgrade to flashing lights & gates
- 7. Strasburg-Co Rd. 1971Close crossing by providing incentive payment to Johnson County
- 8. Strasburg-Rogers Rd-Upgrade to flashing lights & gates
- 9. Greenwood-Ranson Rd-Grade separation

Muchael W. Junke

These projects, upon completion, will improve intercity passenger rail service and benefit the Amtrak system. Based on the information made available to us regarding the projects' eligibility, and subject to the development of such project-specific agreements as FRA and FHWA may require, we support their selection for grant funding and ultimate implementation.

The advancement of projects like these will help develop high-speed and quality intercity passenger rail service in the United States. We appreciate your leadership in filling this application and look forward to a favorable decision.

Sincerely,

Michael W. Franke

Assistant Vice President, Policy and Development (Central Region)



June 2011



High-speed rail has long been part of the vision for Missouri's passenger rail service. In an effort to help bring efficient passenger rail services to the Midwest, studies have been completed, partnerships have been solidified and plans have been formulated. Now, through the High-Speed Intercity Passenger Rail Program – an opportunity is ahead.

In partnership with the Union Pacific Railroad, Amtrak and the

terminal railroads, the Missouri Department of Transportation is submitting to the Federal Railroad Administration project applications that address capital improvements for track sections between St. Louis and Kansas City. Missouri has had a state-supported Amtrak route on this line, which is owned by Union Pacific, for more than 30 years. A goal of this cooperative effort, through a focus on service reliability, is to remove rail system bottlenecks in order to increase ridership. The type of high-speed rail projects Missouri plans to develop

is one that would make the train service on the existing line faster over time. These applications will allow the state to take the next step toward faster service.

By enhancing the capacity of the current system, these new rail projects, which will complement several recent and ongoing improvements, will create and support jobs. These jobs deliver both economic and transportation benefits by bringing about more reliable service and eventually producing faster travel times.



The rail projects will profoundly impact on-time performance, and in the future, the ability of trains to move at 90- to 110-miles per hour. If fully implemented, the analysis of the St. Louis-to-Kansas City corridor improvement plan shows a 47.6 percent reduction in passenger train delays while maintaining a critical freight rail delivery system.



There are even more benefits! To further enhance this opportunity to improve transportation choices, the long-term plan along this corridor is to add additional frequencies and purchase new equipment for the train service when reliable ontime performance is achieved. Another benefit is the enhancement of Missouri's role in passenger rail by providing a section of the Midwest Regional Rail Initiative, which is a planning effort of MoDOT and eight other state transportation departments launched in 1996. An additional benefit is the establishment of a key transfer point in St. Louis, through the new gateway Multimodal Station, to the high-speed Chicago-to-St. Louis rail line.

To demonstrate full support, MoDOT, Union Pacific Railroad and the terminal railroads are committing significant financial contributions to completing the improvement projects represented in this round of applications. Because the passenger rail needs far outweigh the limited resources of the federal program, Missouri's projects are listed in a priority order to help address the most critical issues first.

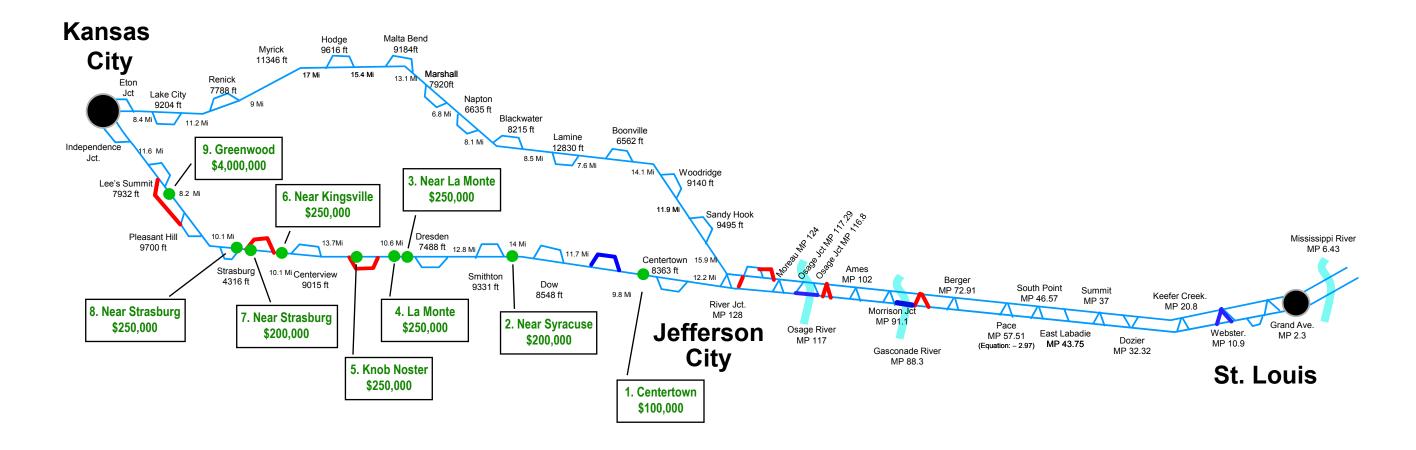
Throughout the past 30 years, the poor on-time performance of passenger rail has adversely affected its use. Missourians are speaking loudly and clearly: passenger rail service must be reliable. Together - MoDOT, Union Pacific, Amtrak and the terminal railroads - are making steady progress in improving this rail corridor in order to give customers a dependable service. These grants will provide the down payment Missouri needs to further enhance both passenger and freight movement, and thus move significantly closer to clearly realizing the vision of a national network of high-speed rail corridors.



Missouri's Projects for 2011 Discretionary Funds - Railway-Highway Crossing Hazard Elimination in High Speed Rail Corridors Program

		Cost	FRA/Host/MoDOT Contribution (%)	FRA Contribution	Host Contribution	MoDOT Contribution
1	MO-Centertown—Oak St. Crossing Closure	\$100,000	60/20/20	\$60,000	\$20,000	\$20,000
2	MO-Syracuse—MFA Crossing Closure	\$200,000	75/25/0	\$150,000	\$50,000	\$0
3	MO-La Monte—Nfo Rd. Crossing Upgrade	\$250,000	60/20/20	\$150,000	\$50,000	\$50,000
4	MO-La Monte—Walnut St. Crossing Upgrade	\$250,000	60/20/20	\$150,000	\$50,000	\$50,000
5	MO-Knob Noster—Adams Crossing Upgrade	\$250,000	60/20/20	\$150,000	\$50,000	\$50,000
6	MO-Kingsville—Co. Rd. 1601 Crossing Upgrade	\$250,000	60/20/20	\$150,000	\$50,000	\$50,000
7	MO-Strasburg—Co. Rd. 1971 Crossing Closure	\$200,000	60/20/20	\$120,000	\$40,000	\$40,000
8	MO-Strasburg—Rogers Rd. Crossing Upgrade	\$250,000	60/20/20	\$150,000	\$50,000	\$50,000
9	MO-Greenwood—Ranson Rd. Grade Separation	\$4,000,000	80/5/15	\$3,200,000	\$200,000	\$600,000
	GRAND TOTAL	\$5,750,000		\$4,280,000	\$560,000	\$910,000

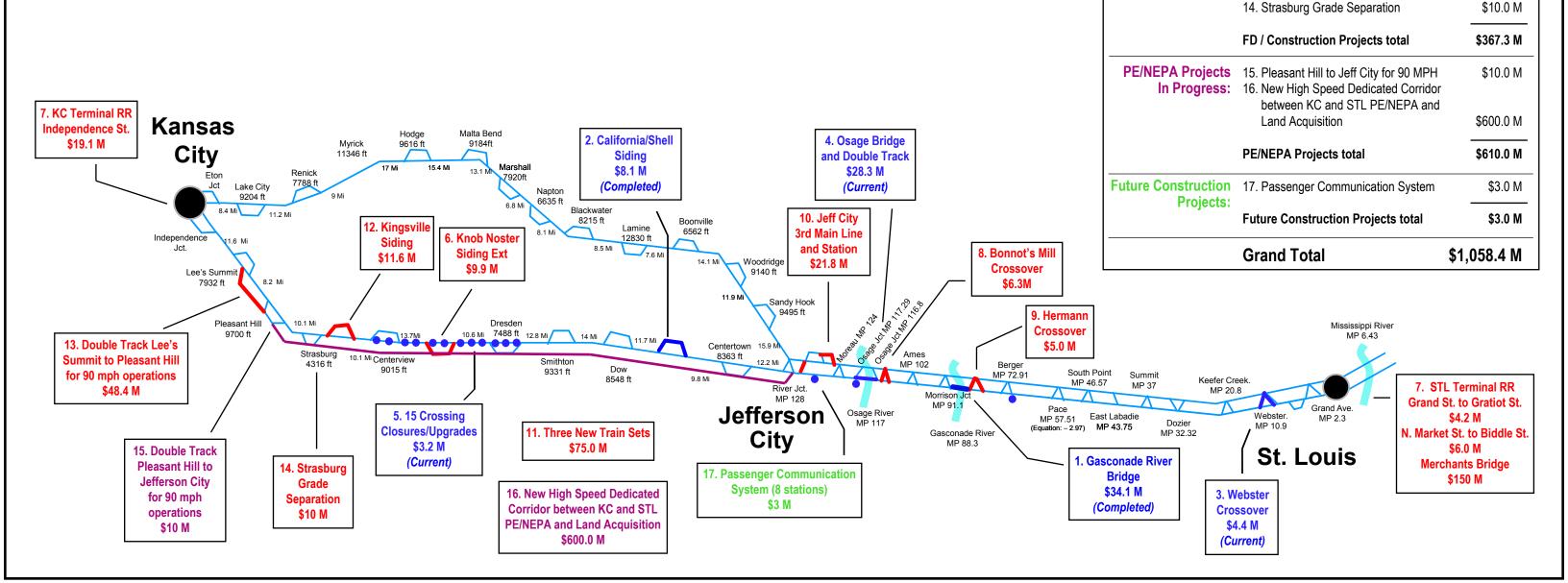
Project Candidates Union Pacific RR HSR Corridor Jefferson City and Sedalia Subdivisions



	Application Name	DOT	MP	City	County	Roadway	Device	Estimated cost
1	MO-Centertown—Oak St. Crossing Closure	442 249N	140.15	Centertown	Cole	Oak St	XВ	100,000
2	MO-Syracuse—MFA Crossing Closure	442 184X	166.25	Near Syracuse	Morgan	MFA	Pvt/Stop	200,000
3	MO-La Monte—Nfo Rd. Crossing Upgrade	442 092K	198.67	Near La Monte	Pettis	Nfo Rd	XB	250,000
4	MO-La Monte—Walnut St. Crossing Upgrade	442 089C	200.95	La Monte	Pettis	Walnut St	FL	250,000
5	MO-Knob Noster—Adams Crossing Upgrade	442 072Y	208.1	Knob Noster	Johnson	Adams St	FL	250,000
6	MO-Kingsville—Co. Rd. 1601 Crossing Upgrade	442 009G	236.47	Near Kingsville	Johnson	Co Rd 1601	XB	250,000
7	MO-Strasburg—Co. Rd. 1971 Crossing Closure	442 005E	240.53	Near Strasburg	Johnson	Co Rd 1971	XВ	200,000
8	MO-Strasburg—Rogers Rd. Crossing Upgrade	441 994L	245.72	Near Strasburg	Johnson	Rogers Rd	XВ	250,000
9	MO-Greenwood—Ranson Rd. Grade Separation	441 975G	255.52	Greenwood	Jackson	Ranson Rd	FLG	4,000,000

Kansas City to St. Louis

MoDOT's Proposed UP/Amtrak Partnership HSIPR Funding Improvements as of April, 2011



Passenger / Freight

Improvement Plan

4. Osage Bridge and Double Track

STL Terminal - Grand St. to Gratiot St.

STL Terminal - Merchants Bridge

KC Terminal - Independence St.

13. Lee's Summit to Pleasant Hill for 90 MPH

STL Terminal - N Market St. To Biddle St.

2. California/Shell Siding

Webster Crossover

5. Crossing Upgrades

Current/Completed total

8. Bonnot's Mill Crossover

10. JC 3rd Mainline and Station

9. Hermann Crossover

11. Three Train Sets

12. Kingsville Siding

\$34.1 M

\$8.1 M

\$4.4 M

\$28.3 M

\$3.2 M

\$78.1 M

\$9.9 M

\$4.2 M

\$6.0 M

19.1 M

\$6.3 M

\$5.0 M

\$21.8 M

\$75.0 M

\$11.6 M

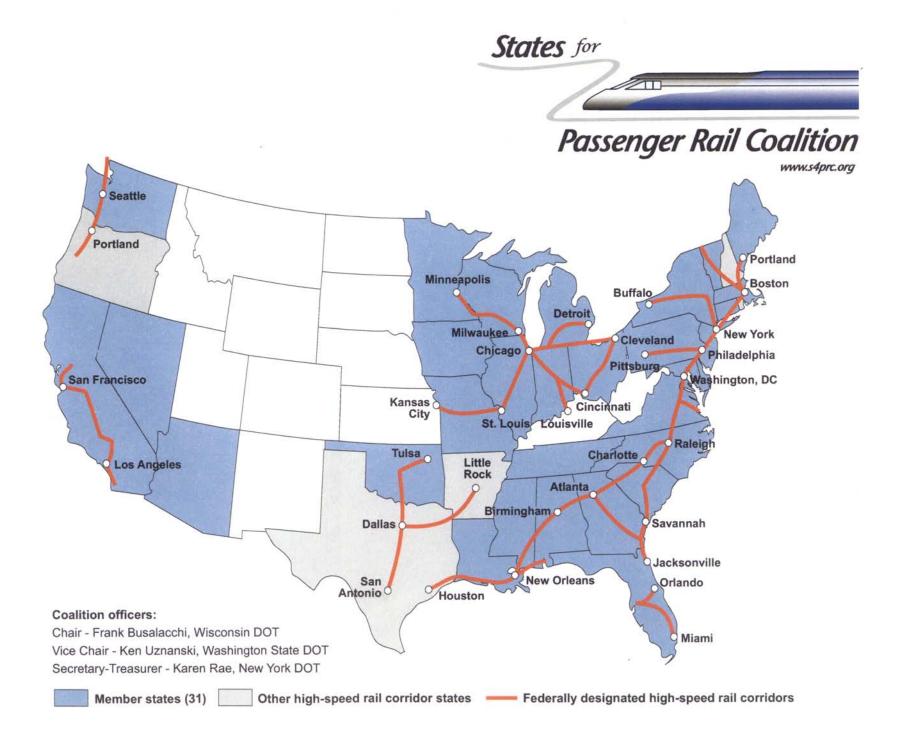
\$48.4 M

\$150.0 M

Current/Completed: 1.Gasconade River Bridge

FD / Construction 6. Knob Noster Siding

Projects: 7. Terminal RR



MO-KC to STL Corridor-Missouri Rail Crossing Safety Improvements

Union Pacific Owned St. Louis to Kansas City Corridor FRA Designated High-Speed Corridor and Current State-Supported Amtrak Route MoDOT Proposed ARRA Project to Improve Crossing Safety

Preliminary Total Project Cost Estimate: \$3,144,100

Proposed Grade-Crossing Upgrades

NOTE - Any roadway improvements required in conjunction with any of the following projects will be financed with non-ARRA funds.

1. <u>Berger, Missouri</u>: Install new lights and gates with constant warning time circuitry at crossing # 442 781E (**Zero Rd**) on the Jefferson City Subdivision. It currently has flashers only with phase motion detector circuitry and has had 1 grade-crossing accident since 1976.

Estimated Cost: \$211,100.00

2. Osage City, Missouri: Install new lights and gates with constant warning time circuitry at crossing # 442 827R (**Fourth St**) on the Jefferson City Subdivision. It currently has flashers only with phase motion detector circuitry and has had 1 grade-crossing accident since 1976.

Estimated Cost: \$268,200.00

3. Near Tipton, Missouri: Install new lights and gates with constant warning time circuitry at crossing # 442 194D (**Howard Rd**) on the Sedalia Subdivision. It currently has only crossbucks and has had 2 grade-crossing accidents, including 1 with Amtrak, since 1976.

Estimated Cost: \$212,700.00

4. Near Syracuse, Missouri: Install new lights and gates with constant warning time circuitry at crossing # 442 172D (Otter Dr) on the Sedalia subdivision. It currently has only crossbucks.

Estimated Cost: \$243,800.00

5. Near Smithton, Missouri: Install new lights and gates with constant warning time circuitry at crossing # 442 160J (**Florence Rd**) on the Sedalia subdivision. It currently has only crossbucks and has had 1 grade-crossing accident, involving Amtrak, since 1976.

Estimated Cost: \$217,300.00

6. Near La Monte, Missouri: Install new lights and gates with constant warning time circuitry at crossing # 442 087N (Carlin-Mar Rd) on the Sedalia Subdivision. It currently has only crossbucks.

Estimated Cost: \$260,100.00

MO-KC to STL Corridor-Missouri Rail Crossing Safety Improvements

Union Pacific Owned St. Louis to Kansas City Corridor FRA Designated High-Speed Corridor and Current State-Supported Amtrak Route MoDOT Proposed ARRA Project to Improve Crossing Safety

Preliminary Total Project Cost Estimate: \$3,144,100

7. Near Montserrat, Missouri: Install new lights and gates with constant warning time circuitry at crossing # 442 064G (**Co Rd 115**) on the Sedalia Subdivision. It currently has only crossbucks and has had 7 grade-crossing accidents, including 3 with Amtrak (1 fatality), since 1976.

Estimated Cost: \$179,400.00

8. <u>Near Centerview, Missouri</u>: Install new lights and gates with constant warning time circuitry at crossing # 442 040T (**Co Rd 301**) on the Sedalia Subdivision. It currently has only crossbucks and has had 2 grade-crossing accidents since 1975.

Estimated Cost: \$249,300.00

9. <u>Near Centerview, Missouri</u>: Install new lights and gates with constant warning time circuitry at crossing # 442 037K (**Co Rd 401**) on the Sedalia Subdivision. It currently has only crossbucks.

Estimated Cost: \$267,800.00

10. <u>Near Holden, Missouri</u> Project Description: Install new lights and gates with constant warning time circuitry at crossing # 442 012P (**Co Rd 1451**) on the Sedalia Subdivision. It currently has only crossbucks and has had 4 grade-crossing accidents since 1975.

Estimated Cost: \$266,400.00

11. <u>Near Strasburg, Missouri</u>: Install new lights and gates with constant warning time circuitry at crossing # 442 005E (**Co Rd 1971**) on the Sedalia Subdivision. It currently has only crossbucks.

Estimated Cost: \$343,400.00

12. <u>Near Strasburg, Missouri</u>: Install new lights and gates with constant warning time circuitry at crossing # 441 995T (**Beattie Rd**) on the Sedalia Subdivision. It currently has only crossbucks.

Estimated Cost: \$194,300.00

13. <u>Near Pleasant Hill, Missouri</u>: Install new lights and gates with constant warning time circuitry at crossing # 441 993E (**Francy Rd**) on the Sedalia Subdivision. It currently has only crossbucks and has had 1 grade-crossing accident since 1975.

Estimated Cost: \$230,300.00

MO-KC to STL Corridor-Missouri Rail Crossing Safety Improvements

Union Pacific Owned St. Louis to Kansas City Corridor FRA Designated High-Speed Corridor and Current State-Supported Amtrak Route MoDOT Proposed ARRA Project to Improve Crossing Safety

Preliminary Total Project Cost Estimate: \$3,144,100

Proposed Grade-Crossing Closures and Roadway Improvements

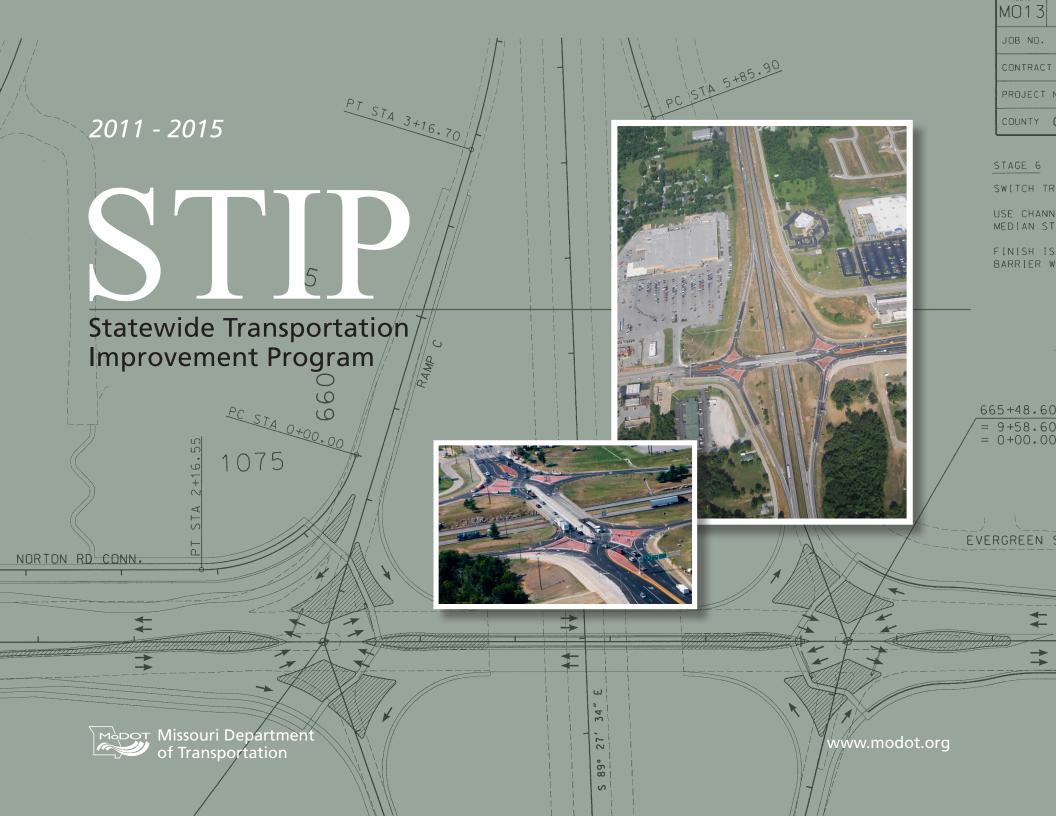
NOTE – the following projects will be financed with non-ARRA funds.

<u>La Monte, Missouri</u>: Close at grade crossing at crossing # 442 089C (**Walnut St**) on the Sedalia Subdivision. It currently has flashers only with phase motion detector circuitry and is located approximately 500 feet west of crossing # 442 090W which is equipped with lights and gates and 1 mile east of crossing # 442 087N, a crossbuck crossing that is recommended for upgrade to lights and gates.

<u>Near Montserrat, Missouri</u>: Build turn lanes on eastbound lane of US 50 near in conjunction with installation of new lights and gates with constant warning time circuitry at crossing # 442 064G (**Co Rd 115**) on the Sedalia Subdivision.

Near Centerview, Missouri: Close at grade crossing at crossing # 442 038S (**Co Rd 100**) on the Sedalia Subdivision. It currently has only crossbucks only & lies .85 miles west of crossing # 442 040T (**Co Rd 301**) & .30 miles east of crossing # 442 037K (**Co Rd 401**), both of which are crossbuck crossings & recommended to be upgraded to flashing lights & gates. Build connecting road between Co Rd 100 & Co Rd 401 (approximately .3 mile)

<u>Near Strasburg, Missouri</u>: Improve entrance to county road from State Route 58 in conjunction with installation of new lights and gates with constant warning time circuitry at crossing # 442 005E (**Co Rd 1971**) on the Sedalia Subdivision.



MISSOURI STATEWIDE TRANSPORTATION PROGRAM FY 2011- 2015 PASSENGER RAIL AND HIGHWAY/RAIL CROSSING SAFETY PROGRAM MoDOT 7/2010-7/2011-7/2012-7/2013-7/2014-PROJECT DESCRIPTION LOCATION DISTRICT 6/2011 6/2012 6/2013 6/2014 6/2015 St. Louis to Kansas City Continue operation and provide State Supported Passenger Rail Service equipment capital share cost of service 4,5,6 8,500,000 8,600,000 9,200,000 8,800,000 9,000,000 Amtrak (National Railroad Passenger Corporation) between St. Louis-Kansas City (Missouri River Runner) trains St. Louis to Kansas City Advertising/promotional costs for the State Supported Passenger Rail Service All 125,000 125,000 125,000 125,000 125,000 St. Louis - Kansas City corridor Amtrak (National Railroad Passenger Corporation) Missouri Amtrak passenger stations (Kansas City-Independence-Lee's Summit-Warrensburg Station repairs/improvements to 2,4,5,6,10 25,000 25.000 25,000 25,000 25.000 Sedalia-Jefferson City-Hermann-Washington-Kirkwood Missouri Amtrak passenger stations St. Louis-La Plata-Poplar Bluff) Rail/highway crossing hazard elimination; feasibility study; St. Louis to Kansas City environmental & preliminary Planning funds for high speed rail corridor 4.5.6 2,000,000 2,000,000 2,000,000 2,000,000 2,000,000 engineering study; infrastructure improvements; equipment acquisition for St. Louis to Kansas City route Construct second bridge over Osage Cole/Osage Co - UP 5 28,300,000 River- ARRA HSR Project Construct Webster/Kirkwood universal In Kirkwood, St Louis Co - UP 6 4,400,000 crossover- ARRA HSR Project Construct Knob Noster siding Near Knob Noster, Johnson Co - UP 4 8,500,000 extension- ARRA HSR Project Construct Hermann universal crossover-In Hermann, Gasconade Co - UP 5 5,200,000 ARRA HSR Project Construct 3rd mainline track- ARRA In Jefferson City, Cole Co – UP 5 9,700,000 **HSR Project** Construct Bonnots Mill universal Near Bonnots Mill, Osage Co – UP 5 5.800,000 crossover- ARRA HSR Project Missouri River Runner Amtrak Stations 4, 5, 6 Passenger communication system 3,000,000 In Kingsville, Johnson Co - UP 4 Construct Kingsville siding 11,500,000 Construct second main track / upgrade In Lee's Summit/Pleasant Hill, Jackson/Cass Co - UP 4 56,600,000 to 90 mph Karg Rd - near Pleasant Hill, Cass Co - UP Install active warning devices -4 250,000 DOT# 441 991R ARRA HSR Project

MISSOURI STATEWIDE TRANSPORTATION PROGRAM FY 2011- 2015 PASSENGER RAIL AND HIGHWAY/RAIL CROSSING SAFETY PROGRAM MoDOT 7/2010-7/2011-7/2012-7/2013-7/2014-LOCATION PROJECT DESCRIPTION DISTRICT 6/2011 6/2012 6/2013 6/2014 6/2015 Install active warning devices -Francy Rd - near Pleasant Hill, Cass Co - UP 4 250,000 ARRA HSR Project DOT# 441 993E Install active warning devices – Beattie Rd - near Strasburg, Cass Co - UP 4 200,000 ARRA HSR Project DOT# 441 995T Install active warning devices – CR 1451 - near Holden, Johnson Co - UP 4 275,000 ARRA HSR Project DOT# 442 012P Install active warning devices – CR 301 - near Warrensburg, Johnson Co - UP 4 275,000 ARRA HSR Project DOT# 442 040T Install active warning devices -CR 401 - near Centerview, Johnson Co - UP 4 275,000 ARRA HSR Project DOT# 442 037K Install active warning devices – CR 115 - near Montserrat, Johnson Co - UP 4 180,000 ARRA HSR Project DOT# 442 064G Install active warning devices – Florence Rd - near Smithton, Pettis Co - UP 5 225,000 ARRA HSR Project DOT# 442 160J Install active warning devices -Carlin-Mar Rd - near La Monte, Pettis Co - UP 5 275,000 ARRA HSR Project DOT# 442 087N Otter Dr – near Syracuse, Cooper, Co – UP Install active warning devices -5 250,000 DOT # 442 172D ARRA HSR Project Howard Rd - near Tipton, Moniteau, Co - UP Install active warning devices -5 250,000 DOT # 442 194D ARRA HSR Project Fourth St - in Osage City, Cole Co - UP Upgrade active warning devices – 5 270,000 DOT # 442 827R ARRA HSR Project Upgrade active warning devices -Zero Rd – near Berger, Franklin Co – UP 6 220,000 DOT # 442 781E ARRA HSR Project Purchase passenger train equipment for Various 4, 5, 6 Missouri service 50,000,000 ARRA HSR Project

Install active warning devices

Install active warning devices

Install active warning devices

Install active warning devices

200,000

200,000

200,000

200,000

1

1

1

1

60th Ln - in Halls, Buchanan Co - BNSF

Bethel Rd - near Halls, Buchanan Co - BNSF

Pettit St - near French, Buchanan Co - BNSF

Cotton Wood Rd- near French, Buchanan Co- BNSF

DOT# 095 227F

DOT# 095 228M

DOT# 095 230N

DOT# 095 232C

MISSOURI STATEWIDE TRANSPORTATION PROGRAM FY 2011- 2015 PASSENGER RAIL AND HIGHWAY/RAIL CROSSING SAFETY PROGRAM

PASSENGER RAIL AND HIGHWAY/RAIL CROSSING SAFETY PROGRA							
LOCATION	MoDOT DISTRICT	PROJECT DESCRIPTION	7/2010- 6/2011	7/2011- 6/2012	7/2012- 6/2013	7/2013- 6/2014	7/2014- 6/2015
Prairie Rd - near Forbes, Holt Co - BNSF DOT# 070 160E	1	Install active warning devices, close one crossing	325,000				
Old Hwy 59 - near St Joseph, Buchanan Co - BNSF (DOT# 095 211J)	1	Upgrade active warning devices		700,000			
US 59, Buchanan Co- BNSF Various crossings	1	Various improvements		450,000			
Craig, Holt Co – BNSF DOT # 074 451H – 3 rd St – upgrade to gates DOT# 074 450B – 4 th St - close DOT# 074 438U – Rt 111 – LED upgrade	1	Close 1 crossing Upgrade active warning devices at 2 crossings	450,000				
Rt FF – near Bevier, Macon, Co - BNSF DOT# 844 037W	2	Install active warning devices		160,000			
Rt BB – near Bevier, Macon, Co - BNSF DOT# 844 040E	2	Install active warning devices		160,000			
Rt C – near Bevier, Macon, Co - BNSF DOT# 844 039K	2	Upgrade active warning devices		50,000			
Banner Ln - near Gibbs, Adair Co - BNSF DOT# 005 081U	2	Install active warning devices			200,000		
Lantern St - in La Plata, Adair Co - BNSF DOT# 005 086D	2	Upgrade active warning devices			50,000		
Owensby St -in La Plata, Macon Co - BNSF DOT# 005 089Y	2	Upgrade active warning devices			50,000		
MO 156 - near La Plata, Macon Co - BNSF DOT# 005 091A	2	Upgrade active warning devices			50,000		
Indiana Pl - near La Plata, Macon Co - BNSF DOT# 005 092G	2	Install active warning devices			200,000		
Iceberg Pl - near La Plata, Macon Co - BNSF DOT# 005 094V	2	Upgrade active warning devices			50,000		
Hilton Ave - in La Plata, Macon Co - BNSF DOT# 005 095C	2	Upgrade active warning devices			50,000		
Helium St - in La Plata, Macon Co - BNSF DOT# 005 097R	2	Install active warning devices	250,000				
Hauser St - in Marceline, Chariton Co - BNSF DOT# 005 142H	2	Upgrade active warning devices/grade separation feasibility			200,000		
Crocker St - in Marceline, Chariton Co - BNSF DOT# 005 143P	2	Upgrade active warning devices/grade separation feasibility			200,000		