Missouri
Department
of Transportation



External Civil Rights Division 1617 Missouri Blvd. P.O. Box 270 Jefferson City, MO 65102 (573) 526-2978 Fax (573) 526-0558 www.modot.org

Patrick McKenna, Director

DISADVANTAGED BUSINESS ENTERPRISE NO CHANGE AFFIDAVIT

This annual update form is required by MoDOT in order to keep your DBE eligibility status. When submitting this form you are <u>required</u> to enclose your previous years <u>Business</u> Income Tax Returns, and W3's, and any other documentation that supports the reported changes on this form.

GENERAL INFORMATION												
Name of Firm:												
Street Address:												
Mailing Address:												
City:						S	tate:		Z	ip:		
Owner of Firm:	Telephone Number:											
Are the firms NAICS co	odes accurate	in th	ne MRCC Dire	ctory	y?	YES	N)				
Person preparing this	application: Title:				Email :							
Circle the districts the firm is willing to travel to for work: Northwest Northeast Kansas City Central St. Louis Southwest Southeast												
Items such as amendments to corporate officers, etc. sho decertification of the firm. Attach additional she	ould be enclosed.	Note		gree	ments,							
Have there been any			nership structu	ire c	f the	compan	y in the p	ast yea	ar?	YES	NO	
Please check what str												
Sole Proprietorship	Partne				oratio		Othe	r				
List equipment purchas	ed or leased in	n the	e past year wit	h va	ılue gı	eater th	an \$1,00	0.00 <mark>(i</mark> i	nclude n	ew titles/	lease docs)	
Type of Equipment			Make		Model		Year	Date Acquire		d Present Value		
List five largest contrac	ts completed i	n pa	ıst year.									
Prime Contractor	Phone		Contract Amoun		Project Nan		ne/Location		Type of	Work P	ork Performed	
						-						
Have you acquired any If answered "YES", list	personal asse	ets o		he la	ast ye	ar? Y	ES N	IO accour	nts, etc.):	:		
Description	Acquired/Solo		Names on Asse				ties Against Asset			Value of Asset		
'	, , , , , , , , , , , , , , , , , , ,											

CERTIFICATION AFFIDAVIT

We, the undersigned officers of the afore-mentioned firm agree to the following conditions:

To abide by all of the rules and regulations governing the certification process hereafter.

To notify the department within thirty days of any change in the ownership, control, management or status as an on-going concern. (Note: If, after filing this annual update, and prior to the expiration of your certification, there is any change in the ownership and/or management of this firm, you must notify the Missouri Highway and Transportation Department in writing within thirty days after the change. Failure to comply with this requirement may lead to a loss of certification.)

That the department has the right to conduct an on-site review of the firm's operations, as well as, audit and examine the company's books and review contracts, company structure, facilities and to request whatever additional information it deems necessary from time to time, in order to monitor the status of the company, if the firm is certified by the department as a bona-fide disadvantaged owned and controlled company.

I swear that I am socially disadvantaged because I have been subjected to racial or ethnic prejudice or cultural bias, or have suffered the effects of discrimination because of my identity as a member of one or more of the groups identified in 49 CFR § 26.5, without regard to my individual qualities. I further swear that my personal net worth does not exceed \$1,320,000.00, and that I am economically disadvantaged because my ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially and economically disadvantaged.

I specifically swear that the DBE firm listed on this application continues to meet the small Business Administration (SBA) business size criteria and the overall gross receipts cap of 49 CFR Part 26. I have provided the attached size and gross receipts documentation to support this affidavit.

Furthermore, the undersigned, swear under oath, the foregoing statements and application contents are true and complete, and include all material and information necessary to identify the firm as a Disadvantage Business Enterprise with the Missouri Department of Transportation, as well as identifying all current owners, directors, officers, or members of the firm.

That the department may automatically deny or rescind certification after applying its own procedures and may automatically deny or rescind certification if, during or after the certification process, it finds that the undersigned have submitted false, inaccurate, or misleading information.

Any material omission or misrepresentation will be grounds for terminating the eligibility of this firm as a certified or qualified DBE, as well as any contract which may have been awarded under those programs, and for initiating action under Federal and/or Missouri civil and/or criminal laws concerning false affidavits, false statements or declarations, perjury, fraud, stealing by deceit, or other applicable offenses. (Making a false affidavit is a misdemeanor. See Section 575.050, RsMo 1986.)

*Signature Printed name Title Date	*Signature Printed name Title Date					
*Must be signed by at least one officer if a corporation; one Sole Proprietorship.	disadvantaged partner if a Partnership; or the proprietor if a					
State of)						
County of) ss.						
NOTARY PUBLIC						
On this day of, public, personally appeared, name(s) is/are subscribed to the within instrument and for the purposes therein contained. In witness whereof	d acknowledged that he/she/they executed the same					
Signed,	PRINT), Notary Public.					
My Commission expires:	SEAL					