



REQUEST FOR QUALIFICATIONS

I-49 OUTER ROADWAY CONVERSION DESIGN-BUILD PROJECT

GRANDVIEW, MO

Fed. #: STP 3322(410), MoDOT Project #: J4P2237

RFQ Issued: 11/18/2019

SOQs Due: 12/19/2019

[Addendum No. 1 Issued: 12/06/2019](#)

City of Grandview
1200 Main Street
Grandview, MO 64030



INTRODUCTION

The City of Grandview (Grandview) is soliciting Statements of Qualifications (SOQs) from entities (Submitters) interested in providing design-build services for the above-referenced design-build project. This Grandview led project is funded with local funds from Grandview, state and federal funds through the Missouri Department of Transportation (MoDOT).

PROJECT DESCRIPTION

In 1980, US-71 outer roads through Grandview were converted from two-way to one-way traffic due to increased safety problems along the outer roads. In 2012, US-71 Highway was designated as interstate route I-49 with minimal roadway improvements beyond interstate signing. As Grandview has grown and evolved over the years, the highway and one-way outer roads have presented several challenges:

- Limits the ability for east-west travel and connectivity of all modes of transportation across Grandview.
- Does not provide adequate pedestrian facilities to walk along the outer roads or to cross interstate I-49.
- Without a complete system of cross-over and turn-around bridges, the one-way system has resulted in limited access to services along the outer roads.
- Limits the retail options and new development on the outer roads.
- Forces traffic onto the adjacent neighborhood street system which compromises the safety of pedestrians and residents that live on those streets.
- Ditches along the outer roads are steep, risky, unsightly, and difficult to maintain.

This project seeks to improve the quality of life in Grandview by converting the outer roads to two-way traffic and completing road segments that will greatly enhance circulation, travel, economic development, and multimodal transportation opportunities (the Project).

MoDOT has performed preliminary design for a portion of the Project and right-of-way is currently being appraised and acquired for that portion. Grandview is currently performing preliminary design on the remainder of the Project to establish reasonable right-of-way limits and begin to acquire the remaining right-of-way footprint needed to construct the Project.

An Access Justification Report (AJR), Categorical Exclusion (CE2), and a Project Delivery Determination and Risk Assessment have been performed and will be provided with the Request for Proposals (RFP).



The general limits of the improvements are shown below.



PROJECT GOALS

The following goals have been established and prioritized for this design-build Project:

1. Improve safety, operations, and connectivity for all modes of transportation on the interstate and local roads
2. Provide a facility that improves the quality of life of the public through improved access, mobility, and public health
3. Provide a quality product that improves the economic opportunities within the Project budget
4. Provide a sustainable design that reduces long-term maintenance
5. Minimize inconvenience to the public and optimize communication methods to the public during construction
6. Construct the Project by the specified completion date

ESTIMATED MAXIMUM COST AND SCHEDULE

The estimated maximum cost for the improvements described above is \$12 million. The time frame for construction is 2020-2021 with specific budget and schedule details to be provided in the RFP.

PROCUREMENT PROCESS

Per State of Missouri Statute, the City of Grandview must use a three-phase procurement process to select a design-build contractor.

Phase 1 - Qualifications

This Request for Qualifications (RFQ) is issued as part of the first phase to solicit information, in the form of SOQs, that the City will evaluate to determine which Submitters are the most highly qualified to successfully deliver the Project. The City will short-list up to three (if any) of the most highly qualified Submitters of SOQs. If an entity intends to submit a proposal as part of a team, the entire team is required to submit a single SOQ as a single Submitter. No stipends will be paid for submitting a SOQ.

Phase 2 – Technical Proposal

In the second phase, the City will issue a RFP for the Project to the short-listed Submitters. Only the short-listed Submitters will be eligible to submit Proposals for the Project. Each short-listed Submitter that submits a Proposal in response to the RFP is referred to herein as a Proposer. See Addendum 1



Stipend section below for information regarding stipends to be paid to unsuccessful Proposers.

Phase 3 – Price Allocation

While a three-phase selection process is required per State Statute, the City will award a design-build contract (if any) for the Project to the Proposer offering the best technical Proposal, to be determined as described in the RFP. The Price Allocation submitted by each Proposer will be considered a pass-fail item subject to verification it meets the requirements as set forth in the RFP which will include a guaranteed maximum fixed price.

The final agreement will be based on a not-to-exceed guaranteed maximum fixed price. DBIA standard documents will be utilized as the basis of the agreements.

STIPEND

Grandview will pay a stipend to the responsible Proposer(s) that provide a fully responsive, but unsuccessful, Proposal. The anticipated stipend is 0.5% of the determined guaranteed maximum fixed price and shall be provided to such Proposer(s) as early as 15 days after Grandview determines the apparent successful Proposer. Unsuccessful Proposers shall sign a Proposal Release Statement, releasing their Proposals to receive their stipend within 30 days. If the Proposal Release Statement is not signed within 30 days, the stipend shall be forfeited.

If the successful Proposer and Grandview cannot successfully negotiate a contract and Grandview negotiates an agreement with one of the unsuccessful Proposers, that Proposer’s stipend shall be returned to Grandview.

PROCUREMENT SCHEDULE

Issue RFQ	11/18/2019
Deadline for submitting RFQ questions	11/27/2019 by 4pm
Statement of Qualifications due	12/19/2019 by 4pm
Selection Team Meeting	12/20/2019*
Announce short-list to Design-Build Teams	1/9/2020*
Draft RFP & Proposal Kick-off Meeting	2/13/2020*
Deadline for submitting Draft RFP questions	2/27/2020*
Final RFP	3/5/2020*
First one-on-one meeting	3/16/2020 – 3/20/2020*
Second one-on-one meeting	3/23/2020 – 3/30/2020*
Design-Build Teams submit Proposals and Cost Proposals	4/16/2020*
Evaluate Proposals & MoDOT/FHWA Concurrence	4/17/2020 – 4/24/2020*
Announce Successful Design-Build Team	4/24/2020*
Execute Contract with selected Design-Build Team	5/7/2020*

*Dates are tentative. Updates to the Procurement Schedule will be provided in the Draft RFP and Final RFP.

COMMUNICATIONS

Grandview Public Works Director, Dennis Randolph, will be the sole contact person for receiving all communications regarding this Project. Each Submitter is responsible for providing a single



contact person. Inquiries and comments regarding the Project and the procurement must be sent to Mr. Randolph as shown below:

Dennis Randolph
Public Works Department
City of Grandview
1200 Main Street
Grandview, MO 64030
Phone: (816) 316-4855
Email: drandolph@grandview.org

Questions and requests for clarifications must be submitted via email and received as noted in the Procurement Schedule.

TEAM QUALIFICATIONS

Consultant Engineer firms on the Design-Build Team shall be on MoDOT's prequalified list. Contractors on the Design-Build Team must have a fully responsive contractor questionnaire on file with the Missouri Highways and Transportation Commission (MHTC) prior to the deadline to submit this SOQ.

Disadvantaged Business Enterprise (DBE) participation is required on this Project for both design services and construction of the Project. The DBE goal for the design portion of the Project is 9%. The DBE goal for the construction of the Project is 12%. DBE consultant firms ~~must be certified by MoDOT~~ and DBE construction firms must be listed on the Missouri Regional Certification Committee (MRCC) Directory in order to be counted as participation toward the DBE goal. The MRCC DBE Directory can be viewed at the following web site:

http://www.modot.org/business/contractor_resources/External_Civil_Rights/mrcc.htm

The On-the-Job Training Goal for construction is one (1). The On-the-Job Training goal for design is zero (0).

The Submitter will be required to follow Federal Equal Employment Opportunity (EEO) policies. Grandview will ensure that in any contract entered into pursuant to this advertisement, businesses owned and controlled by socially and economically disadvantaged individuals will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, religion, creed, sex, age, sexual orientation, ancestry, or national origin in consideration for award.

INELIGIBLE FIRMS

A firm is ineligible to submit a Proposal, as a member of the design-build team, if it assists in the development of the scope of work, the RFQ, or the RFP. The following firms acting as the owner's consultants are listed below.

- WSP
- PowellCWM
- Merge Midwest



CONTENTS OF SOQ

The SOQ must be printed on standard letter-size pages (8.5" x 11") having a minimum font size of eleven (11) points. Front and back printing is acceptable and both the front and back will each count as one page. The SOQ shall be organized as follows:

Item	Maximum No. of Pages
Cover Letter	1
Submitter Experience (excluding project references) (for all team members)	4
Key Staff (excluding resumes <u>and organizational chart</u>)	
DBE Plan	
Appendix A – Project References	6 (no more than 1 page per project)
Appendix B – Key Staff Resumes (Submitter's format)	8 (no more than 1 page per person)
Appendix C – Major Participant and Subcontractor Information	1
Appendix D – Acknowledgement of Addenda	1
Appendix E – Conflict of Interest Form	1
Appendix F – Work Authorization Affidavit & E-Verify MOU (for Major Participants only)	N/A

COVER LETTER

A single page cover letter shall be submitted, which includes the business name, business type (corporation, joint venture, partnership) and must provide the name, title, email address and phone number of the point of contact person for the Submitter's team. Submitter may also include a Table of Contents which will not be counted toward the maximum page limit. -

SUBMITTER EXPERIENCE

Demonstrate experience with projects similar to the I-49 Outer Roadway Conversion Project. Identify Submitter's ability to meet the goals of the Project and at a minimum demonstrate experience in each of the following areas:

- Experience in design-build projects of similar work type.
- Experience in delivering projects within budget.
- Experience delivering projects on, or ahead of schedule.
- Meeting or exceeding DBE goals.
- Integration of design, construction, environmental and safety activities.



- Delivery of quality product, both in design and construction.

A maximum of six (6) reference projects shall be included by each Submitter. Work on the reference projects must be within the past five (5) years, and should be summarized on the form in Appendix A. At the Submitter's option, project reference sheets can be submitted in other formats provided they contain all the requested information outlined on the attached form. Each reference project shall be summarized on no more than one page.

KEY STAFF

Each Submitter shall identify key staff members and provide a corresponding organizational chart (not included in sheet count) that demonstrates the organizational structure and interrelationships of the Design-Build Team. Each Submitter shall describe the organizational style of the team and indicate how the qualifications of key staff members increase the Submitter's ability to meet or exceed the Project goals. The following are the required key staff and minimum qualifications that shall be identified by each Submitter.

- Project Manager – The Project Manager is responsible for all aspects of the Project, including, but not limited to, overall design, construction, quality management, contract administration, and public information. The Project Manager should have at least ten (10) years of experience managing the design and/or construction of projects of similar work type and scale. This project shall be the primary focus of the Project Manager and the Project Manager shall be readily available for the duration of the Project.
- Design Manager – The Design Manager is responsible for managing the project design and confirming design requirements are met. This project shall be the primary focus of the Design Manager when design activities are being performed. The Design Manager should have at least seven (7) years of experience managing the design of projects of similar scope/scale and must be a registered Professional Engineer in the State of Missouri or have obtained registration by the submission of the Design-Build Team's Proposal.
- Construction Manager – The Construction Manager shall be responsible for managing the construction of the Project. The Construction Manager should have at least seven (7) years of recent and relevant management experience in heavy civil construction work. The Construction Manager shall be on site for the duration of the construction phase.
- Quality Manager – The Quality Manager's responsibilities include, but are not limited to, creation and execution of the Submitter's quality program, quality personnel, assurance activities independent of production, enforcement of quality procedures, and documentation of quality records including public information, environmental compliance and DBE/labor compliance. The Quality Manager shall report directly to the Submitter's executive management team and may not report directly to the Project Manager. The Quality Manager should have at least five (5) years of recent experience developing, implementing, and overseeing quality programs.

Additional key staff members may be included in the SOQ as deemed appropriate by the Submitter. A one (1) page resume shall be provided in Appendix B for each of the required key staff members listed above. If personnel are to serve in dual key staff roles or if key staff roles



will be split between multiple personnel, the Submitter shall demonstrate that the personnel possess the minimum qualifications required and capacity to meet or exceed the project goals.

At the Submitter's discretion, up to four (4) additional pages may be provided in Appendix B to highlight the experience of additional key staff.

DBE PLAN

The Submitter shall describe in detail the plan to meet or exceed the DBE participation goals outlined herein.

MAJOR PARTICIPANTS

The term Major Participant is defined as any of the following entities:

- All general partners or joint venture members of the Submitter; all individuals, Persons, partnerships, limited liability partnerships, corporations, limited liability companies, business associations, or other legal entities, however organized, directly or indirectly holding an equity interest in the Submitter.
- The lead engineering/design firm.
- Each Subcontractor that will perform work valued at 30% or more of the construction work.
- Each Subconsultant that will perform 30% or more of the professional services.

The Submitter shall provide a list of Major Participants on the form in Appendix C. Major participants identified in the SOQ may not be removed, replaced, or added without written Approval of Grandview. Written request must document the proposed change and demonstrate that the change will be equal to or better than the Major Participant submitted in the SOQ.

SUBCONTRACTOR INFORMATION

The Submitter shall provide a list of planned subcontractors (not identified as a Major Participant) on the form in Appendix C that have the capacity and expertise to meet the Project goals. Subcontractor information provided is not considered a commitment by the Submitter at this stage, however is expected to be a list of probable subcontractors for the work which they are designated to perform.

SOQ SUBMITTAL

Interested firms must submit five (5) hard copies and one (1) electronic PDF copy on a USB drive of their Statement of Qualifications to the following address and attention:

City of Grandview
Attn: Dennis Randolph
1200 Main Street
Grandview, MO 64030

Submittals must be received as noted in the Procurement Schedule.



SOQ EVALUATION

The responsive SOQ's will be evaluated based on the Submitter Experience (50%) and Key Staff and Organization (50%) according to the criteria outlined above. The responsiveness of the SOQ in meeting the requirements outline herein of other requested information will be evaluated on a pass/fail basis. If a submittal fails to meet the pass/fail requirements, it will be eliminated from consideration.

Appendix A – Project References

Submitter (Team) Name: _____

Reference Project Name: _____ Project Location: _____

Contact Name:		Type of Project: (check all that apply)	<input type="checkbox"/> Design-Build
Contact Address:			<input type="checkbox"/> Design
Contact Phone:			<input type="checkbox"/> Construction
Contact Email:			<input type="checkbox"/> Interstate
			<input type="checkbox"/> Local Streets
Original Project Budget:		Project Start Date:	
Final Project Cost:		Project End Date:	
Key Staff & Role on the Project:			
Industry Awards Received:			

Description of Project:

(submit one Form for each Reference Project)

Appendix C Major Participant and Subcontractor Information

Submitter (Team) Name: _____

Major Participant	Address	Work Planned for Project	DBE (Y/N)

Subcontractor Name	Address	Work Planned for Project	DBE (Y/N)
		Paving	
		Grading	
		Traffic Control	
		Electrical	
		Permanent Signing	
		Permanent Striping	

Appendix D
Acknowledgement of Addenda

Submitter (Team) Name: _____

Addendum Number	Dated

The undersigned acknowledges receipt of the addenda as indicated above:

Signature	Date
Typed Name	Title

Appendix E Conflict of Interest Form

Submitter (Team) Name: _____

As required by 23 CFR 636.116 and 7 CSR 10-24.080, the Submitter must provide information regarding all potential organizational conflicts of interest in its proposal, including all relevant facts concerning any past, present or currently planned interests which may present an organizational conflict of interest.

Conflicts:

If there are such conflicts, the information should be attached in a letter explaining measures that will be taken to mitigate such conflicts. The undersigned acknowledges any potential organizational conflicts of interests have been spelled out in an attached letter.

_____ Signature	_____ Date
_____ Typed Name	_____ Title

No Conflicts:

The undersigned acknowledges there are no potential organizational conflicts of interests.

_____ Signature	_____ Date
_____ Typed Name	_____ Title

Appendix F Work Authorization Affidavit & E-Verify MOU

Section 285.530, RSMo, affects all services provided in excess of \$5,000.00. This Section refers to the prohibition of employment of unauthorized aliens and requires participation in a Federal work authorization program.

You are required to fill out and return with your submittal of qualifications the enclosed Work Authorization Affidavit and provide documentation evidencing current enrollment in a federal work authorization Program, e.g., the electronic signature page from the E-Verify program's Memorandum of Understanding. The required documentation must be from the federal work authorization program provider. Letters from contractors reciting compliance is not sufficient. E-verify, <http://www.dhs.gov/everify>, is a FREE Internet-based federal work authorization program operated by the Department of Homeland Security, U.S. Citizenship and Immigration Services that allows employers to verify the employment eligibility of their employees, regardless of citizenship. Based on information provided by employees on their Form I-9, E-Verify checks the information electronically against records contained in DHS and Social Security Administration databases. There are penalties for employing an unauthorized alien, including suspension of the contractor's business license, termination of the contract, debarment from City and State work for a period of three years or permanently, and withholding 25% of the total amount due the Contractor.

WORKER ELIGIBILITY VERIFICATION AFFIDAVIT FOR ALL

CITY OF GRANDVIEW CONTRACT AGREEMENTS IN EXCESS OF \$5,000

(for joint ventures, a separate affidavit is required for each business entity)

STATE OF _____)
) ss
COUNTY OF _____)

On this _____ day of _____, 20____, before me appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be a person whose name is subscribed to this affidavit, who being by me duly sworn, deposed as follows:

My name is _____, and I am of sound mind, capable of making this affidavit, and personally certify the facts herein stated, as required by Section 285.530 RSMo, to enter into a contract agreement with the City of Grandview ("City") to perform a certain job, task, employment, labor, personal services, or other activity for which compensation is provided, expected, or due, said contract more particularly described as _____ (the "Contract"); and I am the _____ of _____

_____ Title Business Name
(the "Company"), and I am duly authorized, directed, and/or empowered to act officially and properly on behalf of the Company.

I hereby affirm and warrant that the Company and its subcontractors are enrolled in a federal work authorization program operated by the United States Department of Homeland Security as defined by Section 285.525 RSMo to verify information of newly hired employees, and the Company and the its subcontractors shall participate in said program with respect to all employees working or providing services under the Contract. I have attached documentation to this affidavit to evidence enrollment/participation by the Company and the listed subcontractors in a federal work authorization program, as required by Section 285.530 RSMo.

In addition, I hereby affirm and warrant that the Company and all of its subcontractors do not and shall not knowingly employ, in connection with work or services provided under the Contract, any alien who does not have the legal right or authorization under federal law to work in the United States, as defined in 8 U.S.C. § 1324a(h)(3).

I am aware and recognize that, unless certain contract and affidavit conditions with respect to subcontractors (both those listed and others that the Company may contract with) are satisfied pursuant to Section 285.530 RSMo, the Company may be held liable under Sections 285.525 through 285.550 RSMo for such subcontractors that knowingly employ any unauthorized alien to work within the City.

I acknowledge that I am signing this affidavit as a free act and deed of the aforementioned business entity and not under duress.

Affiant Signature

Printed Name

Subscribed and sworn to before me this _____ day of _____, 20____.

Notary Public

SEAL