#### CITY OF AURORA, MISSOURI

# JERRY SUMNERS SR. AURORA MUNICIPAL AIRPORT

State Block Grant Project No. 18-091B-1

Base Bid Construct Hangar Taxilanes

Add Alternate No. 1
Construct 6" P.C.C. Pavement

Add Alternate No. 2
Construct 4" Asphalt Pavement

ADDENDUM NO. 1

August 13, 2019



#### TO ALL PROSPECTIVE BIDDERS:

- A. You are hereby notified of the following amendments to the Contract Documents/Specifications for the subject project.
  - 1. The Cover of the Contract Documents/Specifications has been revised to reflect change in the P.C.C. Pavement to 6"; see below.

JERRY SUMNERS SR. AURORA MUNICIPAL AIRPORT

MoDOT Project No. 18-091B-1

<u>Base Bid</u> Construct Hangar Taxilanes

Add Alternate No. 1
Construct 6" P.C.C. Pavement

Add Alternate No. 2 Construct 4" Asphalt Pavement

Revised Cover will not be issued with this addendum.

2. The Table of Contents has been revised:

The following specification was removed from the TOC:

#### **SECTION 13**

GRID - GEOGRID REINFORCEMENT OF AIRPORT PAVEMENTS .......13-1 to 13-4

The following specifications were revised or added to the TOC:

#### **SECTION 12A**

#### **SECTION 12B**

P-157 – CEMENT OR LIME KILN DUST TREATED SUBGRADE ...... 12B-1 to 12B-6 (Added Per Addendum No. 1)

1 of 4

# **SECTION 12C**

P-158 – FLY ASH TREATED SUBGRADE...... 12B-1 to 12B-4 (Added Per Addendum No. 1)

#### **SECTION 13A**

# **SECTION 13B**

#### **SECTION 13C**

P-219 – RECYCLED CONCRETE AGGREGATE BASE COURSE ...... 13C-1 to 13C-6 (Added Per Addendum No. 1)

Revised <u>Table of Contents</u> is included with this addendum for reference.

3. Section 1, Notice to Bidders. The description of Add Alternate No. 1 has been revised to "Construct 6" P.C.C. Pavement." Add Alternate No. 1 and Add Alternate No. 2 bid items and quantities have been revised or added to this section.

The following language was added:

The bidder has the option of submitting a bid for the Base Bid plus Add Alternate No. 1 and Base Bid plus Add Alternate No. 2, or just the Base Bid plus Add Alternate No. 1 or just the Base Bid plus Add Alternate No. 2 or just the Base Bid plus Add Alternate No. 1 or Add Alternate No. 2, insert the words "NO BID" for the appropriate Add Alternate in the Official Bid Form. (Added Per Addendum No. 1)

Revised Notice to Bidders, Section 1 is included with this addendum for reference.

4. The following specification was removed from Contract Documents / Specifications:

Section 13, Geogrid Reinforcement of Airport Pavements

5. The following specifications were revised or added to the Contract Documents / Specifications:

Section 12A, Item P-155, Lime-Treated Subgrade

Section 12B, Item P-157, Cement or Lime Kiln Dust Treated Subgrade

Section 12C, Item P-158, Fly Ash Treated Subgrade

Section 13A, Item P-208, Aggregate Base Course

Section 13B, Item P-209, Crushed Aggregate Base Course

Section 13C, Item P-219, Recycled Concrete Aggregate Base Course

Copies of these specifications are included with this addendum for reference.

6. <u>Proposal Form.</u> The description of Add Alternate No. 1 has been revised to "Construct 6" P.C.C. Pavement." Add Alternate No. 1 and Add Alternate No. 2 bid items and quantities have been revised or added to this section.

Revised **Proposal Form** is included with this addendum for reference.

- B. You are hereby notified of the following amendments to the Construction Plans for the subject project.
  - 1. Sheet No. 1 <u>Title Sheet</u>, The Add Alternate No. 1 description was revised. The note reads:



Revised Title Sheet will not be issued with this addendum.

- 2. Sheet No. 5 Typical Sections & Quantities,
  - **a.** The P.C.C. Pavement Section, Add Alternate No. 1, has been revised to reflect the revised pavement section
  - **b.** The HMA Pavement Section, Add Alternate No. 2, has been revised to reflect the revised pavement section.
  - c. Note 1, rates of application for treated subgrade was added to the plan sheet.
  - **d.** The description of Add Alternate No. 1 has been revised to "Construct 6" P.C.C. Pavement."
  - **e.** The pay items and quantities of Add Alternate No. 1 and Add Alternate No. 2 have been revised to reflect the revised payement sections.
  - **f.** The Earthwork quantities for Add Alternate No. 1 and Add Alternate No. 2 have been revised.

A revised copy of Sheet No. 5 <u>Typical Sections & Quantities</u> is included with this addendum for reference.

3. Sheet No. 14 Add Alternate No. 1 Joint Details, The plan notes have been revised for 6" P.C.C. Pavement; see below.

# NOTES 1. Dowel Bars for 6" P.C.C. Pavement Shall be 3/4" Dia. Smooth Bars, 18" Long at 12" Centers. Dowel Bar to be treated per the P-501 Specifications (Epoxy Coated). 2. Tie Bars for 6" P.C.C. Pavement shall be 1/2" Dia. Deformed Bars, 20" Long at 36" Centers. 3. For 6" P.C.C. Pavement, Place WWF 6"x6"-W 2.1 x W 2.1 at Mid-depth of Slab, Location is Shown on Joint Plan. 4. The WWF Shall be Lapped a Min. of 12" Longitudinally and a Min. of 6" Transversely. 5. Provide 2" Min. to 6" Max. Clearance Between WWF and Edge of Pavement or Joint. 6. Contractors Shall Prepare Their Bid Based on the Joint Plan Depicted on the Previous Sheets. Alternate Joint Patterns May be Acceptable. Prior to Construction the Contractor Shall Submit Alternate Joint Plan to the Engineer for Review and Approval Prior to Beginning Paving Operations. 7. T = 6"

Revised Add Alternate No. 1 Joint Details will not be issued with this addendum.

- C. Included with this addendum is a revised bid form, "OFFICIAL BID FORM, ADDENDUM NO. 1."
- D. All bidders must acknowledge receipt of this addendum in the space provided on page PF-4 of the Official Bid Form, Addendum No. 1. Failure to acknowledge receipt of an addendum may be cause for rejection of the bid.

# **BID DOCUMENTS & TECHNICAL SPECIFICATIONS**

# **TABLE OF CONTENTS**

SECTION 1 NOTICE TO BIDDERS	1-1 to 1-5
SECTION 2 INSTRUCTIONS TO BIDDERS	2.1 to 2.2
SECTION 3	2-1 to 2-2
GENERAL PROVISIONS	3-1 to 3-44
SECTION 4 SUPPLEMENTARY PROVISIONS  PART 4 SEPRENAL AND STATE PROVISIONS	
PART A – FEDERAL AND STATE PROVISIONS PART B – DBE ADMINISTRATION PART C – LOCAL PROVISIONS PART D – FEDERAL AND STATE WAGE RATES  PE-2002025585	
SECTION 5 C-100 – CONTRACTOR QUALITY CONTROL PROGRAM (CQCP)	5-1 to 5-8
SECTION 6 C-102 – TEMPORARY AIR AND WATER POLLUTION, SOIL EROSION, AND SILTATION CONTROL	6-1 to 6-4
SECTION 7 C-105 – MOBILIZATION	7-1 to 7-2
SECTION 8 C-110 – METHOD OF ESTIMATING PERCENTAGE OF MATERIALS WITHIN SPECIFIATION LIMITS (PWL)	8-1 to 8-8
SECTION 9 TEMP – TEMPORARY MARKING, LIGHTING AND BARRICADES	9-1 to 9-2
SECTION 10 P-101 – SURFACE PREPARATION	10-1 to 10-4
SECTION 11 P-152 – EXCAVATION, SUBGRADE, AND EMBANKMENT	11-1 to 11-8
SECTION 12A P-155 – LIME-TREATED SUBGRADE	12A-1 to 12A-6
SECTION 12B P-157 – CEMENT OR LIME KILN DUST TREATED SUBGRADE(Added Per Addendum No. 1)	12B-1 to 12B-6

SECTION 12C P-158 – FLY ASH TREATED SUBGRADE(Added Per Addendum No. 1)	12C-1 to 12C-4
SECTION 13A P-208 – AGGREGATE BASE COURSE(Added Per Addendum No. 1)	13A-1 to 13A-8
SECTION 13B P-209 – CRUSHED AGGREGATE BASE COURSE(Revised Per Addendum No. 1)	13B-1 to 13B-8
SECTION 13C P-219 – RECYCLED CONCRETE AGGREGATE BASE COURSE(Added Per Addendum No. 1)	13C-1 to 13C-6
SECTION 14 P-403 – ASPAHLT MIX PAVEMENT SURFACE COURSE	14-1 to 14-20
SECTION 15 P-501 – PORTLAND CEMENT CONCRETE (PCC) PAVEMENT	15-1 to 15-32
SECTION 16 P-602 – EMULSIFIED ASPHALT PRIME COST	16-1 to 16-4
SECTION 17 P-603 – EMULSIFIED ASPHALT TACK COST	17-1 to 17-4
SECTION 18 P-605 – JOINT SEALANTS FOR CONCRETE PAVEMENTS	18-1 to 18-8
SECTION 19 P-620 – RUNWAY AND TAXIWAY MARKING	19-1 to 19-6
SECTION 20 D-701 – PIPE FOR STORM DRAINS AND CULVERTS	20-1 to 20-8
SECTION 21 D-705 – PIPE UNDERDRAINS FOR AIRPORTS	21-1 to 21-8
SECTION 22	22-1 to 22-6
SECTION 23	23-1 to 23-4
SECTION 24	24-1 to 24-4

SECTION 25		
TREC – TEMPORAR	Y ROLLED EROSION CONTROL PRODUCT	S25-1 to 25-6
SECTION 26		
PTM – PERMANENT	TRANSITION MAT	26-1 to 26-6
APPENDIX		
REPORT OF SUBSU	RFACE EXPLORATION AND GEOTECHNIC FSi GEOTECHNICAL, INC.)	CAL ENGINEERING
CONSTRUCTION SA	AFETY AND PHASING PLAN (CSPP) REPOR	T
STORMWATER POL	LUTION PREVENTION PLAN (SWPPP)	
ADVISORY CIRCUL 150/5370-2G	ARS: OPERATIONAL SAFETY ON AIRPORTS D	DURING CONSTRUCTION

# PROPOSAL/FORMS

PROPOSAL FORM	PF-1 to PF-13
PERFORMANCE BOND	PB-1 to PB-3
PAYMENT BOND	PA-1 to PA-2
CONTRACT AGREEMENT	CA-1 to CA-4

# NOTE: Lochner modifications to FAA standard specifications in block.

Central Region Modifications to FAA standard specifications in italics.



#### SECTION 1 NOTICE TO BIDDERS

#### CITY OF AURORA, MISSOURI JERRY SUMNERS SR. AURORA MUNICIPAL AIRPORT

State Block Grant Project No. 18-091B-1

Sealed bids subject to the conditions and provisions presented herein will be received until 11:00 a.m. (CDT), Tuesday, September 3rd, 2019, and then publicly opened and read at the City Clerk's Office, City Hall, 2 W. Pleasant, Aurora, Missouri 65605, for furnishing all labor, materials, equipment and performing all work necessary to Base Bid

**Construct Hangar Taxilanes** 

Add Alternate No. 1

Construct 6" P.C.C. Pavement

Add Alternate No. 2

**Construct 4" Asphalt Pavement** 

Copies of the bid documents including project drawings and technical specifications are on file and may be inspected at:

The City Clerk's Office Lochner

City Hall 16105 W. 113<sup>th</sup> Street

2 W. Pleasant Suite 107

Aurora, MO 65605 Lenexa, KS 66219

Drawings, specifications, official bid form, and other related contract information may be ordered online at www.drexeltech.com or by contacting Drexel Technologies, Inc. at 10840 W. 86<sup>th</sup> Street, Lenexa, KS 66214, phone (913) 371-4430, fax (913) 371-7128. Checks shall be made payable to "Drexel Technologies" and mailing costs are the responsibility of the purchaser. Drawings, specifications, official bid form, any addenda, and a plan holders list are available at www.drexeltech.com by clicking on "Enter Plan Room".

A prebid conference for this project will be held at 11:00 a.m. (CDT), Tuesday, August 20th, 2019, at the Airport Terminal Building.

**Contract Work Items.** This project will involve the following work items and estimated quantities. Prospective bidders are hereby advised that the quantities indicated herein are approximate and are subject to change.

ITEM NO.	SPEC.	ITEM DESCRIPTION	QTY.	UNIT
MoDOT	PROJECT NO	O. 18-091B-1		
BASE BI	D			
CONSTR	UCT HANGA	AR TAXILANES		
1	C-102	Erosion Control Barrier (Silt Fence)	450	L.F.
2	C-102	Erosion Control Barrier (Straw Wattle)	155	L.F.
3	C-105	Mobilization	1	L.S.
4	TEMP	Temporary Marking, Lighting, & Barricades	1	L.S.
5	P-101	Saw Cut	234	L.F.
6	P-620	Reflectorized Pavement Marking	440	S.F.
7	P-620	Non-Reflectorized Pavement Marking	880	S.F.
8	D-701	36" Storm Pipe	219	L.F.

ITEM NO.	SPEC.	ITEM DESCRIPTION	QTY.	UNIT
9	D-701	36" RCP End Section	2	EA.
10	D-705	Perforated Underdrain, Complete (4") (Schedule 80)	468	L.F.
11	D-705	Underdrain Cleanout Riser	2	EA.
12	D-705	Splash Pad	1	EA.
13	D-705	Connect Underdrain to Proposed Storm Pipe	2	EA.
14	T-901	Seeding	1.2	AC.
15	T-905	Placement of Topsoil (Obtained on Site)	1	L.S.
16	T-908	HydroMulch	0.8	AC.
17	TREC	Erosion Control Blanket (Type 2C)	2,638	S.Y.
18	PTM	Permanent Transition Mat	280	S.F.
ADD ALT	TERNATE 1			
CONSTR	UCT 6" P.C.0	C. PAVEMENT		
1	C-100	Contractor Quality Control Program (CQCP)	1	L.S.
2	P-152	Unclassified Excavation (Revised Per Addendum No. 1)	2,820	C.Y.
	2 150	Unsuitable Subgrade Removal and Replacement	200	G * *
3	P-152	(Revised Per Addendum No. 1)	280	C.Y.
	P-155,P-157,	Treated Subgrade (6")	2.1.7.1	~ * *
4	or P-158	(Added Per Addendum No. 1)	3,154	S.Y.
-	P-208, P-209	Aggregate Base Course (4")	2.154	G X/
5	or P219	(Revised Per Addendum No. 1)	3,154	S.Y.
	P-208, P-209	Separation Geotextile	2.154	C M
6	or P219	(Added Per Addendum No. 1)	3,154	S.Y.
7	P-501	P.C.C. Pavement (6") (Revised Per Addendum No. 1)	3,030	S.Y.
ADD AL	TERNATE 2			
CONSTR	UCT 4" ASPI	HALT PAVEMENT		
1	C-100	Contractor Quality Control Program (CQCP)	1	L.S.
2	P-152	Unclassified Excavation (Revised Per Addendum No. 1)	2,735	C.Y.
3	P-152	Unsuitable Subgrade Removal and Replacement (Revised Per Addendum No. 1)	275	C.Y.
	P-155,P-157,	Treated Subgrade (6")	2 121	G W
4	or P-158	(Added Per Addendum No. 1)	3,121	S.Y.
	P-208, P-209	Aggregate Base Course (5")	2 121	C.V.
5	or P219	(Revised Per Addendum No. 1)	3,121	S.Y.
	P-208, P-209	Separation Geotextile  3,		G V
6	or P219	(Added Per Addendum No. 1)		S.Y.
7	P-403	Asphalt Mixture Surface Course	710	Ton
8	P-602	Emulsified Asphalt Prime Coat	900	Gal.
9	P-603	Emulsified Asphalt Tack Coat	210	Gal.

**Contract Time.** The owner has established a contract performance time of **Sixty Five (65)** calendar days from the date of the Notice-to-Proceed. All project work shall be substantially completed within the stated timeframe. This project is subject to liquidated damages as prescribed in the project manual.

**Bid Security.** No bid will be considered unless accompanied by a certified check or cashier's check on any bank or trust company insured by the Federal Deposit Insurance Corporation, payable to **City of Aurora**, for not less than five (5) percent of the total amount of the bid, or by a bid bond secured by an approved surety or sureties, payable to the owner, for not less than five (5) percent of the total amount of the bid.

**Bonding Requirements**. The successful bidder will be required to furnish separate performance and payment bonds each in an amount equal to 100% of the contract price at the time of contract execution.

**Award of Contract.** All proposals submitted in accordance with the instructions presented herein will be subject to evaluation. Bids may be held by the **City of Aurora** for a period not to exceed **Ninety (90) Calendar Days** from the date of the bid opening for the purpose of conducting the bid evaluation.

Award of contract will be based on the lowest aggregate sum proposal submitted from those bidders that are confirmed as being responsive and responsible. If more than one base bid is listed in the Proposal Form, the bidder may bid on Base Bid No. 1 and/or Base Bid No. 2. The owner reserves the right to select any one of the combinations of the base bid(s) and alternate bid(s), which in the judgment of the owner, best serves the owner's interest. The right is reserved, as the **City of Aurora** may require, to reject any bid and all bids.

Award of contract is contingent upon the owner receiving Federal-funding assistance under the State Block Grant Program.

#### Notice-To-Proceed

It is the intent of the Owner to issue the Notice-To-Proceed (NTP) as soon as practical after the Award of Contract. The anticipated NTP date is **Monday**, **April** 5<sup>th</sup>, **2020**.

**Federal Provisions.** This project is subject to the following Federal provisions, statutes and regulations:

**Equal Employment Opportunity - Executive Order 11246 and 41 CFR Part 60:** The Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth within the supplementary provisions. The successful Bidder shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin.

#### Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity:

- 1. The Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth within the supplementary provisions.
- 2. The goals and timetables for minority and female participation, expressed in percentage terms for the contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

#### Timetables

Goals for minority participation for each trade: 2.3% (Lawrence County)

Goals for female participation in each trade: 6.9%.

These goals are applicable to all of the contractor's construction work (whether or not it is Federal or federally-assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor is also subject to the goals for both its federally involved and non-federally involved construction.

The contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project

for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

- 3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs (OFCCP) within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.
- 4. As used in this notice and in the contract resulting from this solicitation, the "covered area" is the Jerry Sumners Sr. Aurora Municipal Airport, City of Aurora, Lawrence County, Missouri.

<u>Certification of Nonsegregated Facilities – 41 CFR Part 60</u>: A certification of Nonsegregated Facilities must be submitted prior to the award of a federally-assisted construction contract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity Clause.

Contractors receiving federally assisted construction contract awards exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause will be required to provide for the forwarding of the notice to prospective subcontractors for supplies and construction contracts where the subcontracts exceed \$10,000 and are not exempt from the provisions of the Equal Opportunity Clause. The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

<u>Disadvantaged Business Enterprise – 49 CFR Part 26:</u> The requirements of 49 CFR Part 26, Regulations of the U.S. Department of Transportation, apply to this contract. It is the policy of MoDOT and the City of Aurora to practice nondiscrimination based on race, color, sex or national origin in the award or performance of this contract. All firms qualifying under this solicitation are encouraged to submit bids/proposals regardless of their business size or ownership. Awards of this contract will be conditioned upon satisfying the requirements of this section. These requirements apply to all bidders, including those who qualify as a DBE. The owner's award of this contract is condition upon the bidder satisfying the good faith effort requirements of 49 CFR §26.53. A DBE contract goal of 5.0 percent has been established for this contract. The <u>non-DBE</u> bidder shall subcontract 5.0 percent of the dollar value of the base bid(s), excluding any additive alternates, to disadvantaged business enterprises (DBE) or make good faith efforts to meet the DBE contract goal. <u>The bidder and any subcontractor who qualifies as a DBE who subcontracts work to another non-DBE firm must subtract the amount of the non-DBE contract from the total DBE work counted toward the goal, as defined in 49 CFR Part 26.55.</u>

The apparent successful competitor will be required to submit the following information as a condition of bid responsiveness: (1) the names and addresses of DBE firms that will participate in the contract; (2) a description of the work that each DBE firm will perform; (3) the dollar amount of the participation of each DBE firm participating; (4) written statement from bidder that attests their commitment to use the DBE firm(s) listed under (1) above to meet the owner's project goal; and (5) if the contract goal is not met, evidence of good faith efforts undertaken by the bidder, as described in Appendix A to 49 CFR Part 26.

The apparent successful competitor must provide written confirmation of participation from each of the DBE firms listed in their commitment with the proposal documents as a condition of bid responsiveness.

<u>Davis-Bacon Act, as amended – 29 CFR Part 5:</u> The Contractor is required to comply with wage and labor provisions and to pay minimum wages in accordance with the current schedule of wage rates established by the United States Department of Labor included in the supplementary provisions.

In addition, the contractor will also be required to comply with the wage and labor requirements and pay minimum wages in accordance with the schedule of wage rates established by the Missouri Division of Labor Standards included in the Supplementary Provisions.

The highest rate between the two (Federal and State) for each job classification shall be considered the prevailing wage.

<u>Debarment, Suspension, Ineligibility and Voluntary Exclusion – 49 CFR Part 29</u>: The bidder certifies, by submission of a proposal or acceptance of a contract, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

<u>Foreign Trade Restriction – 49 CFR Part 30:</u> The Bidder and Bidder's subcontractors, by submission of an offer and/or execution of a contract, is required to certify that it:

- a. is not owned or controlled by one or more citizens of a foreign country included in the list of countries that discriminate against U.S. firms published by the Office of the United States Trade Representative (USTR);
- b. has not knowingly entered into any contract or subcontract for this project with a person that is a citizen or national of a foreign country on said list, or is owned or controlled directly or indirectly by one or more citizens or nationals of a foreign country on said list; or
- c. has not procured any product nor subcontracted for the supply of any product for use on the project that is produced in a foreign country on said list.

<u>Buy American Certificate – Aviation Safety and Capacity Act of 1990</u>: This contract is subject to the "Buy American Preferences" of the Aviation Safety and Capacity Act of 1990. Prospective Bidders are required to certify that steel and manufactured products have been produced in the United States and to clearly identify those items produced or manufactured outside of the United States.

#### **Additional Provisions:**

Modification to the project documents may only be made by written addendum by the Owner or Owner's authorized Representative.

The proposal must be made on the official bid form provided separate from the bound project manual. Bidders must supply all required information prior to the time of bid opening.

#### **SECTION 12A**

#### **ITEM P-155**

#### LIME-TREATED SUBGRADE

(This Specification was Added Per Addendum No. 1)

#### DESCRIPTION

**155-1.1** This item shall be used for soil modification that require strength gain to a specific level. This item shall consist of constructing one or more courses of a mixture of soil, lime, and water in accordance with this specification, and in conformity with the lines, grades, thicknesses, and typical cross-sections shown on the plans.

#### **MATERIALS**

- **155-2.1 Lime.** Quicklime, hydrated lime, and either high-calcium dolomitic, or magnesium lime, as defined by ASTM C51, shall conform to the requirements of ASTM C977. Lime not produced from calcining limestone is not permitted.
- **155-2.2 Commercial lime slurry.** Commercial lime slurry shall be a pumpable suspension of solids in water. The water or liquid portion of the slurry shall not contain dissolved material injurious or objectionable for the intended purpose. The solids portion of the mixture, when considered on the basis of "solids content," shall consist principally of hydrated lime of a quality and fineness sufficient to meet the following chemical composition and residue requirements.
- **a. Chemical composition.** The "solids content" of the lime slurry shall consist of a minimum of 70%, by weight, of calcium and magnesium oxides.
- **b. Residue.** The percent by weight of residue retained in the "solids content" of lime slurry shall conform to the following requirements:

Residue retained on a No. 6 (3.35  $\mu$ m) sieve = maximum 0.0%

Residue retained on a No. 10 (2.00  $\mu$ m) sieve = maximum 1.0%

Residue retained on a No. 30 (600  $\mu$ m) sieve = maximum 2.5%

- **c. Grade.** Commercial lime slurry shall conform to one of the following two grades:
  - Grade 1. The "dry solids content" shall be at least 31% by weight, of the slurry.
  - Grade 2. The "dry solids content" shall be at least 35%, by weight, of the slurry.
- **155-2.3 Water.** Water used in mixing or curing shall be from potable water sources. Other sources shall be tested in accordance with ASTM C1602 prior to use.
- **155-2.4 Soil.** The soil for this work shall consist of on-site materials free of roots, sod, weeds, and stones larger than 2-1/2 inches (60 mm) and have a sulfate content of less than 0.3%.

#### **COMPOSITION**

**155-3.1 Soil-lime mixture.** Lime shall be applied at +/- 7 % dry unit weight of soil for the depth of subgrade treatment as shown on the plans.

**155-3.2 Tolerances.** At final compaction, the lime and water content for each course of subgrade treatment shall conform to the following tolerances:

#### **Tolerances**

Material	Tolerance
Lime	+ 0.5%
Water	+ 2%, -0%

#### WEATHER LIMITATIONS

**155-4.1 Weather limitation.** Subgrade shall not be constructed when weather conditions detrimentally affect the quality of the materials. Lime shall not be applied unless the air temperature is at least 40°F (4°C) and rising. Lime shall not be applied to soils that are frozen or contain frost. Protect completed lime-treated areas by approved methods against the detrimental effects of freezing if the air temperature falls below 35°F (2°C). Remove and replace any damaged portion of the completed soil-lime treated area with new soil-lime material in accordance with this specification.

#### **EQUIPMENT**

**155-5.1 Equipment.** All equipment necessary to grade, scarify, spread, mix and compact the material shall be provided. The Resident Project Representative (RPR) must approve the Contractor's proposed equipment prior to the start of the treatment.

#### **CONSTRUCTION METHODS**

**155-6.1 General.** This specification is to construct a subgrade consisting of a uniform lime mixture which shall be free from loose or segregated areas. The subgrade shall be of uniform density and moisture content, well mixed for its full depth, and have a smooth surface suitable for placing subsequent lifts. The Contractor shall be responsible to meet the above requirements.

Prior to any treatment, the subgrade shall be constructed as specified in Item P-152, Excavation, Subgrade and Embankment, and shaped to conform to the typical sections, lines, and grades as shown on the plans.

The mixing equipment must give visible indication at all times that it is cutting, pulverizing and mixing the material uniformly to the proper depth over the full width of the cut.

**155-6.2 Application.** Lime shall be uniformly spread only over an area where the initial mixing operations can be completed during the same work day. Lime shall not be applied when wind conditions are detrimental to proper application. A motor grader shall not be used to spread the lime. Adequate moisture shall be added to the cement/soil mixture to maintain the proper moisture content. Materials shall be handled, stored, and applied in accordance with all federal, state, and local requirements.

**155-6.3 Mixing.** The mixing procedure shall be as described below:

**a. Preliminary mixing.** The full depth of the treated subgrade shall be mixed with an approved mixing machine. Lime shall not be left exposed for more than six (6) hours. The mixing machine shall make two coverages. Water shall be added to the subgrade during mixing to provide a moisture content approximately 3% to 5% above the optimum moisture of the material and to ensure chemical reaction of the lime and subgrade. After mixing, the subgrade shall be lightly rolled to seal the surface and help prevent evaporation of moisture. The water content of the subgrade mixture shall be maintained at a

moisture content above the optimum moisture content for a minimum of 4 to 24 hours or until the material becomes friable. During the mellowing period, the material shall be sprinkled as directed by the RPR.

- **b. Final mixing.** After the required mellowing time, the material shall be uniformly mixed by approved methods. Any clods shall be reduced in size by blading, discing, harrowing, scarifying, or by the use of other approved pulverization methods. After curing, pulverize lime treated material until 100% of soil particles pass a one-inch (25.0 mm) sieve and 60% pass the No. 4 (4.75 mm) sieve when tested dry by laboratory sieves. If resultant mixture contains clods, reduce their size by scarifying, remixing, or pulverization to meet specified gradation.
- **155-6.4 Control Strip.** The first half-day of construction shall be considered the control strip. The Contractor shall demonstrate, in the presence of the RPR, that the materials, equipment, and construction processes meet the requirements of the specification. The sequence and manner of rolling necessary to obtain specified density requirements shall be determined. Control strips that do not meet specification requirements shall be reworked, re-compacted, or removed and replaced at the Contractor's expense. Full operations shall not continue until the control strip has been accepted by the RPR. Upon acceptance of the control strip by the RPR, the Contractor shall use the same equipment, materials, and construction methods for the remainder of construction, unless adjustments made by the Contractor are approved in advance by the RPR.
- **155-6.5 Treatment Application and Depth Checks.** The depth and amount of stabilization shall be measured by the Contractor with no less than 2 tests per day of material placed; test shall be witnessed by the RPR. Measurements shall be made in test holes excavated to show the full depth of mixing and the pH checked by spraying the side of the test hole with a pH indicator such as phenolphthalein. Phenolphthalein changes from clear to red between pH 8.3 and 10. The color change indicates the location of the bottom of the mixing zone. pH indicators other than phenolphthalein can be used to measure pH levels. If the pH is not at least 8.3 and/or if the depth of the treated subgrade is more than 1/2 inch (12 mm) deficient, additional lime treatment shall be added and the material remixed. The Contractor shall correct all such areas in a manner satisfactory to the RPR.
- 155-6.6 Compaction. Compaction of the mixture shall immediately follow the final mixing operation with the mixture compacted within 1 to 4 hours after final mixing. The material shall be at the moisture content specified in paragraph 155-3.2 during compaction. The field density of the compacted mixture shall be at least 95% of the maximum density as specified in paragraph 155-6.10. Perform in-place density test to determine degree of compaction between 24 and 72 hours after final compaction and the 24-hour moist cure period. If the material fails to meet the density requirements, it shall be reworked to meet the density requirements. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.
- **155-6.7 Finishing and curing.** After the final lift or course of lime-treated subgrade has been compacted, it shall be brought to the required lines and grades in accordance with the typical sections. The completed section shall then be finished by rolling, as directed by the RPR, with a pneumatic or other suitable roller sufficiently light to prevent hairline cracking. The finished surface shall not vary more than 1/2-inch (12 mm) when tested with a 12-foot (3.7 m) straightedge applied parallel with and at right angles to the pavement centerline. Any variations in excess of this tolerance shall be corrected by the Contractor at the Contractor's expense in a manner satisfactory to the RPR.

The completed section shall be moist-cured for a minimum of seven (7) days before further courses are added or any traffic is permitted, unless otherwise directed by the RPR. The final lift should not be exposed for more than 14 days without protection or the placement of a base course material.

**155-6.8 Maintenance.** The Contractor shall protect and maintain the lime-treated subgrade from yielding until the lime-treated subgrade is covered by placement of the next lift. When material has been exposed

to excessive rain, snow, or freeze-thaw conditions, prior to placement of additional material, the Contractor shall verify that materials still meets all specification requirements. The maintenance cost shall be incidental to this item.

- **155-6.9 Surface tolerance**. In those areas on which a subbase or base course is to be placed, the surface shall be tested for smoothness and accuracy of grade and crown. Any portion lacking the required smoothness or failing in accuracy of grade or crown shall be scarified to a depth of at least 3 inches (75 mm), reshaped and re-compacted to grade until the required smoothness and accuracy are obtained and approved by the RPR. The Contractor shall perform all final smoothness and grade checks in the presence of the RPR. Any deviation in surface tolerances shall be corrected by the Contractor at the Contractor's expense.
- **a. Smoothness.** The finished surface shall not vary more than  $\pm 12$ -foot (3.7-m) straightedge applied parallel with and at right angles to the centerline. The straightedge shall be moved continuously forward at half the length of the 12-foot (3.7-m) straightedge for the full length of each line on a 50-foot (15-m) grid.
- **b. Grade.** The grade and crown shall be measured on a 50-foot (15-m) grid and shall be within +/-0.05 feet (15 mm) of the specified grade.
- **155-6.10** Acceptance sampling and testing. The lime treated subgrade shall be accepted for density and thickness on an area basis. Testing frequency shall be a minimum of one compaction and thickness test per **1,000 square yards** of lime treated subgrade, but not less than four (4) tests per day of production. Sampling locations will be determined on a random basis per ASTM D3665.
  - a. Density. All testing shall be done by the RPR.

The field density of the compacted mixture shall be at least 95% of the maximum density of laboratory specimens prepared from samples taken from the material in place. The specimens shall be compacted and tested in accordance with ASTM D698 to determine maximum density and optimum moisture content. The in-place field density shall be determined in accordance with ASTM D6938, Procedure A, direct transmission method. If the material fails to meet the density requirements, the area represented by the failed test shall be reworked to meet the density requirements. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

- **b. Thickness.** The thickness of the course shall be within +0 and -1/2 inch (12 mm) of the specified thickness as determined by depth tests taken by the Contractor in the presence of the RPR for each area. Where the thickness is deficient by more than 1/2-inch (12 mm), the Contractor shall correct such areas at no additional cost The Contractor shall replace, at his expense, material where depth tests have been taken
- **155-6.11 Handling and safety.** The Contractor shall obtain and enforce the lime supplier's instructions for proper safety and handling of the lime to prevent physical eye or skin contact with lime during transport or application.

#### METHOD OF MEASUREMENT

**155-7.1** Treated subgrade shall be paid for by the square yard in the completed and accepted work.

#### **BASIS OF PAYMENT**

**155-8.1** Payment shall be made at the contract unit price per square yard for the treated subgrade at the thickness specified. The price shall be full compensation for furnishing all materials and for all

preparation, delivering, placing and mixing these materials, and all labor, equipment, tools and incidentals necessary to complete this item.

Payment will be made under:

# **Treated Subgrade (6")**

# - Per Square Yard

# REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

# ASTM International (ASTM)

vi international (115 1111)	
ASTM C51	Standard Terminology Relating to Lime and Limestone (as used by the Industry)
ASTM C977	Standard Specification for Quicklime and Hydrated Lime for Soil Stabilization
ASTM C1602	Standard Specification for Mixing Water Used in the Production of Hydraulic Cement Concrete
ASTM D698	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³) (600 kN-m/m³)
ASTM D1556	Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D2487	Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D6938	Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

#### Software

FAARFIELD – FAA Rigid and Flexible Iterative Elastic Layered Design

#### **END OF ITEM P-155**

This Page Intentionally Left Blank

#### **SECTION 12B**

#### **ITEM P-157**

#### CEMENT or LIME KILN DUST TREATED SUBGRADE

(This Specification was Added Per Addendum No. 1)

#### **DESCRIPTION**

**157-1.1** This item shall consist of constructing one or more courses of a mixture of soil, stabilizer, and water in accordance with this specification, and in conformity with the lines, grades, thickness, and typical cross-sections shown on the plans.

#### **MATERIALS**

**157-2.1 Cement kiln dust (CKD).** Cement kiln dust shall contain a minimum of 40% CaO, a maximum of 6% SO<sub>3</sub>, and be capable of providing the soil modification specified for this project. Sources shall be identified and approved in advance of stabilization operations.

Cement kiln dust shall be stored and handled in closed waterproof containers until immediately before distribution. Cement kiln dust exposed to moisture prior to mixing with soils shall be discarded.

**Lime Kiln Dust (LKD).** LKD used for stabilization shall meet the following chemical and physical requirements:

# **LKD Properties**

Total Calcium & Magnesium Oxides (non-volatile basis) minimum	60%
Available Calcium Hydroxide (ASTM C25) plus total MgO content to be	30%
equivalent to CaOH <sub>2</sub> ; minimum	
Free Water (as received); maximum	4%
Loss on Ignition (as received, carbon dioxide plus moisture, combined and	40%
free); maximum	

Lime kiln dust shall be stored and handled in closed waterproof containers until immediately before distribution. Lime kiln dust exposed to moisture prior to mixing with soils shall be discarded.

- **157-2.2 Water.** Water used in mixing or curing shall be from potable water sources. Other sources shall be tested in accordance with ASTM C1602 prior to use.
- **157-2.3 Soil.** The soil shall consist of on-site materials and shall be free of roots, sod, weeds, and stones larger than 2-1/2 inches (60 mm) with a sulfate content of less than 0.3%.

#### **COMPOSITION**

- **157-3.1 Soil-Kiln Dust Mixture.** Kiln dust shall be added at an application rate of **10** percent dry unit weight of soil. Payment will be based on the amount of kiln dust required to obtain the minimum soil properties specified.
- **157-3.2 Tolerances.** At final compaction, the kiln dust and water content for each course of subgrade treatment shall conform to the following tolerances:

#### **Tolerances**

Material/Properties	Target	Tolerance	Specifications
Kiln Dust	10%	0 to +2%	% Total Dry Materials
Moisture Content	Optimum	0% to 4%	ASTM D558
Plastic Index	< 20	None	ASTM D4318

#### WEATHER LIMITATIONS

**157-4.1 Weather limitation.** Do not construct subgrade when weather conditions detrimentally affect the quality of the materials. Do not apply kiln dust unless the air temperature is at least 40°F (4°C) and rising. Do not apply kiln dust to soils that are frozen or contain frost. Do not apply kiln dust when conditions are too windy to allow even distribution of the kiln dust to the subgrade. If the air temperature falls below 35°F (2°C), protect completed kiln dust-treated areas by approved methods against the detrimental effects of freezing. Remove and replace any damaged portion of the completed soil-kiln dust treated area in accordance with this specification.

#### **EQUIPMENT**

**157-5.1 Equipment.** All equipment necessary to grade, scarify, spread, mix and compact the material shall be provided. The Resident Project Representative (RPR) must approve the Contractor's proposed equipment prior to the start of the treatment.

#### CONSTRUCTION METHODS

**157-6.1 General.** This specification is to construct a subgrade consisting of a uniform kiln dust/soil mixture which shall be free from loose or segregated areas. The subgrade shall be of uniform density and moisture content, well mixed for its full depth and have a smooth surface suitable for placing subsequent courses. The Contractor shall be responsible for meeting the above requirements.

Prior to any treatment, the subgrade shall be constructed as specified in Item P-152, Excavation, Subgrade, and Embankment, and shaped to conform to the typical sections, lines, and grades as shown on the plans.

The machine must give visible indication at all times that it is cutting, pulverizing and mixing the material uniformly to the proper depth over the full width of the cut.

- **157-6.2 Application.** Kiln dust shall be uniformly spread only over an area where the initial mixing operations and compaction can be completed during the same workday. The kiln dust shall not be applied when wind conditions are detrimental to proper application. Adequate moisture shall be added to the kiln dust-soil mixture to maintain the proper moisture content. Materials shall be handled, stored, and applied in accordance with all federal, state, and local requirements.
- 157-6.3 Mixing Procedure. The full depth of the treated subgrade shall be mixed with equipment as approved by the RPR. Kiln dust shall not be left exposed for more than one (1) hour after distribution. Mixing shall continue until the mixture contains no clods greater than 1-1/2 inches (38 mm) in size. Final moisture content of the mix shall be determined by the Contractor immediately prior to compaction in accordance with ASTM D2216 or ASTM D4959. Not more than 60 minutes shall elapse between start of moist mixing and start of compaction of CKD treated layer. 1 to 4 hours shall be allowed between start of moist mixing and start of compaction for LKD treated layer to ensure complete hydration prior to compaction.

- **157-6.4 Control Strip.** The first half-day of construction shall be considered the control strip. The Contractor shall demonstrate, in the presence of the RPR, that the materials, equipment, and construction processes meet the requirements of the specification. The sequence and manner of rolling necessary to obtain specified density requirements shall be determined. Control strips that do not meet specification requirements shall be reworked, re-compacted, or removed and replaced at the Contractor's expense. Full operations shall not continue until the control strip has been accepted by the RPR. Upon acceptance of the control strip by the RPR, the Contractor shall use the same equipment, materials, and construction methods for the remainder of construction, unless adjustments made by the Contractor are approved in advance by the RPR.
- **157-6.5 Treatment Application and Depth Checks.** The amount of kiln dust applied shall be monitored by the Contractor to assure that no less than the amount of kiln dust as specified in paragraph 157-3.1 is applied. The depth of stabilization shall be measured by the Contractor no less than 2 tests per day of material placed; test shall be witnessed by the RPR. Measurements shall be made in test holes excavated to show the full depth of mixing.
- **157-6.6 Compaction.** The moisture content shall be within the tolerance as specified in paragraph 156-3.2. The field density of the compacted mixture shall be at least **95%** of the maximum density as specified in paragraph 157-6.10. Compaction of the soil/cement mixture shall begin within **30 minutes** after mixing the cement into the subgrade. All compaction operations shall be completed within **2 hours** from the start of mixing. Perform in-place density test immediately after completion of compaction to determine compaction. If the material fails to meet the density requirements, compaction shall continue or the material shall be removed and replaced. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.
- **157-6.7 Finishing and curing**. After the final lift or course of treated subgrade has been compacted, it shall be brought to the required lines and grades in accordance with the typical sections.

Finished portions of treated subgrade shall be protected to prevent equipment from marring, permanently deforming, or damaging completed work.

Not later than 24 hours after completion of final finishing, the surface shall be cured by **application of an emulsified asphalt or being kept continuously moist for a period of 7 days with a fog-type water spray**.

Sufficient protection from freezing shall be provided for at least 7 days after its construction or as approved by the RPR.

- **157-6.8 Maintenance.** The Contractor shall maintain the entire treated subgrade in good condition from the start of work until all the work has been completed, cured, and accepted by the RPR. When material has been exposed to excessive rain, snow, or freeze-thaw conditions, prior to placement of additional material, the Contractor shall verify that materials still meets all specification requirements. The cost shall be incidental to this item.
- **157-6.9 Surface tolerance.** In those areas on which a subbase or base course is to be placed, the surface shall be tested for smoothness and accuracy of grade and crown. Any portion lacking the required smoothness or failing in accuracy of grade or crown shall be scarified to a depth of at least 3 inches (75 mm), reshaped and re-compacted to grade until the required smoothness and accuracy are obtained and approved by the RPR. The Contractor shall perform all final smoothness and grade checks in the presence of the RPR. Any deviation in surface tolerances shall be corrected by the Contractor at the Contractor's expense.
- **a. Smoothness.** The finished surface shall not vary more than  $\pm -\frac{1}{2}$  inch (12 mm) when tested with a 12-foot (3.7-m) straightedge applied parallel with and at right angles to the centerline. The straightedge

shall be moved continuously forward at half the length of the 12-foot (3.7-m) straightedge for the full length of each line on a 50-foot (15-m) grid.

- **b. Grade**. The grade and crown shall be measured on a 50-foot (15-m) grid and shall be within +/-0.05 feet (15 mm) of the specified grade.
- **157- 6.10** Acceptance sampling and testing. Treated subgrade shall be accepted for density and thickness on an area basis. Testing frequency shall be a minimum of one (1) compaction and thickness test per **1,000 square yards** of stabilized subgrade, but not less than four (4) tests per day of production. Sampling locations will be determined on a random basis per ASTM D3665.
  - a. Density. The RPR shall perform all density tests.

Each area shall be accepted for density when the field density is at least 95% of the maximum density of laboratory specimens compacted and tested per ASTM D698. The in-place moisture content shall be determined in accordance with ASTM D4959. The in-place field density shall be determined per ASTM D6938 using Procedure A, the direct transmission method, and ASTM D6938 shall be used to determine the moisture content of the material. The machine shall be calibrated in accordance with ASTM D6938. Perform in-place density test immediately after completion of compaction to determine compaction. If the material fails to meet the density requirements, compaction shall continue or the material shall be removed and replaced. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

**b. Thickness.** The thickness of the base course shall be within +0 and -1/2 inch (12 mm) of the specified thickness as determined by depth tests taken by the Contractor in the presence of the RPR for each area. Where the thickness is deficient by more than 1/2-inch (12 mm), the Contractor shall correct such areas at no additional cost by scarifying to a depth of at least 3 inches (75 mm), adding new material of proper gradation, and the material shall be blended and recompacted to grade. The Contractor shall replace, at his expense, base material where depth tests have been taken.

#### METHOD OF MEASUREMENT

157-7.1 The amount of treated subgrade shall be based on the number of square yards complete and accepted.

#### **BASIS OF PAYMENT**

157-8.1 Payment shall be made at the contract unit price per square yard for treated subgrade for the thickness specified. The price shall be full compensation for furnishing all material, and for all preparation, delivering, placing and mixing these materials, and all labor, equipment, tools and incidentals necessary to complete this item.

Payment will be made under:

**Treated Subgrade (6")** 

-Per Square Yard

#### REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

# ASTM International (ASTM)

` ′	
ASTM C558	Standard Test Methods for Moisture-Density (Unit Weight) Relations of Soil-Cement Mixtures
ASTM C1602	Standard Specification for Mixing Water Used in the Production of Hydraulic Cement Concrete
ASTM D1556	Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D1557	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³ (2,700 kN-m/m³))
ASTM D1883	Standard Test Method for California Bearing Ratio (CBR) of Laboratory-Compacted Soils
ASTM D2216	Test Methods for Laboratory Determination of Water (Moisture) Soil and Rock by Mass
ASTM D2487	Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D4318	Standard Test Methods for Liquid Limit, Plastic Limit and Plasticity Index of Soils
ASTM D4959	Standard Test Method for Determination of Water Content of Soil by Direct Heating
ASTM D6938	Standard Test Methods for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

# **END OF ITEM P-157**

This Page Intentionally Left Blank

#### **SECTION 12C**

#### **ITEM P-158**

#### FLY ASH TREATED SUBGRADE

(This Specification was Added Per Addendum No. 1)

#### DESCRIPTION

**158-1.1** This item shall consist of constructing one or more courses of a mixture of soil, fly ash, and water in accordance with this specification, and in conformity with the lines, grades, thicknesses, and typical cross-sections shown on the plans.

# **MATERIALS**

**158-2.1 Fly ash.** Fly ash shall meet ASTM C618, Class C and contain a minimum of 25% CaO. Sample and test the fly ash in accordance with ASTM C311. The source of the fly ash shall be identified by the Contractor and approved by the Resident Project Representative (RPR) in advance of modification operations so laboratory tests can be completed prior to beginning work.

Fly ash shall be handled and stored in closed weatherproof containers until immediately before distribution. Fly ash exposed to moisture prior to mixing with soils shall be discarded.

- **158-2.2 Water.** Water used in mixing or curing shall be from potable water sources. Other sources shall be tested in accordance with ASTM C1602 prior to use.
- **158-2.3 Soil.** The soil shall consist of on-site materials and shall be free of roots, sod, weeds, and stones larger than 2-1/2 inches (60 mm) with a sulfate content of less than 0.3%.

#### COMPOSITION

- 158-3.1 Fly ash. Fly ash shall be applied at 15 percent dry weight, at a depth of 6 inches of subgrade treatment
- **158-3.2 Tolerances.** At final compaction, the fly ash and water content for each course of subgrade treatment shall conform to the following tolerances:

#### **Tolerances**

Material	Target	Tolerance	Specifications
Fly ash	15 %	0  to + 2%	% Total Dry Materials
Moisture Content	Optimum	0 to +2%	ASTM D558

#### WEATHER LIMITATIONS

**158-4.1 Weather limitation.** Do not construct subgrade when weather conditions detrimentally affect the quality of the materials. Do not apply fly ash unless the air temperature is at least 40°F (4°C) and rising. Do not apply fly ash to soils that are frozen or contain frost. If the air temperature falls below 35°F (2°C), protect completed fly ash-treated areas by approved methods against the detrimental effects of freezing.

#### **EQUIPMENT**

**158-5.1 Equipment.** All equipment necessary to grade, scarify, spread, mix and compact the material shall be provided. The RPR must approve the Contractor's proposed equipment prior to the start of the treatment.

#### CONSTRUCTION METHODS

**158-6.1 General.** This specification is to construct a complete subgrade with a uniform fly ash/soil mixture which shall be free from loose or segregated areas. The subgrade shall be of uniform density and moisture content uniformly mixed for its full depth, and have with a smooth surface suitable for placing subsequent courses. The Contractor shall be responsible to meet these requirements.

Prior to any treatment, the subgrade shall be constructed as specified in Item P-152, Excavation, Subgrade and Embankment, and shaped to conform to the typical sections, lines, and grades as shown on the plans.

The machine must give visible indication at all times that it is cutting, pulverizing and mixing the material uniformly to the proper depth over the full width of the cut.

- **158-6.2 Application.** Fly ash shall be uniformly spread only over an area where the initial mixing and compaction operations can be completed within the same workday. Fly ash shall not be applied when wind conditions are detrimental to proper application. A motor grader shall not be used to spread the fly ash. Adequate moisture shall be added to the fly ash/soil mixture to maintain the proper moisture content. Materials shall be handled, stored, and applied in accordance with all federal, state, and local requirements.
- **158-6.3 Mixing.** The full depth of the treated subgrade shall be mixed with equipment as approved by the RPR. Fly ash shall not be left exposed for more than one (1) hour after distribution. Mixing and pulverization shall continue until the mixture contains no clods greater than 1-1/2 inches (38 mm) in size. Final moisture content of the mix shall be determined by the Contractor immediately prior to compaction in accordance with ASTM D2216 or ASTM D4959. Not more than 60 minutes shall elapse between start of moist mixing and start of compaction of the treated layer.
- **158-6.4 Control Strip.** The first half-day of construction shall be considered the control strip. The Contractor shall demonstrate, in the presence of the RPR, that the materials, equipment, and construction processes meet the requirements of the specification. The sequence and manner of rolling necessary to obtain specified density requirements shall be determined. Control strips that do not meet specification requirements shall be reworked, re-compacted, or removed and replaced at the Contractor's expense. Full operations shall not continue until the control strip has been accepted by the RPR. Upon acceptance of the control strip by the RPR, the Contractor shall use the same equipment, materials, and construction methods for the remainder of construction, unless adjustments made by the Contractor are approved in advance by the RPR.
- **158-6.5 Treatment Application and Depth Checks.** The amount of fly ash applied shall be monitored by the Contractor to assure that no less than the amount of fly ash specified in paragraph 158-3.1 is applied. The depth of modification shall be measured by the Contractor no less than 2 tests per day of material placed; test shall be witnessed by the RPR. Measurements shall be made in test holes excavated to show the full depth of mixing.
- **158-6.6 Compaction.** The moisture content shall be at the moisture content as specified in paragraph 158-3.2 during compaction. The field density of the compacted mixture shall be at least **95%** of the maximum density as specified in paragraph 158-6.10. Compaction of the fly ash mixture shall begin within **30 minutes** after mixing the fly ash into the subgrade. All compaction operations shall be completed within **2 hours** from the start of mixing. Perform in-place density test to determine degree of compaction. If the material fails to meet the density requirements, compaction shall continue or the

material shall be removed and replaced. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

**158-6.7 Finishing and curing.** After the final lift or course of treated subgrade has been compacted, it shall be brought to the required lines and grades in accordance with the typical sections.

Finished portions of treated subgrade shall be protected to prevent equipment from marring, permanently deforming, or damaging completed work.

Sufficient protection from freezing shall be provided for at least 7 days after its construction or as approved by the RPR.

- **158-6.8 Maintenance.** The Contractor shall maintain the fly ash treated subgrade in good condition until all the work has been completed, cured, and accepted by the RPR. When material has been exposed to excessive rain, snow, or freeze-thaw conditions, prior to placement of additional material, the Contractor shall verify that materials still meets all specification requirements. The cost is incidental to this item.
- **158-6.9 Surface tolerance.** In those areas on which a subbase or base course is to be placed, the surface shall be tested for smoothness and accuracy of grade and crown. Any portion lacking the required smoothness or failing in accuracy of grade or crown shall be scarified to a depth of at least 3 inches (75 mm), reshaped and re-compacted to grade until the required smoothness and accuracy are obtained and approved by the RPR. The Contractor shall perform all final smoothness and grade checks in the presence of the RPR. Any deviation in surface tolerances shall be corrected by the Contractor at the Contractor's expense.
- **a. Smoothness.** The finished surface shall not vary more than  $\pm -\frac{1}{2}$  inch (12 mm) when tested with a 12-foot (3.7-m) straightedge applied parallel with and at right angles to the centerline. The straightedge shall be moved continuously forward at half the length of the 12-foot (3.7-m) straightedge for the full length of each line on a 50-foot (15-m) grid.
- **b. Grade.** The grade and crown shall be measured on a 50-foot (15-m) grid and shall be within +/-0.05 feet (15 mm) of the specified grade.
- **158-6.10** Acceptance sampling and testing. Subgrade shall be accepted for density and thickness on an area basis. Testing frequency shall be a minimum of one (1) compaction and thickness test per **1,000 square yards** of stabilized subgrade, but not less than four (4) tests per day of production. Sampling locations will be determined on a random basis per ASTM D3665.
  - a. Density. The RPR shall perform all density tests.

The field density of the compacted mixture shall be at least 95% of the maximum density as determined by ASTM D558. Each area shall be accepted for density when the field density is at least 95% of the maximum density of laboratory specimens compacted and tested per ASTM D698. The inplace field density shall be determined per ASTM D6938 using Procedure A, the direct transmission method, and ASTM D6938 shall be used to determine the moisture content of the material. The machine shall be calibrated in accordance with ASTM D6938. If the specified density is not attained, the area represented by the failed test must be reworked and/or recompacted and two additional random tests made. This procedure shall be followed until the specified density is reached. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

**b. Thickness**. The thickness of the base course shall be within +0 and -1/2 inch (12 mm) of the specified thickness as determined by depth tests taken by the Contractor in the presence of the RPR for each area. Where the thickness is deficient by more than 1/2-inch (12 mm), the Contractor shall correct such areas at no additional cost by scarifying to a depth of at least 3 inches (75 mm), adding new material of proper gradation, and the material shall be blended and recompacted to grade. The Contractor shall replace, at his expense, base material where depth tests have been taken.

#### METHOD OF MEASUREMENT

158-7.1 Treated subgrade shall be paid for by the number of square yards completed and accepted.

#### **BASIS OF PAYMENT**

158-8.1 Payment shall be made at the contract unit price per square yard for the treated subgrade at the specified thickness. The price shall be full compensation for furnishing all materials and for all preparation, delivering, placing and mixing these materials, and all labor, equipment, tools and incidentals necessary to complete this item.

Payment will be made under:

**Treated Subgrade (6")** 

- Per Square Yard

#### REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

# ASTM International (ASTM)

ASTM C311	Standard Test Methods for Sampling and Testing Fly Ash or Natural Pozzolans for Use in Portland-Cement Concrete
ASTM C618	Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C1602	Standard Specification for Mixing Water Used in the Production of Hydraulic Cement Concrete
ASTM D698	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³ (600 kN-m/m³))
ASTM D1556	Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D1557	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³ (2,700 kN-m/m³))
ASTM D2487	Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D4959	Standard Test Method for Determination of Water Content of Soil by Direct Heating
ASTM D6938	Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

#### **END OF ITEM P-158**

# **SECTION 13A**

#### **ITEM P-208**

#### AGGREGATE BASE COURSE

(This Specification was Added Per Addendum No. 1)

#### DESCRIPTION

**208-1.1** This item shall consist of a base course composed of course aggregate bonded with fine aggregate base. It shall be constructed on a prepared subgrade or subbase course per these specifications and shall conform to the dimensions and typical cross-section shown on the plans.

#### **MATERIALS**

**208-2.1 Aggregate base.** The aggregate base material shall consist of both fine and coarse aggregate. Material shall be clean, sound, durable particles and fragments of stone or gravel, crushed stone, **crushed slag**, or crushed gravel mixed or blended with sand, screenings, or other materials. Materials shall be handled and stored in accordance with all federal, state, and local requirements. The aggregate shall be free from clay lumps, organic matter, or other deleterious materials or coatings. The method used to produce the crushed gravel shall result in the fractured particles in the finished product as nearly constant and uniform as practicable. The fine aggregate portion, defined as the portion passing the No. 4 (4.75 mm) sieve produced in crushing operations, shall be incorporated in the base material to the extent permitted by the gradation requirements. Aggregate base material requirements are listed in the following table.

# **Aggregate Base Material Requirements**

Material Test	Requirement	Standard			
Coarse Aggregate					
Resistance to Degradation	Loss: 50% maximum	ASTM C131			
Soundness of Aggregates by Use of Sodium Sulfate <b>or</b> Magnesium Sulfate	Loss after 5 cycles: 12% maximum using Sodium sulfate - or - 18% maximum using magnesium sulfate	ASTM C88			
Percentage of Fractured Particles	Minimum 60% by weight of particles with at least two fractured faces and 75% with at least one fractured face <sup>1</sup>	ASTM D5821			
Flat Particles, Elongated Particles, or Flat and Elongated Particles	10% maximum, by weight, of flat, elongated, or flat and elongated particles <sup>2</sup>	ASTM D4791			
Bulk density of slag	Weigh not less than 70 pounds per cubic foot (1.12 Mg/cubic meter)	ASTM C29			
Clay lumps and friable particles	Less than or equal to 3 percent	ASTM C142			
	Fine Aggregate				
Liquid limit	Less than or equal to 25	ASTM D4318			
Plasticity Index	Not more than five (5)	ASTM D4318			

<sup>&</sup>lt;sup>1</sup> The area of each face shall be equal to at least 75% of the smallest mid-sectional area of the piece. When two fractured faces are contiguous, the angle between the planes of fractures shall be at least 30 degrees to count as two fractured faces.

**208-2.2 Gradation requirements.** The gradation of the aggregate base material shall meet the requirements of the gradation given in the following table when tested per ASTM C117 and ASTM C136. The gradation shall be well graded from coarse to fine and shall not vary from the lower limit on one sieve to the high limit on an adjacent sieve or vice versa.

<sup>&</sup>lt;sup>2</sup> A flat particle is one having a ratio of width to thickness greater than five (5); an elongated particle is one having a ratio of length to width greater than five (5).

# **Gradation of Aggregate Base**

Sieve Size	Design Range Percentage by Weight passing	Contractor's Final Gradation	Job Control Grading Band Tolerances for Contractor's Final Gradation <sup>1</sup> Percent
2 inch (50 mm)	100		±0
1-1/2 inch (37.5 mm)	70-100		±5
1 inch (25.0 mm)	55-85		±8
3/4 inch (19.0 mm)	50-80		±8
No. 4 (4.75 mm)	30-60		±8
No. 40 (425 μm)	10-30		±5
No. 200 (75 μm)	5-15		±3

The "Job Control Grading Band Tolerances for Contractor's Final Gradation" in the table shall be applied to "Contractor's Final Gradation" to establish a job control grading band. The full tolerance still applies if application of the tolerances results in a job control grading band outside the design range.

# 208-2.3 Sampling and testing.

- **a. Aggregate base materials.** The Contractor shall take samples of the aggregate base in accordance with ASTM D75 to verify initial aggregate base requirements and gradation. Material shall meet the requirements in paragraphs 208-2.1 and 208-2.2. This sampling and testing will be the basis for approval of the aggregate base quality requirements.
- **b. Gradation requirements.** The Contractor shall take at least **two** aggregate base samples per day in the presence of the Resident Project Representative (RPR) to check the final gradation. Sampling shall be per ASTM D75. Material shall meet the requirements in paragraph 208-2.2. The samples shall be taken from the in-place, un-compacted material at sampling points and intervals designated by the RPR.
- 208-2.4 Separation Geotextile. Separation geotextile shall be Class 2, 0.02 sec<sup>-1</sup> permittivity per ASTM D4491, Apparent opening size per ASTM D4751 with 0.60 mm maximum average roll value.

### **CONSTRUCTION METHODS**

**208-3.1 Control strip.** The first half-day of construction shall be considered the control strip. The Contractor shall demonstrate, in the presence of the RPR, that the materials, equipment, and construction processes meet the requirements of the specification. The sequence and manner of rolling necessary to obtain specified density requirements shall be determined. The maximum compacted thickness may be increased to a maximum of 12 inches (300 mm) upon the Contractor's demonstration that approved equipment and operations will uniformly compact the lift to the specified density. The RPR must witness this demonstration and approve the lift thickness prior to full production.

Control strips that do not meet specification requirements shall be reworked, re-compacted or removed and replaced at the Contractor's expense. Full operations shall not continue until the control strip has been accepted by the RPR. The Contractor shall use the same equipment, materials, and construction methods for the remainder of construction, unless adjustments made by the Contractor are approved by the RPR.

- **208-3.2 Preparing underlying subgrade and/or subbase**. The underlying subgrade and/or subbase shall be checked and accepted by the RPR before base course placing and spreading operations begin. Reproof rolling of the subgrade or proof rolling of the subbase in accordance with Item P-152, at the Contractor's expense, may be required by the RPR if the Contractor fails to ensure proper drainage or protect the subgrade and/or subbase. Any ruts or soft, yielding areas due to improper drainage conditions, hauling, or any other cause, shall be corrected before the base course is placed. To ensure proper drainage, the spreading of the base shall begin along the centerline of the pavement on a crowned section or on the high side of the pavement with a one-way slope.
- **208-3.3 Production.** The aggregate shall be uniformly blended and, when at a satisfactory moisture content per paragraph 208-3.5, the approved material may be transported directly to the placement.
- **208-3.4 Placement**. The aggregate shall be placed and spread on the prepared underlying layer by spreader boxes or other devices as approved by the RPR, to a uniform thickness and width. The equipment shall have positive thickness controls to minimize the need for additional manipulation of the material. Dumping from vehicles that require re-handling shall not be permitted. Hauling over the uncompacted base course shall not be permitted.

The aggregate shall meet gradation and moisture requirements prior to compaction. The base course layer shall be constructed in lifts as established in the control strip, but not less than 4 inches (100 mm) nor more than 12 inches (300 mm) of compacted thickness.

When more than one lift is required to establish the layer thickness shown on the plans, the construction procedure described here shall apply to each lift. No lift shall be covered by subsequent lifts until tests verify that compaction requirements have been met. The Contractor shall rework, re-compact and retest any material placed which does not meet the specifications at the Contractor's expense.

**208-3.5 Compaction**. Immediately upon completion of the spreading operations, compact each layer of the base course, as specified, with approved compaction equipment. The number, type, and weight of rollers shall be sufficient to compact the material to the required density within the same day that the aggregate is placed on the subgrade.

The field density of each compacted lift of material shall be at least 100% of the maximum density of laboratory specimens prepared from samples of the subbase material delivered to the jobsite. The laboratory specimens shall be compacted and tested in accordance with ASTM D698. The moisture content of the material during placing operations shall be within ±2 percentage points of the optimum moisture content as determined by ASTM D698. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

- **208-3.6 Weather limitations.** Material shall not be placed unless the ambient air temperature is at least 40°F (4°C) and rising. Work on base course shall not be conducted when the subgrade or subbase is wet or frozen or the base material contains frozen material.
- **208-3.7 Maintenance.** The base course shall be maintained in a condition that will meet all specification requirements. When material has been exposed to excessive rain, snow, or freeze-thaw conditions, prior to placement of additional material, the Contractor shall verify that materials still meet all specification requirements. Equipment may be routed over completed sections of base course, provided that no damage results and the equipment is routed over the full width of the completed base course. Any damage resulting to the base course from routing equipment over the base course shall be repaired by the Contractor at their expense.
- **208-3.8 Surface tolerances.** After the course has been compacted, the surface shall be tested for smoothness and accuracy of grade and crown. Any portion lacking the required smoothness or failing in accuracy of grade or crown shall be scarified to a depth of at least 3 inches (75 mm), reshaped and recompacted to grade until the required smoothness and accuracy are obtained and approved by the RPR.

Any deviation in surface tolerances shall be corrected by the Contractor at the Contractor's expense. The smoothness and accuracy requirements specified here apply only to the top layer when base course is constructed in more than one layer.

- **a. Smoothness.** The finished surface shall not vary more than 3/8-inch (9 mm) when tested with a 12-foot (3.7-m) straightedge applied parallel with and at right angles to the centerline. The straightedge shall be moved continuously forward at half the length of the 12-foot (3.7-m) straightedge for the full length of each line on a 50-foot (15-m) grid.
- **b. Grade.** The grade and crown shall be measured on a 50-foot (15-m) grid and shall be within +0 and -1/2 inch (12 mm) of the specified grade.
- **208-3.9** Acceptance sampling and testing. Aggregate base course shall be accepted for density and thickness on an area basis. Two tests will be made for density and thickness for each **1,200 square yards**. Sampling locations will be determined on a random basis per ASTM D3665.
  - a. Density. The RPR shall perform all density tests.

Each area shall be accepted for density when the field density is at least 100% of the maximum density of laboratory specimens compacted and tested per ASTM D698. The in-place field density shall be determined per ASTM D6938 using Procedure A, the direct transmission method, and ASTM D6938 shall be used to determine the moisture content of the material. The machine shall be calibrated in accordance with ASTM D6938. If the specified density is not attained, the area represented by the failed test must be reworked and/or recompacted and two additional random tests made. This procedure shall be followed until the specified density is reached. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

**b. Thickness.** Depth tests shall be made by test holes at least 3 inches (75 mm) in diameter that extend through the base. The thickness of the base course shall be within +0 and -1/2 inch (12 mm) of the specified thickness as determined by depth tests taken by the Contractor in the presence of the RPR for each area. Where the thickness is deficient by more than 1/2-inch (12 mm), the Contractor shall correct such areas at no additional cost by scarifying to a depth of at least 3 inches (75 mm), adding new material of proper gradation, and the material shall be blended and recompacted to grade. The Contractor shall replace, at his expense, base material where depth tests have been taken.

#### METHOD OF MEASUREMENT

- **208-4.1** The quantity of aggregate base course shall be measured by the number of **square yards** of material actually constructed and accepted by the RPR as complying with the plans and specifications. Base materials shall not be included in any other excavation quantities.
- 208-4.2 Separation geotextile shall be measured by the number of square yards of materials placed and accepted by the RPR as complying with the plans and specifications excluding seam overlaps and edge anchoring.

#### **BASIS OF PAYMENT**

**208-5.1** Payment shall be made at the contract unit price per **square yards** for aggregate base course. This price shall be full compensation for furnishing all materials and for all operations, hauling, placing, and compacting of these materials, and for all labor, equipment, tools, and incidentals necessary to complete the item.

208-5.2 Payment shall be made at the contract unit price per square yard for separation geotextile. The price shall be full compensation for furnishing all labor, equipment, material, anchors, and incidentals necessary.

Payment will be made under:

Aggregate Base Course (4")

- Per Square Yard

Aggregate Base Course (5")

- Per Square Yard

Separation Geotextile - Per Square Yard

### REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

# ASTM International (ASTM)

ASTM C29	Standard Test Method for Bulk Density ("Unit Weight") and Voids in Aggregate
ASTM C88	Standard Test Method for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate
ASTM C117	Standard Test Method for Materials Finer than 75-µm (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C131	Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C136	Standard Test Method for Sieve or Screen Analysis of Fine and Coarse Aggregates
ASTM C142	Standard Test Method for Clay Lumps and Friable Particles in Aggregates
ASTM D75	Standard Practice for Sampling Aggregates
ASTM D698	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³ (600 kN-m/m³))
ASTM D1556	Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D1557	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³ (2700 kN-m/m³))
ASTM D2167	Standard Test Method for Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D2487	Standard Practice for Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D3665	Standard Practice for Random Sampling of Construction Materials
ASTM D4318	Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils

ASTM D4491	Standard Test Methods for Water Permeability of Geotextiles by Permittivity		
ASTM D4643	Standard Test Method for Determination of Water Content of Soil and Rock by Microwave Oven Heating		
ASTM D4751	Standard Test Methods for Determining Apparent Opening Size of a Geotextile		
ASTM D4791	Standard Test Method for Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate		
ASTM D5821	Standard Test Method for Determining the Percentage of Fractured Particles in Coarse Aggregate		
ASTM D6938	Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)		
ASTM D7928	Standard Test Method for Particle-Size Distribution (Gradation) of Fine-Grained Soils Using the Sedimentation (Hydrometer) Analysis		
American Association of State Highway and Transportation Officials (AASHTO)			

**END OF ITEM P-208** 

**Applications** 

Standard Specification for Geosynthetic Specification for Highway

M288

This Page Intentionally Left Blank

#### **SECTION 13B**

#### **ITEM P-209**

#### **CRUSHED AGGREGATE BASE COURSE**

(This Specification was Revised Per Addendum No. 1)

#### DESCRIPTION

**209-1.1** This item consists of a base course composed of crushed aggregate base constructed on a prepared course in accordance with these specifications and in conformity to the dimensions and typical cross-sections shown on the plans.

#### **MATERIALS**

**209-2.1 Crushed aggregate base.** Crushed aggregate shall consist of clean, sound, durable particles of crushed stone, crushed gravel, **or crushed slag** and shall be free from coatings of clay, silt, organic material, clay lumps or balls or other deleterious materials or coatings. The method used to produce the crushed gravel shall result in the fractured particles in the finished product as consistent and uniform as practicable. Fine aggregate portion, defined as the portion passing the No. 4 (4.75 mm) sieve shall consist of fines from the coarse aggregate crushing operation. The fine aggregate shall be produced by crushing stone, gravel, **or slag** that meet the coarse aggregate requirements for wear and soundness. Aggregate base material requirements are listed in the following table.

#### **Crushed Aggregate Base Material Requirements**

Material Test	Requirement	Standard
	Coarse Aggregate	
Resistance to Degradation	Loss: 45% maximum	ASTM C131
Soundness of Aggregates by Use of Sodium Sulfate <b>or</b> Magnesium Sulfate	Loss after 5 cycles: 12% maximum using Sodium sulfate - or - 18% maximum using magnesium sulfate	ASTM C88
Percentage of Fractured Particles	Minimum 90% by weight of particles with at least two fractured faces and 100% with at least one fractured face <sup>1</sup>	ASTM D5821
Flat Particles, Elongated Particles, or Flat and Elongated Particles	10% maximum, by weight, of flat, elongated, or flat and elongated particles <sup>2</sup>	ASTM D4791
Bulk density of slag	Weigh not less than 70 pounds per cubic foot (1.12 Mg/cubic meter)	ASTM C29
Clay lumps and friable particles	Less than or equal to 3 percent	ASTM C142
	Fine Aggregate	
Liquid limit	Less than or equal to 25	ASTM D4318
Plasticity Index	Not more than five (5)	ASTM D4318

<sup>&</sup>lt;sup>1</sup> The area of each face shall be equal to at least 75% of the smallest mid-sectional area of the piece. When two fractured faces are contiguous, the angle between the planes of fractures shall be at least 30 degrees to count as two fractured faces.

**209-2.2 Gradation requirements.** The gradation of the aggregate base material shall meet the requirements of the gradation given in the following table when tested per ASTM C117 and ASTM C136. The gradation shall be well graded from coarse to fine and shall not vary from the lower limit on one sieve to the high limit on an adjacent sieve or vice versa.

<sup>&</sup>lt;sup>2</sup> A flat particle is one having a ratio of width to thickness greater than five (5); an elongated particle is one having a ratio of length to width greater than five (5).

#### **Gradation of Aggregate Base**

Sieve Size	Design Range Percentage by Weight passing	Contractor's Final Gradation	Job Control Grading Band Tolerances <sup>1</sup> (Percent)
2 inch (50 mm)	100		0
1-1/2 inch (37.5 mm)	95-100		±5
1 inch (25.0 mm)	70-95		±8
3/4 inch (19.0 mm)	55-85		±8
No. 4 (4.75 mm)	30-60		±8
No. 40 <sup>2</sup> (425 μm)	10-30		±5
No. 200 <sup>2</sup> (75 μm)	0-5		±3

<sup>&</sup>lt;sup>1</sup> The "Job Control Grading Band Tolerances for Contractor's Final Gradation" in the table shall be applied to "Contractor's Final Gradation" to establish a job control grading band. The full tolerance still applies if application of the tolerances results in a job control grading band outside the design range.

#### 209-2.3 Sampling and Testing.

- **a. Aggregate base materials.** The Contractor shall take samples of the aggregate base in accordance with ASTM D75 to verify initial aggregate base requirements and gradation. Material shall meet the requirements in paragraph 209-2.1. This sampling and testing will be the basis for approval of the aggregate base quality requirements.
- **b. Gradation requirements.** The Contractor shall take at least **two** aggregate base samples per day in the presence of the Resident Project Representative (RPR) to check the final gradation. Sampling shall be per ASTM D75. Material shall meet the requirements in paragraph 209-2.2. The samples shall be taken from the in-place, un-compacted material at sampling points and intervals designated by the RPR.
- 209-2.4 Separation Geotextile. Separation Geotextile shall be class 2, 0.02 sec<sup>-1</sup> permittivity per ASTM D4491, Apparent opening size per ASTM D4751 with 0.60 mm maximum average roll value. (Added Per Addendum No. 1)

#### **CONSTRUCTION METHODS**

**209-3.1 Control strip.** The first half-day of construction shall be considered the control strip. The Contractor shall demonstrate, in the presence of the RPR, that the materials, equipment, and construction processes meet the requirements of the specification. The sequence and manner of rolling necessary to obtain specified density requirements shall be determined. The maximum compacted thickness may be increased to a maximum of 12 inches (300 mm) upon the Contractor's demonstration that approved equipment and operations will uniformly compact the lift to the specified density. The RPR must witness this demonstration and approve the lift thickness prior to full production.

 $<sup>^2</sup>$  The fraction of material passing the No 200 (75  $\mu$ m) sieve shall not exceed two-thirds the fraction passing the No 40 (425  $\mu$ m) sieve.

Control strips that do not meet specification requirements shall be reworked, re-compacted or removed and replaced at the Contractor's expense. Full operations shall not continue until the control strip has been accepted by the RPR. The Contractor shall use the same equipment, materials, and construction methods for the remainder of construction, unless adjustments made by the Contractor are approved by the RPR.

- **209-3.2 Preparing underlying subgrade and/or subbase**. The underlying subgrade and/or subbase shall be checked and accepted by the RPR before base course placing and spreading operations begin. Reproof rolling of the subgrade or proof rolling of the subbase in accordance with Item P-152, at the Contractor's expense, may be required by the RPR if the Contractor fails to ensure proper drainage or protect the subgrade and/or subbase. Any ruts or soft, yielding areas due to improper drainage conditions, hauling, or any other cause, shall be corrected before the base course is placed. To ensure proper drainage, the spreading of the base shall begin along the centerline of the pavement on a crowned section or on the high side of the pavement with a one-way slope.
- **209-3.3 Production**. The aggregate shall be uniformly blended and, when at a satisfactory moisture content per paragraph 209-3.5, the approved material may be transported directly to the placement.
- **209-3.4 Placement**. The aggregate shall be placed and spread on the prepared underlying layer by spreader boxes or other devices as approved by the RPR, to a uniform thickness and width. The equipment shall have positive thickness controls to minimize the need for additional manipulation of the material. Dumping from vehicles that require re-handling shall not be permitted. Hauling over the uncompacted base course shall not be permitted.

The aggregate shall meet gradation and moisture requirements prior to compaction. The base course shall be constructed in lifts as established in the control strip, but not less than 4 inches (100 mm) nor more than 12 inches (300 mm) of compacted thickness.

When more than one lift is required to establish the layer thickness shown on the plans, the construction procedure described here shall apply to each lift. No lift shall be covered by subsequent lifts until tests verify that compaction requirements have been met. The Contractor shall rework, re-compact and retest any material placed which does not meet the specifications at the Contractor's expense.

**209-3.5 Compaction**. Immediately after completion of the spreading operations, compact each layer of the base course, as specified, with approved compaction equipment. The number, type, and weight of rollers shall be sufficient to compact the material to the required density within the same day that the aggregate is placed on the subgrade.

The field density of each compacted lift of material shall be at least 100% of the maximum density of laboratory specimens prepared from samples of the subbase material delivered to the jobsite. The laboratory specimens shall be compacted and tested in accordance with ASTM D698. The moisture content of the material during placing operations shall be within ±2 percentage points of the optimum moisture content as determined by ASTM D698. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

- **209-3.6 Weather limitations.** Material shall not be placed unless the ambient air temperature is at least 40°F (4°C) and rising. Work on base course shall not be conducted when the subgrade or subbase is wet or frozen or the base material contains frozen material.
- **209-3.7 Maintenance.** The base course shall be maintained in a condition that will meet all specification requirements. When material has been exposed to excessive rain, snow, or freeze-thaw conditions, prior to placement of additional material, the Contractor shall verify that materials still meet all specification requirements. Equipment may be routed over completed sections of base course, provided that no damage results and the equipment is routed over the full width of the completed base course. Any damage

resulting to the base course from routing equipment over the base course shall be repaired by the Contractor at the Contractor's expense.

- **209-3.8 Surface tolerances.** After the course has been compacted, the surface shall be tested for smoothness and accuracy of grade and crown. Any portion lacking the required smoothness or failing in accuracy of grade or crown shall be scarified to a depth of at least 3 inches (75 mm), reshaped and recompacted to grade until the required smoothness and accuracy are obtained and approved by the RPR. Any deviation in surface tolerances shall be corrected by the Contractor at the Contractor's expense. The smoothness and accuracy requirements specified here apply only to the top layer when base course is constructed in more than one layer.
- **a. Smoothness.** The finished surface shall not vary more than 3/8-inch (9 mm) when tested with a 12-foot (3.7-m) straightedge applied parallel with and at right angles to the centerline. The straightedge shall be moved continuously forward at half the length of the 12-foot (3.7-m) straightedge for the full length of each line on a 50-foot (15-m) grid.
- **b. Grade.** The grade and crown shall be measured on a 50-foot (15-m) grid and shall be within +0 and -1/2 inch (12 mm) of the specified grade.
- **209-3.9** Acceptance sampling and testing. Crushed aggregate base course shall be accepted for density and thickness on an area basis. Two tests shall be made for density and thickness for each **1,200 square** yds. Sampling locations will be determined on a random basis per ASTM D3665
  - a. Density. The RPR shall perform all density tests.

Each area shall be accepted for density when the field density is at least 100% of the maximum density of laboratory specimens compacted and tested per ASTM D698. The in-place field density shall be determined per ASTM D6938 using Procedure A, the direct transmission method, and ASTM D6938 shall be used to determine the moisture content of the material. The machine shall be calibrated in accordance with ASTM D6938. If the specified density is not attained, the area represented by the failed test must be reworked and/or recompacted and two additional random tests made. This procedure shall be followed until the specified density is reached. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

**b. Thickness.** Depth tests shall be made by test holes at least 3 inches (75 mm) in diameter that extend through the base. The thickness of the base course shall be within +0 and -1/2 inch (12 mm) of the specified thickness as determined by depth tests taken by the Contractor in the presence of the RPR for each area. Where the thickness is deficient by more than 1/2-inch (12 mm), the Contractor shall correct such areas at no additional cost by scarifying to a depth of at least 3 inches (75 mm), adding new material of proper gradation, and the material shall be blended and recompacted to grade. The Contractor shall replace, at his expense, base material where depth tests have been taken.

#### METHOD OF MEASUREMENT

- **209-4.1** The quantity of crushed aggregate base course will be determined by measurement of the number of **square yards** of material actually constructed and accepted by the RPR as complying with the plans and specifications. Base materials shall not be included in any other excavation quantities.
- 209-4.2 Separation geotextile shall be measured by the number of square yards of materials placed and accepted by the RPR as complying with the plans and specifications excluding seam overlaps and edge anchoring.

(Added Per Addendum No. 1)

#### **BASIS OF PAYMENT**

**209-5.1** Payment shall be made at the contract unit price per **square yard** for crushed aggregate base course. This price shall be full compensation for furnishing all materials, for preparing and placing these materials, and for all labor, equipment tools, and incidentals necessary to complete the item.

209-5.2 Payment shall be made at the contract unit price per square yard for separation geotextile. The price shall be full compensation for furnishing all labor, equipment, material, anchors, and incidentals necessary.

(Added Per Addendum No. 1)

Payment will be made under:

Aggregate Base Course (4") (Added Per Addendum No. 1)	- Per Square Yard
Aggregate Base Course (5") (Revised Per Addendum No. 1)	- Per Square Yard
Separation Geotextile (Added Per Addendum No. 1)	- Per Square Yard

#### REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM International (ASTM)

ASTM C29	Standard Test Method for Bulk Density ("Unit Weight") and Voids in Aggregate
ASTM C88	Standard Test Method for Soundness of Aggregates by Use of Sodium Sulfate or Magnesium Sulfate
ASTM C117	Standard Test Method for Materials Finer than 75-µm (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C131	Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C136	Standard Test Method for Sieve or Screen Analysis of Fine and Coarse Aggregates
ASTM C142	Standard Test Method for Clay Lumps and Friable Particles in Aggregates
ASTM D75	Standard Practice for Sampling Aggregates
ASTM D698	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft <sup>3</sup> (600 kN-m/m <sup>3</sup> ))

ASTM D1556	Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D1557	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³ (2700 kN-m/m³))
ASTM D2167	Standard Test Method for Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D2419	Standard Test Method for Sand Equivalent Value of Soils and Fine Aggregate
ASTM D3665	Standard Practice for Random Sampling of Construction Materials
ASTM D4318	Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D4491	Standard Test Methods for Water Permeability of Geotextiles by Permittivity
ASTM D4643	Standard Test Method for Determination of Water Content of Soil and Rock by Microwave Oven Heating
ASTM D4751	Standard Test Methods for Determining Apparent Opening Size of a Geotextile
ASTM D4791	Standard Test Method for Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate
ASTM D5821	Standard Test Method for Determining the Percentage of Fractured Particles in Coarse Aggregate
ASTM D6938	Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)
ASTM D7928	Standard Test Method for Particle-Size Distribution (Gradation) of Fine-Grained Soils Using the Sedimentation (Hydrometer) Analysis
American Association of State I	Highway and Transportation Officials (AASHTO)

Standard Specification for Geosynthetic Specification for Highway Applications M288

#### **END OF ITEM P-209**

This Page Intentionally Left Blank

#### **SECTION 13C**

#### **ITEM P-219**

#### RECYCLED CONCRETE AGGREGATE BASE COURSE

(This Specification Was Added Per Addendum No.1)

#### **DESCRIPTION**

**219-1.1** This item consists of a base course composed of recycled concrete aggregate, crushed to meet a particular gradation, constructed on a prepared course per these specifications and in conformity to the dimensions and typical cross-sections shown on the plans.

#### **MATERIALS**

**219-2.1 Aggregate.** Recycled concrete aggregate shall consist of cement concrete. The recycled concrete material shall be free of reinforcing steel and expansion material. Asphalt overlays and any full slab asphalt panels shall be removed from the concrete surface prior to removal and crushing.

Recycled concrete aggregate shall consist of at least 90%, by weight, cement concrete; virgin aggregates may be added to meet the 90% minimum concrete requirement. The remaining 10% may consist of the following materials:

#### **Deleterious Materials**

Material	Quantity
Wood	0.1% maximum
Brick, mica, schist, or other friable materials	4% maximum
Asphalt concrete	10% maximum
Total	10 % maximum

#### **Recycled Concrete Aggregate Base Material Requirements**

Material Test	Requirement	Standard			
Coarse Aggregate					
Resistance to Degradation	Loss: 45% maximum	ASTM C131			
Soundness of Aggregates by Use of Sodium Sulfate <b>or</b> Magnesium Sulfate	Loss after 5 cycles: 12% maximum using Sodium sulfate - or - 18% maximum using magnesium sulfate	ASTM C88			
Flat Particles, Elongated Particles, or Flat and Elongated Particles <sup>1</sup>	10% maximum, by weight, for fraction retained on the ½ inch (12.5mm) sieve and 10% maximum, by weight, for the fraction passing the 1/2-inch (12.5 mm) sieve	ASTM D4791			
Clay lumps and friable particles	Less than or equal to 3 percent	ASTM C142			
	Fine Aggregate Portion				
Liquid limit	Less than or equal to 25	ASTM D4318			
Plasticity Index	Not more than four (4)	ASTM D4318			

A flat particle is one having a ratio of width to thickness greater than five (5); an elongated particle is one having a ratio of length to width greater than five (5).

The fine aggregate shall be produced by crushing stone, gravel, slag, or recycled concrete that meet the requirements for wear and soundness specified for coarse aggregate. Fine aggregate may be added to produce the correct gradation.

Each source of recycled concrete aggregate shall meet the above requirements.

Recycled concrete aggregate shape depends on the characteristics of the recycled concrete, plant type, and plant operation speed. This may require a number of trial batches before crushed recycled concrete aggregate meeting the shape and gradation requirements can be produced.

**219-2.2 Gradation requirements.** The gradation (job mix) of the final mixture shall fall within the design range indicated in the following table, when tested per ASTM C117 and ASTM C136. The final gradation shall be continuously graded from coarse to fine and shall not vary from the low limit on one sieve to the high limit on an adjacent sieve or vice versa.

#### **Gradation of Recycled Concrete Aggregate Base**

Sieve Size	Percentage by Weight Passing Sieves	Job Mix Tolerances Percent
2 inch (50 mm)	100	
1-1/2 inch (37.5 mm)	95 - 100	±5
1 inch (25.0 mm)	70 - 95	±8
3/4 inch (19.0 mm)	55 - 85	±8
No. 4 (4.75 mm)	30 - 60	±8
No. 30 (600 μm)	12 - 30	±5
No. 200 (75 μm)	0 - 10	±3

The job mix tolerances in the table shall be applied to the job mix gradation to establish a job control gradation band. The full tolerance still will apply if application of the tolerances results in a job control gradation band outside the design range.

#### 219-2.3 Sampling and testing.

- **a. Aggregate base materials.** The Contractor shall take samples of the aggregate base in accordance with ASTM D75 to verify initial aggregate base requirements and gradation. Material shall meet the requirements in paragraphs 219-2.1 and 219-2.2. This sampling and testing will be the basis for approval of the aggregate base quality requirements.
- **b. Gradation requirements.** The Contractor shall take at least **two** aggregate base samples per day in the presence of the Resident Project Representative (RPR) to check the final gradation. Sampling shall be per ASTM D75. Material shall meet the requirements in paragraph 219-2.2. The lot will be consistent with the lot size used for density. The samples shall be taken from the in-place, un-compacted material at sampling points and intervals designated by the RPR.
- 219-2.4 Separation Geotextile. Separation Geotextile shall be class 2, 0.02 sec<sup>-1</sup> permittivity per ASTM D4491, Apparent opening size per ASTM D4751 with 0.60 mm maximum average roll value.

#### **CONSTRUCTION METHODS**

**219-3.1 Control Strip.** The first half-day of construction shall be considered the control strip. The Contractor shall demonstrate, in the presence of the RPR, that the materials, equipment, and construction processes meet the requirements of the specification. The sequence and manner of rolling necessary to obtain specified density requirements shall be determined. The maximum compacted thickness may be increased to a maximum of 12 inches (300 mm) upon the Contractor's demonstration that approved equipment and operations will uniformly compact the lift to the specified density. The RPR must witness this demonstration and approve the lift thickness prior to full production.

Control strips that do not meet specification requirements shall be reworked, re-compacted or removed and replaced at the Contractor's expense. Full operations shall not continue until the control strip has been accepted by the RPR. The Contractor shall use the same equipment, materials, and construction methods for the remainder of construction, unless adjustments made by the Contractor are approved by the RPR.

**219-3.2 Preparing underlying course.** The underlying course shall be checked by the RPR before placing and spreading operations are started. Any ruts or soft yielding places caused by improper drainage conditions, hauling, or any other cause shall be corrected at the Contractor's expense before the base course is placed there. Material shall not be placed on frozen material.

To protect the existing layers and to ensure proper drainage, the spreading of the recycled concrete aggregate base course shall begin along the centerline of the pavement on a crowned section or on the greatest contour elevation of a pavement with a variable uniform cross slope.

**219-3.3 Placement.** The aggregate shall be placed and spread on the prepared underlying layer by spreader boxes or other devices as approved by the RPR, to a uniform thickness and width. The equipment shall have positive thickness controls to minimize the need for additional manipulation of the material. Dumping from vehicles that require re-handling shall not be permitted. Hauling over the uncompacted base course shall not be permitted.

The aggregate shall meet gradation and moisture requirements prior to compaction. The subbase course shall be constructed in lifts as established in the control strip, but not less than 4 inches (100 mm) nor more than 12 inches (300 mm) of compacted thickness.

When more than one lift is required to establish the layer thickness shown on the plans, the construction procedure described here shall apply to each lift. No lift shall be covered by subsequent lifts until tests verify that compaction requirements have been met. The Contractor shall rework, re-compact and retest any material placed which does not meet the specifications.

**219-3.4 Compaction.** Immediately upon completion of the spreading operations, compact each layer of the base course, as specified, with approved compaction equipment. The number, type, and weight of rollers shall be sufficient to compact the material to the required density within the same day that the aggregate is placed on the subgrade.

The field density of each compacted lift of material shall be at least 100% of the maximum density of laboratory specimens prepared from samples of the subbase material delivered to the jobsite. The laboratory specimens shall be compacted and tested in accordance with ASTM D698. The moisture content of the material during placing operations shall be within ±2 percentage points of the optimum moisture content as determined by ASTM D698. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

- **219-3.5 Weather limitations.** Material shall not be placed unless the ambient air temperature is at least 40°F (4°C) and rising. Work on base course shall not be conducted when the subgrade or subbase is wet or frozen or the base material contains frozen material.
- **219-3.6 Maintenance.** The base course shall be maintained in a condition that will meet all specification requirements. When material has been exposed to excessive rain, snow, or freeze-thaw conditions, prior to placement of additional material, the Contractor shall verify that materials still meet all specification requirements. Equipment may be routed over completed sections of base course, provided that no damage results and the equipment is routed over the full width of the completed base course. Any damage resulting to the base course from routing equipment over the base course shall be repaired by the Contractor at their expense.
- **219-3.7 Surface tolerances.** After the course has been compacted, the surface shall be tested for smoothness and accuracy of grade and crown. Any portion lacking the required smoothness or failing in accuracy of grade or crown shall be scarified to a depth of at least 3 inches (75 mm), reshaped and recompacted to grade until the required smoothness and accuracy are obtained and approved by the RPR. Any deviation in surface tolerances shall be corrected by the Contractor at the Contractor's expense. The smoothness and accuracy requirements specified here apply only to the top layer when base course is constructed in more than one layer.
- **a. Smoothness.** The finished surface shall not vary more than 3/8-inch (9 mm) when tested with a 12-foot (3.7-m) straightedge applied parallel with and at right angles to the centerline. The straightedge shall be moved continuously forward at half the length of the 12-foot (3.7-m) straightedge for the full length of each line on a 50-foot (15-m) grid.
- **b. Grade.** The grade and crown shall be measured on a 50-foot (15-m) grid and shall be within +0 and 1/2 inch (12 mm) of the specified grade.
- **219-3.8** Acceptance sampling and testing for density. Recycled Concrete Aggregate base course shall be accepted for density and thickness on an area basis. Two tests shall be made for density and thickness for each **1,200 square yds.** Sampling locations will be determined on a random basis per ASTM D3665
  - a. Density. The RPR shall perform all density tests.

Each area shall be accepted for density when the field density is at least 100% of the maximum density of laboratory specimens compacted and tested per ASTM D698. The in-place field density shall be determined per ASTM D6938 using Procedure A, the direct transmission method, and ASTM D6938 shall be used to determine the moisture content of the material. The machine shall be calibrated in accordance with ASTM D6938. If the specified density is not attained, the area

represented by the failed test must be reworked and/or recompacted and two additional random tests made. This procedure shall be followed until the specified density is reached. Maximum density refers to maximum dry density at optimum moisture content unless otherwise specified.

**b. Thickness.** Depth tests shall be made by test holes at least 3 inches (75 mm) in diameter that extend through the base. The thickness of the base course shall be within +0 and -1/2 inch (12 mm) of the specified thickness as determined by depth tests taken by the Contractor in the presence of the RPR for each area. Where the thickness is deficient by more than 1/2-inch (12 mm), the Contractor shall correct such areas at no additional cost by scarifying to a depth of at least 3 inches (75 mm), adding new material of proper gradation, and the material shall be blended and recompacted to grade. The Contractor shall replace, at his expense, base material where depth tests have been taken.

#### METHOD OF MEASUREMENT

- **219-4.1** The quantity of recycled concrete aggregate base course will be determined by measurement of the number of square yards of material actually constructed and accepted as complying with the plans and specifications.
- 219-4.2 Separation geotextile shall be measured by the number of square yards of materials placed and accepted by the RPR as complying with the plans and specifications excluding seam overlaps and edge anchoring.

#### BASIS OF PAYMENT

**219-5.1** Payment shall be made at the contract unit price per square yard for recycled concrete aggregate base course. This price shall be full compensation for furnishing all materials, for preparing and placing these materials, and for all labor, equipment tools, and incidentals necessary to complete the item.

219-5.2 Payment shall be made at the contract unit price per square yard for separation geotextile. The price shall be full compensation for furnishing all labor, equipment, material, anchors, and incidentals necessary.

Payment will be made under:

Aggregate Base Course (4") - Per Square Yard

Aggregate Base Course (5") - Per Square Yard

Separation Geotextile - Per Square Yard

#### REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM International (ASTM)

ASTM C29 Standard Test Method for Bulk Density ("Unit Weight") and Voids in

Aggregate

ASTM C88 Standard Test Method for Soundness of Aggregates by Use of Sodium

Sulfate or Magnesium Sulfate

ASTM C117	Standard Test Method for Materials Finer than 75 $\mu m$ (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C131	Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C136	Standard Test Method for Sieve or Screen Analysis of Fine and Coarse Aggregate
ASTM D75	Standard Practice for Sampling Aggregates
ASTM D698	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³ (600 kN-m/m³))
ASTM D1556	Standard Test Method for Density and Unit Weight of Soil in Place by the Sand Cone Method
ASTM D1557	Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft³ (2700 kN-m/m³))
ASTM D2419	Standard Test Method for Sand Equivalent Value of Soils and Fine Aggregate
ASTM D3665	Standard Practice for Random Sampling of Construction Materials
ASTM D4318	Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM D4643	Standard Test Method for Determination of Water (Moisture) Content of Soil by Microwave Oven Heating
ASTM D4791	Standard Test Method for Flat Particles, Elongated Particles, or Flat and Elongated Particles in Coarse Aggregate
ASTM D6938	Standard Test Method for In-Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth)

### **END OF ITEM P-219**

#### **PROPOSAL FORM**

#### CITY OF AURORA, MISSOURI

State Block Grant Project No. 18-091B-1

#### TO: City of Aurora, Missouri

The undersigned, in compliance with the request for bids for construction of the following Project:

**Base Bid** 

**Construct Hangar Taxilanes** 

Add Alternate No. 1

**Construct 6" P.C.C.** Pavement

Add Alternate No. 2

**Construct 4" Asphalt Pavement** 

hereby proposes to furnish all labor, permits, material, machinery, tools, supplies and equipment to faithfully perform all work required for construction of the Project in accordance with the project manual, project drawings and issued Addenda within the specified time of performance for the following prices:

BID ITEM	FAA or MoDOT SPEC.	MoDOT ITEM DESCRIPTION	MoDOT ITEM DESCRIPTION QTY.		UNIT PRICE		EXTENSION	
				DOLLARS	CTS	DOLLARS	CTS	
BASE BID								
CONSTRUCT	THANGAR TA	XILANES						
1	C-102	Erosion Control Barrier (Silt Fence)	450 L.F.					
2	C-102	Erosion Control Barrier (Straw Wattle)	155 L.F.					
3	C-105	Mobilization	1 L.S.					
4	TEMP	Temporary Marking, Lighting, & Barricades	1 L.S.					
5	P-101	Saw Cut	234 L.F.					
6	P-620	Reflectorized Pavement Marking	440 S.F.					
7	P-620	Non-Reflectorized Pavement Marking	880 S.F.					
8	D-701	Non-Reflectorized Pavement Marking	219 L.F.					
9	D-701	36" RCP End Section	2 EA.					
10	D-705	Perforated Underdrain, Complete (4") (Schedule 80)	468 L.F.					
11	D-705	Underdrain Cleanout Riser	2 EA.					
12	D-705	Splash Pad	1 EA.					
13	D-705	Connect Underdrain to Proposed Storm Pipe	2 EA.					
14	T-901	Seeding	1.2 AC.					

15	T-905	Placement of Topsoil (Obtained on	1			
	1-903	Site)	L.S.			
16	T-908	HydroMulch	0.8			
	1-908	riyaromalari	AC.			
17	TREC	Erosion Control Blanket (Type 2C)	2,638			
	TILC	Erosion Control Blanket (Type 2C)	S.Y.			
18	D-705	Permanent Transition Mat	280			
		remailent mansition wat	S.F.			
TOTAL	DACE DID	·	•	•	•	

### TOTAL BASE BID

	ICT 6" P.C.C. PA			_		
1	C-100	Contractor Quality Control Program	1			
•	C 100	(CQCP)	L.S.			
2	P-152	Unclassified Excavation	2,820			
2	F-132	(Revised Per Addendum No. 1)	C.Y.			
		Unsuitable Subgrade Removal and	280			
3	P-152	Replacement	200			
		(Revised Per Addendum No. 1)	C.Y.			
4	P-155, P-157,	Treated Subgrade (6")	3,154			
4	or P-158	(Added Per Addendum No. 1)	S.Y.			
	P-208, P-209	Aggregate Base Course (4")	3,154			
5	or P219	(Revised Per Addendum No. 1)	S.Y.			
6	P-208, P-209	Separation Geotextile	3,154			
O	or P219	(Added Per Addendum No. 1)	S.Y.			
7	P-501	P.C.C. Pavement (6")	3,030			
,	F-301	(Revised Per Addendum No. 1)	S.Y.			

### ADD ALTERNATE 1 TOTAL

	ERNATE 2 JCT 4" ASPHAL	T PAVEMENT			
1	C-100	Contractor Quality Control Program	1		
ļ	C-100	(CQCP)	L.S.		
2	P-152	Unclassified Excavation	2,735		
2	F-132	(Revised Per Addendum No. 1)	C.Y.		
		Unsuitable Subgrade Removal and	275		
3	P-152	Replacement			
		(Revised Per Addendum No. 1)	C.Y.		
4	P-155, P-157,	Treated Subgrade (6")	3,121		
4	or P-158	(Added Per Addendum No. 1)	S.Y.		
5	P-208, P-209	Aggregate Base Course (5")	3,121		
5	or P219	(Revised Per Addendum No. 1)	S.Y.		
6	P-208, P-209	Separation Geotextile	3,121		
0	or P219	(Added Per Addendum No. 1)	S.Y.		
7	P-403	Asphalt Mixture Surface Course	710		
,	F-403	Aspiralt Mixture Surface Course	Ton		
8	P-602	Emulsified Asphalt Prime Coat	900		
0	F-002	Linuisineu Aspiiait Filine Coat	Gal.		
9	P-603	Emulsified Asphalt Tack Coat	210		
,	1 003	Emaismed Aspiralt rack coat	Gal.		

### ADD ALTERNATE 2 TOTAL

	BASE	BID.	AND	ADD	<b>ALTERN</b>	IATE 1	<b>TOTAL</b>
--	------	------	-----	-----	---------------	--------	--------------

### BASE BID AND ADD ALTERNATE 2 TOTAL

#### ACKNOWLEDGEMENTS BY BIDDER

- a. By submittal of a proposal, the BIDDER acknowledges and accepts that the quantities established by the OWNER are an approximate estimate of the quantities required to fully complete the Project and that the estimated quantities are principally intended to serve as a basis for evaluation of bids. The BIDDER further acknowledges and accepts that payment under this contract will be made only for actual quantities and that quantities will vary in accordance with the General Provisions subsection entitled "Alteration of Work and Ouantities".
- **b.** The BIDDER acknowledges and accepts that the Bid Documents are comprised of the documents identified within the General Provisions. The BIDDER further acknowledges that each the individual documents that comprise the Bid Documents are complementary to one another and together establishes the complete terms, conditions and obligations of the successful BIDDER.
- c. As evidence of good faith in submitting this proposal, the undersigned encloses a bid guaranty in the form of a certified check, cashier's check or bid bond in the amount of 5% of the bid price. The BIDDER acknowledges and accepts that refusal or failure to accept award and execute a contract within the terms and conditions established herein will result in forfeiture of the bid guaranty to the owner as a liquidated damage.
- d. The BIDDER acknowledges and accepts the OWNER'S right to reject any or all bids.
- e. The BIDDER acknowledges and accepts the OWNER'S right to hold all Proposals for purposes of review and evaluation and not issue a notice of award for a period not to exceed **Ninety (90)** calendar days from the stated date for receipt of bids.
- f. The undersigned agrees that upon written notice of award of contract, he or she will execute the contract within thirty (30) days of the notice of award, and furthermore, and provide executed payment and performance bonds within fifteen (15) days from the date of contract execution. The undersigned accepts that failure to execute the contract and provide the required bonds within the stated timeframe shall result in forfeiture of the bid guaranty to the owner as a liquidated damage.
- g. Time of Performance: By submittal of this proposal, the undersigned acknowledges and agrees to commence work within ten (10) calendar days of the date specified in the written "Notice to Proceed" as issued by the OWNER. The undersigned further agrees to complete the Project within Sixty Five (65) Calendar days from the commencement date specified in the Notice Proceed.
- h. The undersigned acknowledges and accepts that for each and every Calendar day the project remains incomplete beyond the contract time of performance, the Contractor shall pay the non-penal amount of \$1,500 per Calendar day as a liquidated damages to the OWNER.
- i. The undersigned prime contractor, if not a MoDOT certified DBE, hereby assures that they will subcontract 5.0 percent of the dollar value of the prime contract to DBE firms or make good faith efforts to meet the DBE contract goal. In addition, the prime contractor will include the DBE clauses (see Supplementary Provision No. 6 of the Federal and State Provisions) required by the DBE Program adopted by MoDOT and the Sponsor in all contracts and subcontracts relating to this project. The undersigned will complete the DBE Participation information included herein when a DBE goal has been established, including a demonstration of good faith efforts if the DBE goal is not met. If the undersigned prime contractor is a MoDOT certified DBE firm, then the prime contractor must perform at least thirty percent (30%) of the total contract value work with its own forces, and will receive DBE credit for all work which the prime contractor and any other MoDOT certified DBE firm performs directly.
- j. The BIDDER, by submission of a proposal, acknowledges that award of this contract is subject to the provisions of the Davis-Bacon Act and the Missouri Prevailing Wage Law. The BIDDER accepts the requirement to pay prevailing wages for each classification and type of worker as established in the attached wage rate determinations as issued by the United States Department of Labor and the Missouri Division of Labor Standards. The BIDDER further acknowledges and accepts their requirement to incorporate the

provision to pay the established prevailing wages in every subcontract agreement entered into by the Bidder under this project. The highest rate between the two (Federal and State) for each job classification shall be considered the prevailing wage.

- **k.** Compliance Reports (41 CFR Part 60-1.7): Within 30 days after award of this contract, the Contractor/Subcontractor shall file a compliance report (Standard Form 100) if s/he has not submitted a complete compliance report within 12 months preceding the date of award. This report is required if the Contractor/Subcontractor meets all of the following conditions:
  - 1. Contractors/Subcontractors are not exempt based on 41 CFR 60-1,5.
  - 2. Has 50 or more employees.
  - **3.** Is a prime contractor or first tier subcontractor.
  - 4. There is a contract, subcontract, or purchase order amounting to \$50,000 or more
- **l.** The undersigned acknowledges receipt of the following addenda:

Addendum No	, dated	Date Received	
Addendum No.	, dated	Date Received	
Addendum No.	, dated	Date Received	
Addendum No.	, dated	Date Received	
Addendum No.	, dated	Date Received	

#### REPRESENTATIONS BY BIDDER

By submittal of a proposal (bid), the BIDDER represents the following:

- a. The BIDDER has read and thoroughly examined the bid documents, including all authorized addenda.
- **b.** The BIDDER has a complete understanding of the terms and conditions required for the satisfactory performance of project work.
- c. The BIDDER has fully informed themselves of the project site, the project site conditions and the surrounding area
- **d.** The BIDDER has familiarized themselves with the requirements of working on an operating airport and understands the conditions that may in any manner affect cost, progress or performance of the work.
- **e.** The BIDDER has correlated their observations with that of the project documents.
- f. The BIDDER has found no errors, conflicts, ambiguities or omissions in the project documents, except as previously submitted in writing to the owner that would affect cost, progress or performance of the work.
- g. The BIDDER is familiar with all applicable Federal, State and local laws, rules and regulations pertaining to execution of the contract and the project work.
- **h.** The BIDDER has complied with all requirements of these instructions and the associated project documents.

#### **CERTIFICATIONS BY BIDDER**

- **a.** The undersigned hereby declares and certifies that the only parties interested in this proposal are named herein and that this proposal is made without collusion with any other person, firm or corporation. The undersigned further certifies that no member, officer or agent of OWNER'S has direct or indirect financial interest in this proposal.
- b. Trade Restriction Certification (49 U.S.C. § 50104, 49 CFR Part 30)
  - The submission of an offer, the Offeror certifies that with respect to this solicitation and any resultant contract, the Offeror:

- 1. is not owned or controlled by one or more citizens of a foreign country included in the list of countries that discriminate against U.S. firms published by the Office of the United States Trade Representative (U.S.T.R.);
- 2. has not knowingly entered into any contract or subcontract for this project with a person that is a citizen or national of a foreign country included on the list of countries that discriminate against U.S. firms as published by the U.S.T.R.; and
- **3.** has not entered into any subcontract for any product to be used on the project that is produced in a foreign country included on the list of countries that discriminate against U.S. firms published by the U.S.T.R.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

The Offeror/Contractor must provide immediate written notice to the Owner if the Offeror/Contractor learns that its certification or that of a subcontractor was erroneous when submitted or has become erroneous by reason of changed circumstances. The Contractor must require subcontractors provide immediate written notice to the Contractor if at any time it learns that its certification was erroneous by reason of changed circumstances.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR 30.17, no contract shall be awarded to an Offeror or subcontractor:

- 1. who is owned or controlled by one or more citizens or nationals of a foreign country included on the list of countries that discriminate against U.S. firms published by the U.S.T.R. or
- 2. whose subcontractors are owned or controlled by one or more citizens or nationals of a foreign country on such U.S.T.R. list or
- 3. who incorporates in the public works project any product of a foreign country on such U.S.T.R. list.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

The Offeror agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in all lower tier subcontracts. The contractor may rely on the certification of a prospective subcontractor that it is not a firm from a foreign country included on the list of countries that discriminate against U.S. firms as published by U.S.T.R., unless the Offeror has knowledge that the certification is erroneous.

This certification is a material representation of fact upon which reliance was placed when making an award. If it is later determined that the Contractor or subcontractor knowingly rendered an erroneous certification, the Federal Aviation Administration (FAA) may direct through the Owner cancellation of the contract or subcontract for default at no cost to the Owner or the FAA.

#### Certification of Offeror/Bidder Regarding Debarment (2 CFR Part 180 (Subpart C), 2 CFR Part 1200, DOT Order 4200.5)

By submitting a bid/proposal under this solicitation, the Bidder or Offeror certifies that neither it nor its principals are presently debarred or suspended by any Federal department or agency from participation in this transaction.

# d. Certification of Lower Tier Contractors Regarding Debarment (2 CFR Part 180 (Subpart C), 2 CFR Part 1200, DOT Order 4200.5)

The successful Bidder, by administering each lower tier subcontract that exceeds \$25,000 as a "covered transaction", must verify each lower tier participant of a "covered transaction" under the project is not presently debarred or otherwise disqualified from participation in this federally assisted project. The successful bidder will accomplish this by:

- 1. Checking the System for Award Management at website: <a href="http://www.sam.gov">http://www.sam.gov</a>;
- 2. Collecting a certification statement similar to the Certificate of Offeror/Bidder Regarding Debarment and Suspension, above;
- 3. Inserting a clause or condition in the covered transaction with the lower tier contract.

If the FAA and/or MoDOT later determines that a lower tier participant failed to disclose to a higher tier participant that it was excluded or disqualified at the time it entered the covered transaction, the FAA and/or MoDOT may pursue any available remedies, including suspension and debarment of the non-compliant participant.

# f. Certification Regarding Lobbying (31 U.S.C. § 1352, 2 CFR § 200 Appendix II(J), 49 CFR Part 20, Appendix A)

The Bidder or Offer certifies by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Bidder or Offeror, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employer of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, United States Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for such failure.

### g. Buy American Certification: (Title 49 U.S.C. § 50101)

The bidder agrees to comply with 49 U.S.C. § 50101, which provides that Federal funds may not be obligated unless all steel and manufactured goods used in AIP-funded projects are produced in the United States, unless the FAA has issued a waiver for the product; the product is listed as an Excepted Article, Material Or Supply in Federal Acquisition Regulation subpart 25.108; or is included in the FAA Nationwide Buy American Waivers Issued List.

A bidder or offeror must submit the appropriate Buy America certification included herein with their bid or offer. The Owner will reject as nonresponsive any bid or offer that does not include a completed Certificate of Buy American Compliance.

#### Type of Certification is based on Type of Project:

There are two types of Buy American certifications.

- For projects for a facility, the Certificate of Compliance Based on Total Facility (Terminal or Building Project) must be submitted.
- For all other projects, the Certificate of Compliance Based on Equipment and Materials Used on the Project (Non-building construction projects such as runway or roadway construction; or equipment acquisition projects) must be submitted.

\*\*\*\*

#### Certificate of Buy American Compliance for Total Facility

(Buildings such as Terminal, SRE, ARFF, etc.)

As a matter of bid responsiveness, the bidder or offeror must complete, sign, date, and submit this certification statement with its proposal. The bidder or offeror must indicate how it intends to comply with 49 USC § 50101 by selecting one of the following certification statements. These statements are mutually exclusive. Bidder must select one or the other (i.e. not both) by inserting a checkmark ( $\sqrt{}$ ) or the letter "X".

Bidder or offeror hereby certifies that it will comply with 49 USC § 50101 by:

- a) Only installing steel and manufactured products produced in the United States; or
- b) Installing manufactured products for which the FAA has issued a waiver as indicated by inclusion on the current FAA Nationwide Buy American Waivers Issued listing; or
- c) Installing products listed as an Excepted Article, Material or Supply in Federal Acquisition Regulation Subpart 25.108.

By selecting this certification statement, the bidder or offeror agrees:

- 1. To provide to the Owner evidence that documents the source and origin of the steel and manufactured product.
- 2. To faithfully comply with providing U.S. domestic products.
- 3. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

Bidder or offeror hereby certifies it cannot comply with the 100% Buy American Preferences of 49 USC § 50101(a) but may qualify for either a Type 3 or Type 4 waiver under 49 USC § 50101(b). By selecting this certification statement, the apparent bidder or offeror with the apparent low bid agrees:

- 1. To submit to the Owner within 15 calendar days of the bid opening, a formal waiver request and required documentation that support the type of waiver being requested.
- 2. That failure to submit the required documentation within the specified timeframe is cause for a non-responsive determination that may result in rejection of the proposal.
- 3. To faithfully comply with providing U.S. domestic products at or above the approved U.S. domestic content percentage as approved by the FAA.
- 4. To furnish U.S. domestic product for any waiver request that the FAA rejects.
- 5. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

#### **Required Documentation**

**Type 3 Waiver** – The cost of components and subcomponents produced in the United States is more than 60% of the cost of all components and subcomponents of the "facility". The required documentation for a Type 3 waiver is:

- a) Listing of all manufactured products that are not comprised of 100% U.S. domestic content (excludes products listed on the FAA Nationwide Buy American Waivers Issued listing and products excluded by Federal Acquisition Regulation Subpart 25.108; products of unknown origin must be considered as non-domestic products in their entirety).
- b) Cost of non-domestic components and subcomponents, excluding labor costs associated with final assembly and installation at project location.
- c) Percentage of non-domestic component and subcomponent cost as compared to total "facility" component and subcomponent costs, excluding labor costs associated with final assembly and installation at project location.

**Type 4 Waiver** – Total cost of project using U.S. domestic source product exceeds the total project cost using non-domestic product by 25%. The required documentation for a Type 4 waiver is:

- a) Detailed cost information for total project using U.S. domestic product.
- b) Detailed cost information for total project using non-domestic product.

**False Statements:** Per 49 USC § 47126, this certification concerns a matter within the jurisdiction of the Federal Aviation Administration and the making of a false, fictitious or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code.

Date	Signature	
Company Name	Title	

#### Certificate of Buy American Compliance for Manufactured Products

(Non-building construction projects, equipment acquisition projects)

As a matter of bid responsiveness, the bidder or offeror must complete, sign, date, and submit this certification statement with their proposal. The bidder or offeror must indicate how they intend to comply with 49 USC § 50101 by selecting one of the following certification statements. These statements are mutually exclusive. Bidder must select one or the other (i.e. not both) by inserting a checkmark ( $\sqrt{}$ ) or the letter "X".

Bidder or offeror hereby certifies that it will comply with 49 USC 50101 by:

- a) Only installing steel and manufactured products produced in the United States;
- b) Installing manufactured products for which the FAA has issued a waiver as indicated by inclusion on the current FAA Nationwide Buy American Waivers Issued listing: or
- c) Installing products listed as an Excepted Article, Material or Supply in Federal Acquisition Regulation Subpart 25.108.

By selecting this certification statement, the bidder or offeror agrees:

- 1. To provide to the Owner evidence that documents the source and origin of the steel and manufactured product.
- 2. To faithfully comply with providing U.S. domestic product.
- 3. To furnish U.S. domestic product for any waiver request that the FAA rejects.
- 4. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

Bidder or offeror hereby certifies it cannot comply with the 100% Buy American Preferences of 49 USC § 50101(a) but may qualify for either a Type 3 or Type 4 waiver under 49 USC § 50101(b). By selecting this certification statement, the apparent bidder or offeror with the apparent low bid agrees:

- 1. To submit to the Owner within 15 calendar days of the bid opening, a formal waiver request and required documentation that support the type of waiver being requested.
- 2. That failure to submit the required documentation within the specified timeframe is cause for a non-responsive determination which may result in rejection of the proposal.
- 3. To faithfully comply with providing U.S. domestic products at or above the approved U.S. domestic content percentage as approved by the FAA.
- 4. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

#### **Required Documentation**

**Type 3 Waiver** – The cost of the item components and subcomponents produced in the United States is more than 60% of the cost of all components and subcomponents of the "item". The required documentation for a Type 3 waiver is:

- a) Listing of all product components and subcomponents that are not comprised of 100% U.S. domestic content (excludes products listed on the FAA Nationwide Buy American Waivers Issued listing and products excluded by Federal Acquisition Regulation Subpart 25.108; products of unknown origin must be considered as non-domestic products in their entirety).
- b) Cost of non-domestic components and subcomponents, excluding labor costs associated with final assembly at place of manufacture.
- c) Percentage of non-domestic component and subcomponent cost as compared to total "item" component and subcomponent costs, excluding labor costs associated with final assembly and at place of manufacture.

**Type 4 Waiver** – Total cost of project using U.S. domestic source product exceeds the total project cost using non-domestic product by 25%. The required documentation for a Type 4 waiver is:

- d) Detailed cost information for total project using U.S. domestic product.
- e) Detailed cost information for total project using non-domestic product.

**False Statements:** Per 49 USC § 47126, this certification concerns a matter within the jurisdiction of the Federal Aviation Administration and the making of a false, fictitious or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code.

Date	Signature	
Company Name	Title	

# h. Compliance with the Work Authorization Law (as required by Section 285.530, Revised Statues of Missouri)

For all contracts where the total bid amount is in excess of \$50,000 (local match in excess of \$5,000), the Bidder, by submission of an offer and by signing the Worker Eligibility Verification Affidavit for All Contract Agreements in Excess of \$50,000, certifies that it:

- 1. does not knowingly employ any person who is an unauthorized alien in connection with the contracted services;
- 2. has enrolled and actively participates in a federal work authorization program;

A general contractor or subcontractor of any tier shall not be liable under sections 285.525 to 285.550 when such general contractor or subcontractor contracts with its direct subcontractor who violates subsection 1 of this section, if the contract binding the contractor and subcontractor affirmatively states that the direct subcontractor is not knowingly in violation of subsection 1 of this section and shall not henceforth be in such violation and the contractor or subcontractor receives a sworn affidavit under the penalty of perjury attesting to the fact that the direct subcontractor's employees are lawfully present in the United States.

#### WORKER ELIGIBILITY VERIFICATION AFFIDAVIT FOR ALL CONTRACT

AGREEMENTS IN EXCESS OF \$50,000 (Local match in excess of \$5,000)

(for joint ventures, a separate affidavit is required for each business entity)

STATE	OF			)									
COUNT	TY OF			) ss )									
	On	this		day	of _			,	20	,	before	me	appeared
				, 1	personally	known t	o me or	proved to	me on the	e bas	sis of satist	factory	evidence to
be a per	son wh	ose nam	e is subsc	ribed to th	is affidav	it, who be	eing by n	ne duly sw	orn, depo	sed a	as follows:		
	My na	ame is _					, and I	am of so	and mind,	, cap	able of ma	king th	is affidavit,
and pers	sonally	certify	the facts h	erein state	ed, as req	uired by	Section 2	285.530, R	SMo, to	enter	into any	contrac	t agreement
with the	state o	or any of	its politic	al subdivis	sions to pe	erform an	y job, tas	sk, employ	ment, lab	or, p	ersonal se	rvices, o	or any other
activity	for whi	ich comp	ensation i	s provided	l, expected	d, or due,	including	g but not li	mited to a	ll ac	tivities con	ducted	by business
entities:													
	I am t	he	(1.1.)	of	·				, and I an	ı dul	y authoriz	ed, dire	cted, and/or
empowe	ered to	act offic	ially and p	properly or	n behalf o	f this bus	iname) iness ent	ity.					
	I here	by affir	m and wa	rrant that	the afore	mentione	d busine	ss entity i	s enrolled	d in	a federal	work au	uthorization
program	n opera	ted by t	he United	States D	epartment	of Hom	eland Se	curity, an	d the afor	reme	ntioned bu	isiness	entity shall
participa	ate in s	aid prog	ram to ve	rify inforn	nation (en	nploymen	t eligibil	ity) of nev	vly hired	emp!	loyees wor	king in	connection
to worl	k und	er the	within co	ontract ag	greement.	I hav	e attacl	ned docui	nentation	to	this affic	davit to	o evidence
enrollm	ent/par	ticipatio	n by the	aforement	ioned bus	iness ent	ity in a	federal w	ork autho	rizat	ion progra	ım, as	required by
Section	285.53	0, RSM	0.										
	In add	dition, I	hereby aff	irm and w	arrant tha	it the afor	rementio	ned busine	ess entity	does	not and s	hall not	knowingly
employ,	in co	nnection	to work	under the	within o	contract a	agreemei	nt, any ali	ien who	does	not have	the leg	gal right or
authoriz	ation u	nder fed	leral law to	work in	the United	l States, a	s defined	d in 8 U.S.	C. § 1324	la(h)	(3).		
	I am	aware a	nd recogn	ize that, u	nless cert	ain contr	act and	affidavit c	onditions	are	satisfied p	ursuant	t to Section
285.530	, RSM	o, the af	orementio	ned busine	ess entity	may be h	eld liable	under Se	ctions 285	5.525	through 2	85.550	, RSMo, for
subcont	ractors	that kno	wingly en	nploy or co	ontinue to	employ a	any unau	thorized a	lien to wo	ork w	ithin the s	tate of I	Missouri.
	I ackn	owledge	e that I am	signing t	his affida	vit as a fr	ee act an	d deed of	the afores	ment	ioned busi	ness en	tity and not
under dı	uress.												
							(Affian	t Signature	e)				
	Subsc	ribed an	d sworn to	before m	e this	day o	of		, 20	·			
	M						(Notary	Public)			_		
	iviy co	)IIIIIIIISSI	on expires	•									

[Documentation of enrollment/participation in a federal work authorization program is attached. Acceptable enrollment and participation documentation consists of the following two pages of the E-Verify Memorandum of Understanding: (1) A valid, completed copy of the first page identifying the business entity; and (2) A valid copy of the signature page completed and signed by the business entity, the Social Security Administration, and the Department of Homeland Security – Verification Division.]

#### **DISADVANTAGED BUSINESS ENTERPRISE (DBE) PARTICIPATION**

The information shown in this section must be completed when a DBE contract goal has been established. The percentage must equal or exceed the DBE contract goal. If the percentage is below the contract goal, then the bidder must submit complete written documentation of good faith efforts taken to meet the DBE contract goal.

- **a.** The undersigned submits the following list of DBEs to be used in accomplishing the work of this contract. The work, supplies or services, applicable value and percent of total federal contract each DBE is to perform or furnish is as follows:
- **b.** Joint venture with a DBE. The undersigned submits the following list of bid items the DBE prime is responsible for and any items that will be subcontracted out are noted with an asterisk or a similar notation. The work, applicable value and percentage of total federal contract the DBE prime is responsible for are as follows:

(A) DBE Name and Address	(B) Bid Item Number(s) Or Work Performed	(C) Dollar Value of DBE Work **	(D) Percent Applicable to DBE Goal (100%, 60%)	(E) Dollar Amount Applicable to DBE Goal (C x D)	(F) Percent of Total Contract (C / Total Contract Amount)
		TOTAL DBE PAR	 TICIPATION	\$	%

<sup>\*\*</sup>Cannot exceed contract amount for given item of work.

Trucking services credited at 100% if the DBE owns the trucks or is leasing from a DBE firm Merchant wholesalers (supply) are credited at 60%.

Brokered services will only receive credit for fees.

(Please reproduce the above sheet if additional space is needed.)

#### **SIGNATURE OF BIDDER**

The undersigned states that the correct LEGAL NAME AND ADDRESS of (1) the individual bidder, (2) each partner or joint venturer (whether individuals or corporations, and whether doing business under a fictitious name), or (3) the corporation (with the state in which it is incorporated) are shown below; that (if not signing with the intention to bind themselves to become responsible and sole bidder) they are the agent of, and they are signing and executing this (as indicated in the proper spaces below) as the bid of a

( ) sole individual	( ) partne	ership	( ) joint ve	nture
( ) corporation, incorpo	orated under the laws of	of state of		·
Executed by b	idder this	day of		20
Name of individual,				
all partners or joint venturers:		Address	of each:	
doing business under th	e name of:	Address o Missouri		e of business in
(If using a fictitious nar above in addition to leg				
(If a corporation, show	its name above)			
ATTEST: (SEAL)				
(Signature)	(Title)	(Signatur	e)	(Title)
Please print name		Please pr	int name	

NOTE: If bidder is doing business under a fictitious name, the bid shall be executed in the legal name of the individual partners, joint ventures, or corporation, with the legal address shown, and registration of fictitious name filed with the secretary of state, as required by sections 417.200 to 417.230 RSMo. If the bidder is a corporation not organized under the laws of Missouri, it shall procure a certificate of authority to do business in Missouri, as required by section 351.572 et seq RSMo.

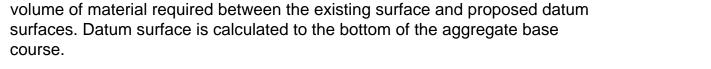
ITEM				QUANTITY	
NO.	SPEC	ITEM DESCRIPTION	UNIT	ESTIMATED	AS-CONST.
ADD AL	TERNATE 1		•		•
CONSTR	RUCT 6" P.C.C. P	AVEMENT			
1	C-100	Contractor Quality Control Program (CQCP)	L.S.	1	
2	P-152	Unclassified Excavation (Revised Per Addendum No. 1)	C.Y.	2,820	
3	P-152	Unsuitable Subgrade Removal and Replacement (Revised Per Addendum No. 1)	C.Y.	280	
4	P-155, P-157, or P-158	Treated Subgrade (6") (Added Per Addendum No. 1)	S.Y.	3,154	
5	P-208, P-209 or P219	Aggregate Base Course (4") (Revised Per Addendum No. 1)	S.Y.	3,154	
6	P-208, P-209 or P219	Separation Geotextile (Added Per Addendum No. 1)	S.Y.	3,154	
7	P-501	P.C.C. Pavement (6") (Revised Per Addendum No. 1)	S.Y.	3,030	

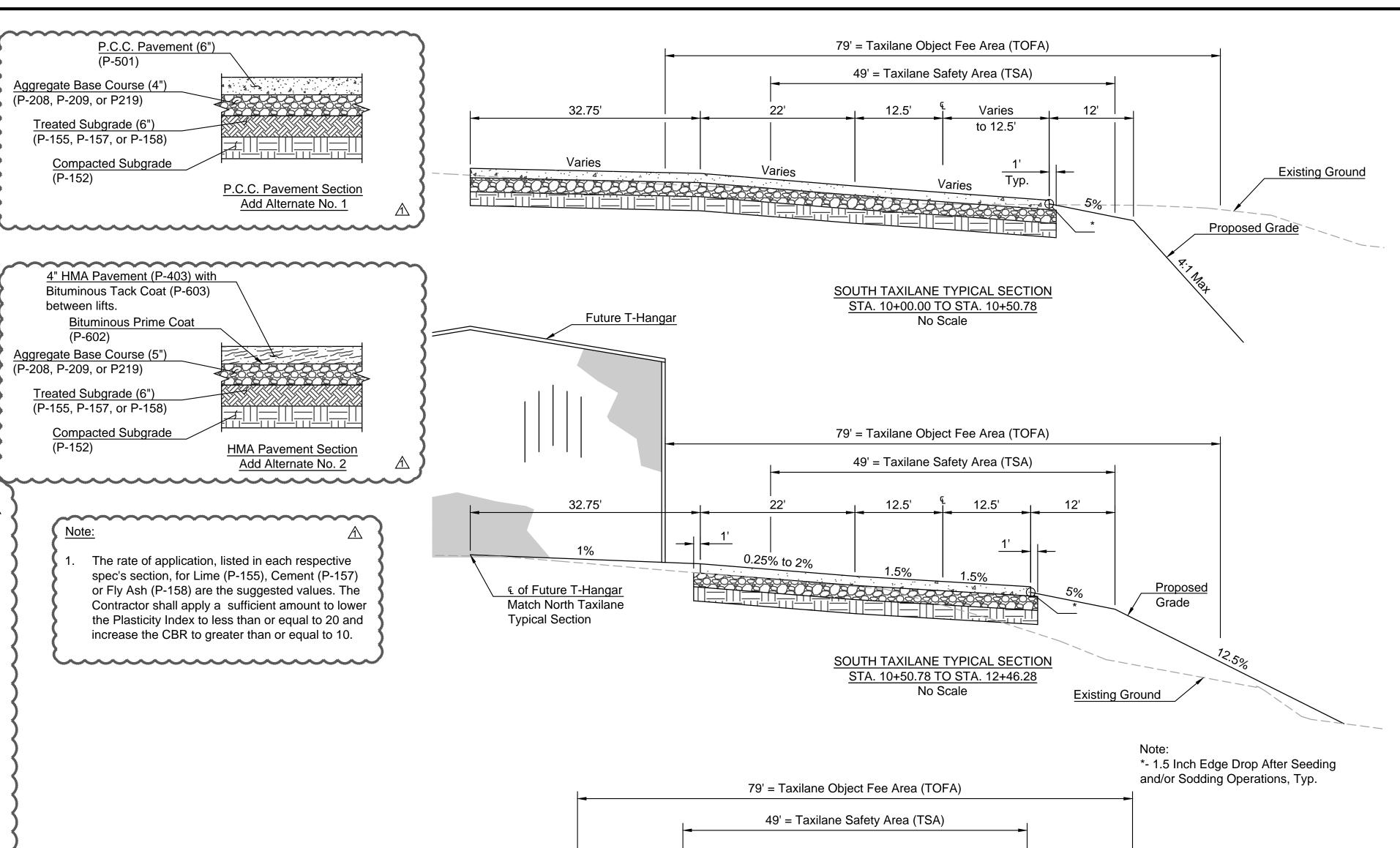
		SUMMARY OF QUANTITIES			
ITEM	SPEC	ITEM DESCRIPTION	UNIT	QUAI	NTITY
NO.	SPEC	HEW DESCRIPTION	UNIT	ESTIMATED	AS-CONST.
ADD AL	TERNATE 2				
CONST	RUCT 4" ASPHAL	T PAVEMENT			
1	C-100	Contractor Quality Control Program (CQCP)	L.S.	1	
2	P-152	Unclassified Excavation (Revised Per Addendum No. 1)	C.Y.	2,735	
3	P-152	Unsuitable Subgrade Removal and Replacement (Revised Per Addendum No. 1)	C.Y.	275	
4	P-155, P-157, or P-158	Treated Subgrade (6") (Added Per Addendum No. 1)	S.Y.	3,121	
5	P-208, P-209 or P219	Aggregate Base Course (5") (Revised Per Addendum No. 1)	S.Y.	3,121	
6	P-208, P-209 or P219	Separation Geotextile (Added Per Addendum No. 1)	S.Y.	3,121	
7	P-403	Asphalt Mixture Surface Course	Ton	710	
8	P-602	Emulsified Asphalt Prime Coat	Gal.	900	
9	P-603	Emulsified Asphalt Tack Coat	Gal.	210	

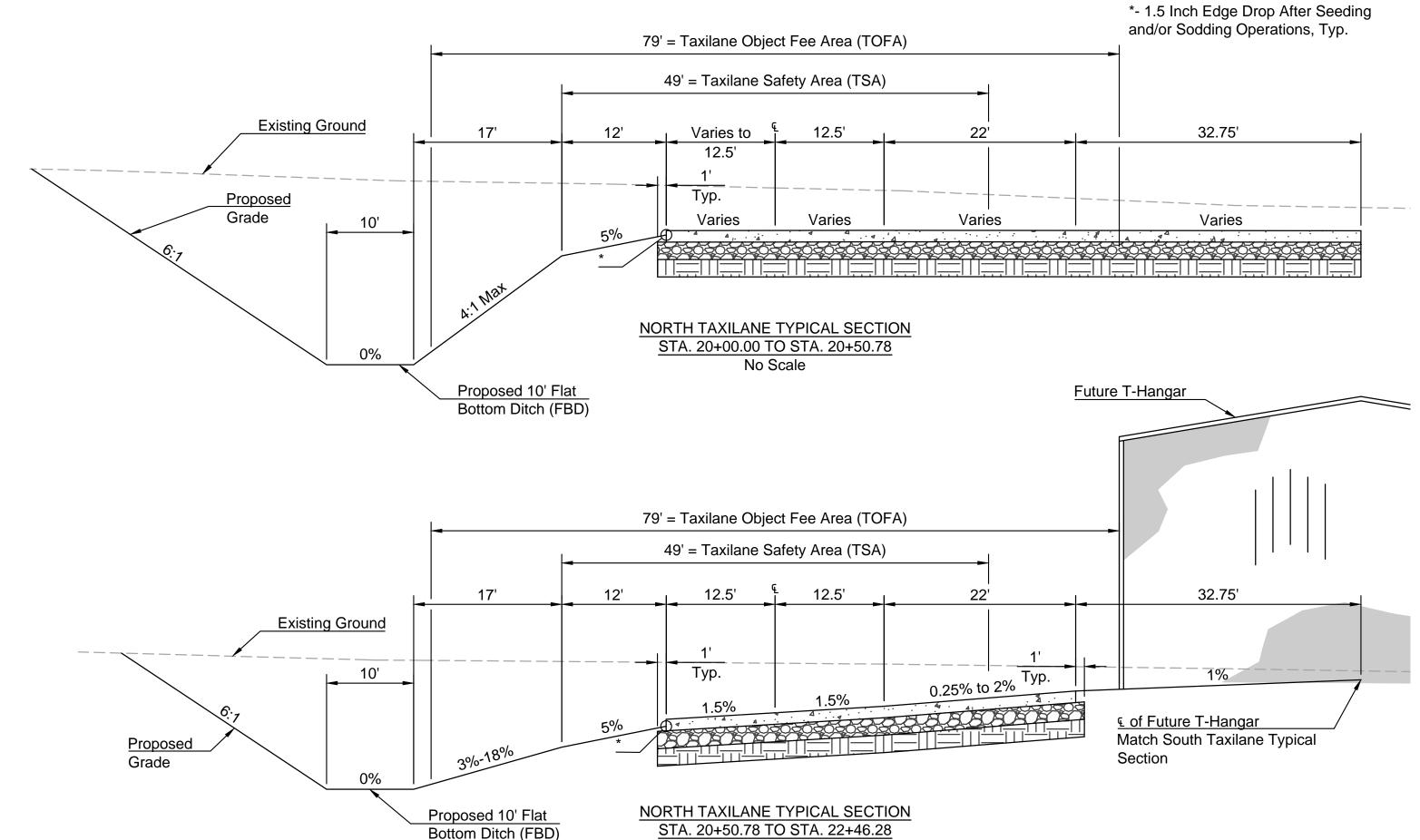
	EARTHWORK			
ADD ALTERNATE	ON-SITE EXCAVATION CU	COMPACTED EMBANKME CU. YDS.		
	UNCLASSIFIED EXCAVATION	REVISED	COMMON	REVISED
Add Alternate No. 1	2,820		708	
Add Alternate No. 2	2,375		650	

<sup>\*</sup> No Shrinkage allowance is included in this quantity.

surfaces. Datum surface is calculated to the bottom of the aggregate base







No Scale

AIRPORT MISSOURI MUNICIPAL ORA

07-01-19 RAG CHECKED BY 07-25-19 DESIGNED BY DATE 07-01-19 RAG Addendum No. 1 08-13-19

TYPICAL SECTIONS & QUANTITIES

<sup>1.</sup> The quantities for Unclassified Excavation were calculated based on the

Page 1 of 13

#### PROPOSAL FORM CITY OF AURORA, MISSOURI

State Block Grant Project No. 18-091B-1

#### TO: City of Aurora, Missouri

The undersigned, in compliance with the request for bids for construction of the following Project:

**Base Bid** 

**Construct Hangar Taxilanes** 

Add Alternate No. 1

Construct 6" P.C.C. Pavement

Add Alternate No. 2

Construct 4" Asphalt Pavement

hereby proposes to furnish all labor, permits, material, machinery, tools, supplies and equipment to faithfully perform all work required for construction of the Project in accordance with the project manual, project drawings and issued Addenda within the specified time of performance for the following prices:

BID ITEM	FAA or MoDOT SPEC.	ITEM DESCRIPTION	APPROX. QTY. AND UNITS	UNIT PRICE		EXTENSIO	ON
				DOLLARS	CTS	DOLLARS	CTS
BASE BID							
CONSTRUCT	THANGAR TA	XILANES					
1	C-102	Erosion Control Barrier (Silt Fence)	450 L.F.				
2	C-102	Erosion Control Barrier (Straw Wattle)	155 L.F.				
3	C-105	Mobilization	1 L.S.				
4	TEMP	Temporary Marking, Lighting, & Barricades	1 L.S.				
5	P-101	Saw Cut	234 L.F.				
6	P-620	Reflectorized Pavement Marking	440 S.F.				
7	P-620	Non-Reflectorized Pavement Marking	880 S.F.				
8	D-701	Non-Reflectorized Pavement Marking	219 L.F.				
9	D-701	36" RCP End Section	2 EA.				
10	D-705	Perforated Underdrain, Complete (4") (Schedule 80)	468 L.F.				
11	D-705	Underdrain Cleanout Riser	2 EA.				
12	D-705	Splash Pad	1 EA.				
13	D-705	Connect Underdrain to Proposed Storm Pipe	2 EA.				
14	T-901	Seeding	1.2 AC.				

## Page 2 of 13

15	T-905	Placement of Topsoil (Obtained on	1					
	1 303	Site)	L.S.					
16	T-908	HydroMulch	0.8					
		.,,	AC.					
17	17 TREC Erosion Control Blanket (Type 2C) 2,638							
	S.Y.							
18 D-705 Permanent Transition Mat 280								
S.F.								
TOTAL BASE BID								

_	6.100	Contractor Quality Control Program	1		
1	C-100	(CQCP)	L.S.		
2	P-152	Unclassified Excavation	2,820		
2	P-152	(Revised Per Addendum No. 1)	C.Y.		
		Unsuitable Subgrade Removal and	280		
3	P-152	Replacement			
		(Revised Per Addendum No. 1)	C.Y.		
4	P-155, P-157,	Treated Subgrade (6")	3,154		
4	or P-158	(Added Per Addendum No. 1)	S.Y.		
-	P-208, P-209	Aggregate Base Course (4")	3,154		
3	or P219	(Revised Per Addendum No. 1)	S.Y.		
6	P-208, P-209	Separation Geotextile	3,154		
0	or P219	(Added Per Addendum No. 1)	S.Y.		
7	P-501	P.C.C. Pavement (6")	3,030		
/	F-301	(Revised Per Addendum No. 1)	S.Y.		

### ADD ALIEKNATE I TOTAL

	ERNATE 2 JCT 4" ASPHAL	T PAVEMENT			
1	C-100	Contractor Quality Control Program	1		
ļ	C-100	(CQCP)	L.S.		
2	P-152	Unclassified Excavation	2,735		
2	F-132	(Revised Per Addendum No. 1)	C.Y.		
		Unsuitable Subgrade Removal and	275		
3	P-152	Replacement			
		(Revised Per Addendum No. 1)	C.Y.		
4	P-155, P-157,	Treated Subgrade (6")	3,121		
4	or P-158	(Added Per Addendum No. 1)	S.Y.		
5	P-208, P-209	Aggregate Base Course (5")	3,121		
5	or P219	(Revised Per Addendum No. 1)	S.Y.		
6	P-208, P-209	Separation Geotextile	3,121		
0	or P219	(Added Per Addendum No. 1)	S.Y.		
7	P-403	Asphalt Mixture Surface Course	710		
	F-403	Aspiralt Mixture Surface Course	Ton		
8	P-602	Emulsified Asphalt Prime Coat	900		
· ·	F-002	Linuisinea Aspilait Fillile Coat	Gal.		
9	P-603	Emulsified Asphalt Tack Coat	210		
,	1 003	Emuisined Asphalt Tack Coat	Gal.		

### ADD ALTERNATE 2 TOTAL

BASE BID AND ADD ALTERNATE 1 TOTAL

BASE BID AND ADD ALTERNATE 2 TOTAL

## **Page 3 of 13**

#### ACKNOWLEDGEMENTS BY BIDDER

- a. By submittal of a proposal, the BIDDER acknowledges and accepts that the quantities established by the OWNER are an approximate estimate of the quantities required to fully complete the Project and that the estimated quantities are principally intended to serve as a basis for evaluation of bids. The BIDDER further acknowledges and accepts that payment under this contract will be made only for actual quantities and that quantities will vary in accordance with the General Provisions subsection entitled "Alteration of Work and Ouantities".
- b. The BIDDER acknowledges and accepts that the Bid Documents are comprised of the documents identified within the General Provisions. The BIDDER further acknowledges that each the individual documents that comprise the Bid Documents are complementary to one another and together establishes the complete terms, conditions and obligations of the successful BIDDER.
- c. As evidence of good faith in submitting this proposal, the undersigned encloses a bid guaranty in the form of a certified check, cashier's check or bid bond in the amount of 5% of the bid price. The BIDDER acknowledges and accepts that refusal or failure to accept award and execute a contract within the terms and conditions established herein will result in forfeiture of the bid guaranty to the owner as a liquidated damage.
- d. The BIDDER acknowledges and accepts the OWNER'S right to reject any or all bids.
- e. The BIDDER acknowledges and accepts the OWNER'S right to hold all Proposals for purposes of review and evaluation and not issue a notice of award for a period not to exceed **Ninety (90)** calendar days from the stated date for receipt of bids.
- f. The undersigned agrees that upon written notice of award of contract, he or she will execute the contract within thirty (30) days of the notice of award, and furthermore, and provide executed payment and performance bonds within fifteen (15) days from the date of contract execution. The undersigned accepts that failure to execute the contract and provide the required bonds within the stated timeframe shall result in forfeiture of the bid guaranty to the owner as a liquidated damage.
- g. Time of Performance: By submittal of this proposal, the undersigned acknowledges and agrees to commence work within ten (10) calendar days of the date specified in the written "Notice to Proceed" as issued by the OWNER. The undersigned further agrees to complete the Project within Sixty Five (65) Calendar days from the commencement date specified in the Notice Proceed.
- h. The undersigned acknowledges and accepts that for each and every Calendar day the project remains incomplete beyond the contract time of performance, the Contractor shall pay the non-penal amount of \$1,500 per Calendar day as a liquidated damages to the OWNER.
- i. The undersigned prime contractor, if not a MoDOT certified DBE, hereby assures that they will subcontract 5.0 percent of the dollar value of the prime contract to DBE firms or make good faith efforts to meet the DBE contract goal. In addition, the prime contractor will include the DBE clauses (see Supplementary Provision No. 6 of the Federal and State Provisions) required by the DBE Program adopted by MoDOT and the Sponsor in all contracts and subcontracts relating to this project. The undersigned will complete the DBE Participation information included herein when a DBE goal has been established, including a demonstration of good faith efforts if the DBE goal is not met. If the undersigned prime contractor is a MoDOT certified DBE firm, then the prime contractor must perform at least thirty percent (30%) of the total contract value work with its own forces, and will receive DBE credit for all work which the prime contractor and any other MoDOT certified DBE firm performs directly.
- j. The BIDDER, by submission of a proposal, acknowledges that award of this contract is subject to the provisions of the Davis-Bacon Act and the Missouri Prevailing Wage Law. The BIDDER accepts the requirement to pay prevailing wages for each classification and type of worker as established in the attached wage rate determinations as issued by the United States Department of Labor and the Missouri Division of Labor Standards. The BIDDER further acknowledges and accepts their requirement to incorporate the

## **Page 4 of 13**

provision to pay the established prevailing wages in every subcontract agreement entered into by the Bidder under this project. The highest rate between the two (Federal and State) for each job classification shall be considered the prevailing wage.

- **k.** Compliance Reports (41 CFR Part 60-1.7): Within 30 days after award of this contract, the Contractor/Subcontractor shall file a compliance report (Standard Form 100) if s/he has not submitted a complete compliance report within 12 months preceding the date of award. This report is required if the Contractor/Subcontractor meets all of the following conditions:
  - 1. Contractors/Subcontractors are not exempt based on 41 CFR 60-1,5.
  - 2. Has 50 or more employees.
  - **3.** Is a prime contractor or first tier subcontractor.
  - 4. There is a contract, subcontract, or purchase order amounting to \$50,000 or more
- **l.** The undersigned acknowledges receipt of the following addenda:

Addendum No	, dated	Date Received	
Addendum No.	, dated	Date Received	
Addendum No.	, dated	Date Received	
Addendum No.	, dated	Date Received	
Addendum No.	, dated	Date Received	

#### REPRESENTATIONS BY BIDDER

By submittal of a proposal (bid), the BIDDER represents the following:

- a. The BIDDER has read and thoroughly examined the bid documents, including all authorized addenda.
- **b.** The BIDDER has a complete understanding of the terms and conditions required for the satisfactory performance of project work.
- c. The BIDDER has fully informed themselves of the project site, the project site conditions and the surrounding
- **d.** The BIDDER has familiarized themselves with the requirements of working on an operating airport and understands the conditions that may in any manner affect cost, progress or performance of the work.
- e. The BIDDER has correlated their observations with that of the project documents.
- **f.** The BIDDER has found no errors, conflicts, ambiguities or omissions in the project documents, except as previously submitted in writing to the owner that would affect cost, progress or performance of the work.
- g. The BIDDER is familiar with all applicable Federal, State and local laws, rules and regulations pertaining to execution of the contract and the project work.
- **h.** The BIDDER has complied with all requirements of these instructions and the associated project documents.

#### **CERTIFICATIONS BY BIDDER**

- a. The undersigned hereby declares and certifies that the only parties interested in this proposal are named herein and that this proposal is made without collusion with any other person, firm or corporation. The undersigned further certifies that no member, officer or agent of OWNER'S has direct or indirect financial interest in this proposal.
- b. Trade Restriction Certification (49 U.S.C. § 50104, 49 CFR Part 30)

The submission of an offer, the Offeror certifies that with respect to this solicitation and any resultant contract, the Offeror:

## **Page 5 of 13**

- 1. is not owned or controlled by one or more citizens of a foreign country included in the list of countries that discriminate against U.S. firms published by the Office of the United States Trade Representative (U.S.T.R.);
- 2. has not knowingly entered into any contract or subcontract for this project with a person that is a citizen or national of a foreign country included on the list of countries that discriminate against U.S. firms as published by the U.S.T.R.; and
- **3.** has not entered into any subcontract for any product to be used on the project that is produced in a foreign country included on the list of countries that discriminate against U.S. firms published by the U.S.T.R.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

The Offeror/Contractor must provide immediate written notice to the Owner if the Offeror/Contractor learns that its certification or that of a subcontractor was erroneous when submitted or has become erroneous by reason of changed circumstances. The Contractor must require subcontractors provide immediate written notice to the Contractor if at any time it learns that its certification was erroneous by reason of changed circumstances.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR 30.17, no contract shall be awarded to an Offeror or subcontractor:

- 1. who is owned or controlled by one or more citizens or nationals of a foreign country included on the list of countries that discriminate against U.S. firms published by the U.S.T.R. or
- 2. whose subcontractors are owned or controlled by one or more citizens or nationals of a foreign country on such U.S.T.R. list or
- 3. who incorporates in the public works project any product of a foreign country on such U.S.T.R. list.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

The Offeror agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in all lower tier subcontracts. The contractor may rely on the certification of a prospective subcontractor that it is not a firm from a foreign country included on the list of countries that discriminate against U.S. firms as published by U.S.T.R., unless the Offeror has knowledge that the certification is erroneous.

This certification is a material representation of fact upon which reliance was placed when making an award. If it is later determined that the Contractor or subcontractor knowingly rendered an erroneous certification, the Federal Aviation Administration (FAA) may direct through the Owner cancellation of the contract or subcontract for default at no cost to the Owner or the FAA.

#### Certification of Offeror/Bidder Regarding Debarment (2 CFR Part 180 (Subpart C), 2 CFR Part 1200, DOT Order 4200.5)

By submitting a bid/proposal under this solicitation, the Bidder or Offeror certifies that neither it nor its principals are presently debarred or suspended by any Federal department or agency from participation in this transaction.

### **Page 6 of 13**

# d. Certification of Lower Tier Contractors Regarding Debarment (2 CFR Part 180 (Subpart C), 2 CFR Part 1200, DOT Order 4200.5)

The successful Bidder, by administering each lower tier subcontract that exceeds \$25,000 as a "covered transaction", must verify each lower tier participant of a "covered transaction" under the project is not presently debarred or otherwise disqualified from participation in this federally assisted project. The successful bidder will accomplish this by:

- 1. Checking the System for Award Management at website: http://www.sam.gov;
- 2. Collecting a certification statement similar to the Certificate of Offeror/Bidder Regarding Debarment and Suspension, above;
- 3. Inserting a clause or condition in the covered transaction with the lower tier contract.

If the FAA and/or MoDOT later determines that a lower tier participant failed to disclose to a higher tier participant that it was excluded or disqualified at the time it entered the covered transaction, the FAA and/or MoDOT may pursue any available remedies, including suspension and debarment of the non-compliant participant.

# f. Certification Regarding Lobbying (31 U.S.C. § 1352, 2 CFR § 200 Appendix II(J), 49 CFR Part 20, Appendix A)

The Bidder or Offer certifies by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Bidder or Offeror, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employer of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, United States Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for such failure.

### g. Buy American Certification: (Title 49 U.S.C. § 50101)

The bidder agrees to comply with 49 U.S.C. § 50101, which provides that Federal funds may not be obligated unless all steel and manufactured goods used in AIP-funded projects are produced in the United States, unless the FAA has issued a waiver for the product; the product is listed as an Excepted Article, Material Or Supply in Federal Acquisition Regulation subpart 25.108; or is included in the FAA Nationwide Buy American Waivers Issued List.

A bidder or offeror must submit the appropriate Buy America certification included herein with their bid or offer. The Owner will reject as nonresponsive any bid or offer that does not include a completed Certificate of Buy American Compliance.

Page 7 of 13

#### Type of Certification is based on Type of Project:

There are two types of Buy American certifications.

- For projects for a facility, the Certificate of Compliance Based on Total Facility (Terminal or Building Project) must be submitted.
- For all other projects, the Certificate of Compliance Based on Equipment and Materials Used on the Project (Non-building construction projects such as runway or roadway construction; or equipment acquisition projects) must be submitted.

\*\*\*\*

## **Page 8 of 13**

#### Certificate of Buy American Compliance for Total Facility

(Buildings such as Terminal, SRE, ARFF, etc.)

As a matter of bid responsiveness, the bidder or offeror must complete, sign, date, and submit this certification statement with its proposal. The bidder or offeror must indicate how it intends to comply with 49 USC § 50101 by selecting one of the following certification statements. These statements are mutually exclusive. Bidder must select one or the other (i.e. not both) by inserting a checkmark ( $\sqrt{}$ ) or the letter "X".

Bidder or offeror hereby certifies that it will comply with 49 USC § 50101 by:

- a) Only installing steel and manufactured products produced in the United States; or
- b) Installing manufactured products for which the FAA has issued a waiver as indicated by inclusion on the current FAA Nationwide Buy American Waivers Issued listing; or
- c) Installing products listed as an Excepted Article, Material or Supply in Federal Acquisition Regulation Subpart 25.108.

By selecting this certification statement, the bidder or offeror agrees:

- 1. To provide to the Owner evidence that documents the source and origin of the steel and manufactured product.
- 2. To faithfully comply with providing U.S. domestic products.
- 3. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

Bidder or offeror hereby certifies it cannot comply with the 100% Buy American Preferences of 49 USC § 50101(a) but may qualify for either a Type 3 or Type 4 waiver under 49 USC § 50101(b). By selecting this certification statement, the apparent bidder or offeror with the apparent low bid agrees:

- 1. To submit to the Owner within 15 calendar days of the bid opening, a formal waiver request and required documentation that support the type of waiver being requested.
- 2. That failure to submit the required documentation within the specified timeframe is cause for a non-responsive determination that may result in rejection of the proposal.
- 3. To faithfully comply with providing U.S. domestic products at or above the approved U.S. domestic content percentage as approved by the FAA.
- 4. To furnish U.S. domestic product for any waiver request that the FAA rejects.
- 5. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

#### **Required Documentation**

**Type 3 Waiver** – The cost of components and subcomponents produced in the United States is more than 60% of the cost of all components and subcomponents of the "facility". The required documentation for a Type 3 waiver is:

- a) Listing of all manufactured products that are not comprised of 100% U.S. domestic content (excludes products listed on the FAA Nationwide Buy American Waivers Issued listing and products excluded by Federal Acquisition Regulation Subpart 25.108; products of unknown origin must be considered as non-domestic products in their entirety).
- b) Cost of non-domestic components and subcomponents, excluding labor costs associated with final assembly and installation at project location.
- c) Percentage of non-domestic component and subcomponent cost as compared to total "facility" component and subcomponent costs, excluding labor costs associated with final assembly and installation at project location.

**Type 4 Waiver** – Total cost of project using U.S. domestic source product exceeds the total project cost using non-domestic product by 25%. The required documentation for a Type 4 waiver is:

## **Page 9 of 13**

- a) Detailed cost information for total project using U.S. domestic product.
- b) Detailed cost information for total project using non-domestic product.

**False Statements:** Per 49 USC § 47126, this certification concerns a matter within the jurisdiction of the Federal Aviation Administration and the making of a false, fictitious or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code.

Date	Signature
Company Name	Title

#### Certificate of Buy American Compliance for Manufactured Products

(Non-building construction projects, equipment acquisition projects)

As a matter of bid responsiveness, the bidder or offeror must complete, sign, date, and submit this certification statement with their proposal. The bidder or offeror must indicate how they intend to comply with 49 USC § 50101 by selecting one of the following certification statements. These statements are mutually exclusive. Bidder must select one or the other (i.e. not both) by inserting a checkmark ( $\sqrt{}$ ) or the letter "X".

Bidder or offeror hereby certifies that it will comply with 49 USC 50101	101 by:
--	---------

- a) Only installing steel and manufactured products produced in the United States;
- b) Installing manufactured products for which the FAA has issued a waiver as indicated by inclusion on the current FAA Nationwide Buy American Waivers Issued listing; or
- c) Installing products listed as an Excepted Article, Material or Supply in Federal Acquisition Regulation Subpart 25.108.

By selecting this certification statement, the bidder or offeror agrees:

- 1. To provide to the Owner evidence that documents the source and origin of the steel and manufactured product.
- 2. To faithfully comply with providing U.S. domestic product.
- 3. To furnish U.S. domestic product for any waiver request that the FAA rejects.
- 4. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

Bidder or offeror hereby certifies it cannot comply with the 100% Buy American Preferences of 49 USC § 50101(a) but may qualify for either a Type 3 or Type 4 waiver under 49 USC § 50101(b). By selecting this certification statement, the apparent bidder or offeror with the apparent low bid agrees:

- 1. To submit to the Owner within 15 calendar days of the bid opening, a formal waiver request and required documentation that support the type of waiver being requested.
- 2. That failure to submit the required documentation within the specified timeframe is cause for a non-responsive determination which may result in rejection of the proposal.
- 3. To faithfully comply with providing U.S. domestic products at or above the approved U.S. domestic content percentage as approved by the FAA.
- 4. To refrain from seeking a waiver request after establishment of the contract, unless extenuating circumstances emerge that the FAA determines justified.

## Page 10 of 13

#### **Required Documentation**

**Type 3 Waiver** – The cost of the item components and subcomponents produced in the United States is more than 60% of the cost of all components and subcomponents of the "item". The required documentation for a Type 3 waiver is:

- a) Listing of all product components and subcomponents that are not comprised of 100% U.S. domestic content (excludes products listed on the FAA Nationwide Buy American Waivers Issued listing and products excluded by Federal Acquisition Regulation Subpart 25.108; products of unknown origin must be considered as non-domestic products in their entirety).
- b) Cost of non-domestic components and subcomponents, excluding labor costs associated with final assembly at place of manufacture.
- c) Percentage of non-domestic component and subcomponent cost as compared to total "item" component and subcomponent costs, excluding labor costs associated with final assembly and at place of manufacture.

**Type 4 Waiver** – Total cost of project using U.S. domestic source product exceeds the total project cost using non-domestic product by 25%. The required documentation for a Type 4 waiver is:

- d) Detailed cost information for total project using U.S. domestic product.
- e) Detailed cost information for total project using non-domestic product.

**False Statements:** Per 49 USC § 47126, this certification concerns a matter within the jurisdiction of the Federal Aviation Administration and the making of a false, fictitious or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code.

Date	Signature
Company Name	Title

# h. Compliance with the Work Authorization Law (as required by Section 285.530, Revised Statues of Missouri)

For all contracts where the total bid amount is in excess of \$50,000 (local match in excess of \$5,000), the Bidder, by submission of an offer and by signing the Worker Eligibility Verification Affidavit for All Contract Agreements in Excess of \$50,000, certifies that it:

- 1. does not knowingly employ any person who is an unauthorized alien in connection with the contracted services;
- 2. has enrolled and actively participates in a federal work authorization program;

A general contractor or subcontractor of any tier shall not be liable under sections 285.525 to 285.550 when such general contractor or subcontractor contracts with its direct subcontractor who violates subsection 1 of this section, if the contract binding the contractor and subcontractor affirmatively states that the direct subcontractor is not knowingly in violation of subsection 1 of this section and shall not henceforth be in such violation and the contractor or subcontractor receives a sworn affidavit under the penalty of perjury attesting to the fact that the direct subcontractor's employees are lawfully present in the United States.

## Page 11 of 13

# WORKER ELIGIBILITY VERIFICATION AFFIDAVIT FOR ALL CONTRACT AGREEMENTS IN EXCESS OF \$50,000 (Local match in excess of \$5,000)

(for joint ventures, a separate affidavit is required for each business entity)

STATE OF COUNTY OF	_ ) ss					
On this	day of		, 20,	before	me	appeared
	, personally	known to me or pr	oved to me on the b	pasis of satist	factory	evidence to
be a person whose name is subscr	ribed to this affidav	it, who being by me	duly sworn, depose	d as follows:		
My name is		, and I a	m of sound mind, c	apable of ma	king th	is affidavit,
and personally certify the facts he	erein stated, as req	uired by Section 28:	5.530, RSMo, to en	ter into any	contract	t agreement
with the state or any of its political	al subdivisions to p	erform any job, task,	employment, labor	, personal ser	vices, o	or any other
activity for which compensation is	s provided, expecte	d, or due, including b	out not limited to all	activities con	ducted	by business
entities:						
I am the(title)	of		, and I am c	luly authorize	ed, dire	cted, and/or
empowered to act officially and p	roperly on behalf o	(business name) f this business entity	7.			
I hereby affirm and war	rrant that the afore	mentioned business	entity is enrolled i	n a federal	work au	uthorization
program operated by the United	States Department	of Homeland Secu	rity, and the aforei	mentioned bu	isiness	entity shall
participate in said program to ver	rify information (en	nployment eligibility	) of newly hired en	nployees wor	king in	connection
to work under the within co	ontract agreement.	I have attached	documentation	to this affic	lavit to	o evidence
enrollment/participation by the a	aforementioned bus	siness entity in a fe	deral work authoriz	zation progra	ım, as ı	required by
Section 285.530, RSMo.						
In addition, I hereby affi	irm and warrant tha	at the aforementione	d business entity do	es not and sl	hall not	knowingly
employ, in connection to work	under the within	contract agreement,	any alien who do	es not have	the leg	gal right or
authorization under federal law to	work in the United	l States, as defined in	n 8 U.S.C. § 1324a(	h)(3).		
I am aware and recogni	ze that, unless cer	tain contract and aff	idavit conditions a	re satisfied p	ursuant	t to Section
285.530, RSMo, the aforemention	ned business entity	may be held liable u	nder Sections 285.5	25 through 2	85.550,	, RSMo, for
subcontractors that knowingly em	nploy or continue to	employ any unauth	orized alien to work	within the st	tate of M	Missouri.
I acknowledge that I am	signing this affida	vit as a free act and	deed of the aforeme	entioned busi	ness en	tity and not
under duress.		_				
		(Affiant S	ignature)			
Subscribed and sworn to	before me this	day of	, 20	_·		
My commission expires:		(Notary P	ublic)			

[Documentation of enrollment/participation in a federal work authorization program is attached. Acceptable enrollment and participation documentation consists of the following two pages of the E-Verify Memorandum of Understanding: (1) A valid, completed copy of the first page identifying the business entity; and (2) A valid copy of the signature page completed and signed by the business entity, the Social Security Administration, and the Department of Homeland Security – Verification Division.]

## Page 12 of 13

#### **DISADVANTAGED BUSINESS ENTERPRISE (DBE) PARTICIPATION**

The information shown in this section must be completed when a DBE contract goal has been established. The percentage must equal or exceed the DBE contract goal. If the percentage is below the contract goal, then the bidder must submit complete written documentation of good faith efforts taken to meet the DBE contract goal.

- **a.** The undersigned submits the following list of DBEs to be used in accomplishing the work of this contract. The work, supplies or services, applicable value and percent of total federal contract each DBE is to perform or furnish is as follows:
- **b.** Joint venture with a DBE. The undersigned submits the following list of bid items the DBE prime is responsible for and any items that will be subcontracted out are noted with an asterisk or a similar notation. The work, applicable value and percentage of total federal contract the DBE prime is responsible for are as follows:

(A) DBE Name and Address	(B) Bid Item Number(s) Or Work Performed	(C) Dollar Value of DBE Work **	(D) Percent Applicable to DBE Goal (100%, 60%)	(E) Dollar Amount Applicable to DBE Goal (C x D)	(F) Percent of Total Contract (C / Total Contract Amount)
	\$	%			

<sup>\*\*</sup>Cannot exceed contract amount for given item of work.

Trucking services credited at 100% if the DBE owns the trucks or is leasing from a DBE firm Merchant wholesalers (supply) are credited at 60%.

Brokered services will only receive credit for fees.

(Please reproduce the above sheet if additional space is needed.)

## Page 13 of 13

#### **SIGNATURE OF BIDDER**

The undersigned states that the correct LEGAL NAME AND ADDRESS of (1) the individual bidder, (2) each partner or joint venturer (whether individuals or corporations, and whether doing business under a fictitious name), or (3) the corporation (with the state in which it is incorporated) are shown below; that (if not signing with the intention to bind themselves to become responsible and sole bidder) they are the agent of, and they are signing and executing this (as indicated in the proper spaces below) as the bid of a

( ) sole individual	( ) partne	rship	( ) joint ventu	ire
( ) corporation, incorporat	ed under the laws of	of state of		·
Executed by bidd	er this	day of		20
Name of individual, all partners or joint venturers:		Address of	each:	
doing business under the n	ame of:		principal place o	of business in
(If using a fictitious name, above in addition to legal r		Missouri:		
(If a corporation, show its	name above)			
ATTEST: (SEAL)				
(Signature)	(Title)	(Signature)		(Title)
Please print name		Please print	t name	

NOTE: If bidder is doing business under a fictitious name, the bid shall be executed in the legal name of the individual partners, joint ventures, or corporation, with the legal address shown, and registration of fictitious name filed with the secretary of state, as required by sections 417.200 to 417.230 RSMo. If the bidder is a corporation not organized under the laws of Missouri, it shall procure a certificate of authority to do business in Missouri, as required by section 351.572 et seq RSMo.