



**MISSOURI
HIGHWAYS and TRANSPORTATION
COMMISSION**

JEFFERSON CITY, MISSOURI

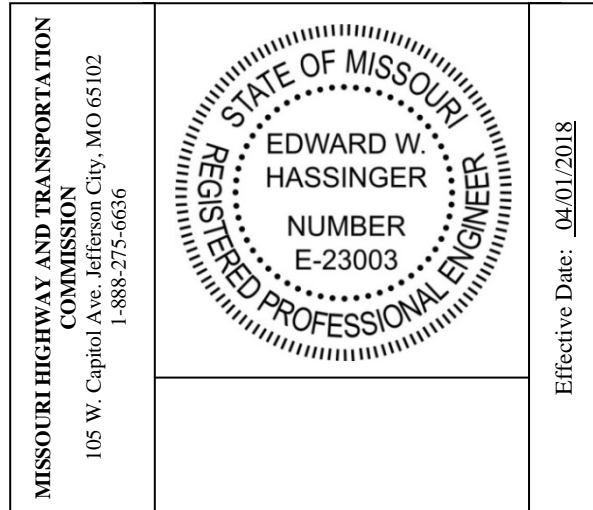
**GENERAL PROVISIONS AND
SUPPLEMENTAL SPECIFICATIONS TO 2017
MISSOURI STANDARD SPECIFICATIONS FOR
HIGHWAY CONSTRUCTION**

Effective April 1, 2018

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GENERAL PROVISIONS

SECTION 404 NATIONWIDE PERMIT GENERAL CONDITIONS

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General Conditions. The following general conditions shall be followed in order for authorization by a Nationwide Permit (NWP) to be valid. Permit authorization from U.S. Army Corps of Engineers (USACE) may have additional conditions that will be binding to the project. The contractor shall refer to the permit authorization letter included in the contract.

1.0 Navigation. No activity shall cause more than a minimal adverse effect on navigation.

2.0 Aquatic Life Movements. No activity shall substantially disrupt the necessary life-cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. All permanent and temporary crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed and constructed to maintain low flows to sustain the movement of those aquatic species. If a bottomless culvert cannot be used, then the crossing should be designed and constructed to minimize adverse effects to aquatic life movements.

3.0 Spawning Areas. Activities in spawning areas during spawning seasons must be avoided to the maximum extent practical. Activities that result in the physical destruction (e.g., through excavation, fill or downstream smothering by substantial turbidity) of an important spawning area are not authorized.

4.0 Migratory Bird Breeding Areas. Activities in waters of the United States that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.

5.0 Suitable Material. No activity may use unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.) Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see Section 307 of the Clean Water Act).

6.0 Water Supply Intakes. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.

7.0 Adverse Effects from Impoundments. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or restricting its flow must be minimized to the maximum extent practicable.

8.0 Management of Water Flows. To the maximum extent practicable, the pre-construction course, condition, capacity and location of open waters must be maintained for each activity, including stream channelization, storm water management activities, and temporary and permanent road crossings, except as provided below. The activity must be constructed to withstand expected high flows. The activity must not restrict or impede the passage of normal or high flows, unless the primary purpose of the activity is to impound water or manage high flows. The activity may alter the preconstruction course, condition, capacity,

and location of open waters if it benefits the aquatic environment (e.g., stream restoration or relocation activities).

9.0 Fills Within 100-Year Floodplains. The activity must comply with applicable FEMA-approved state or local floodplain management requirements.

10.0 Equipment. Heavy equipment working in wetlands or mudflats must be placed on mats, or other measures shall be taken to minimize soil disturbance.

11.0 Soil Erosion and Sediment Controls. Appropriate erosion and sediment controls must be used and maintained in effective operating condition during construction, and all exposed soil and other fills, as well as any work below the ordinary high water mark or high tide line, must be permanently stabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the US during periods of low-flow or no-flow.

12.0 Removal of Temporary Fills. Temporary fills must be completely removed in their entirety and the affected areas returned to the pre-construction elevations. The affected areas must be revegetated, as appropriate.

13.0 Wild and Scenic Rivers. No activity may occur in a component of the National Wild and Scenic River System; or in a river officially designated by Congress as a "study river" for possible inclusion in the system while the river is in an official study status, unless the appropriate Federal agency, with direct management responsibility for such river, has determined in writing that the proposed activity will not adversely affect the Wild and Scenic River designation, or study status.

14.0 Tribal Rights. No NWP activity may cause more than minimal adverse effects on tribal rights (including treaty rights), protected tribal resources, or tribal lands.

15.0 Endangered Species No activity is authorized under any NWP which is likely to directly or indirectly jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act, or which will directly or indirectly destroy or adversely modify the critical habitat of such species. No activity is authorized under any NWP which "may affect" a listed species or critical habitat, unless ESA section 7 consultation addressing the effects of the proposed activity has been completed. Direct effects are the immediate effects on listed species and critical habitat caused by the NWP activity. Indirect effects are those effects on listed species and critical habitat that are caused by the NWP activity and are later in time, but still are reasonably certain to occur. In cases where the non-Federal applicant has identified listed species or critical habitat that might be affected or is in the vicinity of the activity, and has so notified the Corps, the applicant shall not begin work until the Corps has provided notification that the proposed activity will have "no effect" on listed species or critical habitat, or until ESA section 7 consultation has been completed.

16.0 Migratory Birds and Bald and Golden Eagles. The permittee is responsible for ensuring their action complies with the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act. The permittee is responsible for contacting appropriate local office of the U.S. Fish and Wildlife Service to determine applicable measures to reduce impacts to migratory birds or eagles, including whether "incidental take" permits are necessary and available under the Migratory Bird Treaty Act or Bald and Golden Eagle Protection Act for a particular activity.

17.0 Historic Properties. In cases where the USACE District Engineer determines that the activity may have the potential to cause effects to properties listed, or eligible for listing, in the National Register of Historic Places, the activity is not authorized, until the requirements of Section 106 of the National Historic Preservation Act (NHPA) have been satisfied.

18.0 Mitigation. The project must be designed and constructed to avoid and minimize adverse effects, both temporary and permanent, to waters of the U.S. to the maximum extent practicable at the project site (i.e., on site).

19.0 Regional and Case-by-Case Conditions. The contractor's activity shall comply with any regional conditions that may have been added to the contract by the USACE Division Engineer, (see 33 CFR 330.4(e)), and with any case-specific conditions added by the Corps or by the state, Indian Tribe, or U.S. EPA in its Section 401 water quality certifications.

20.0 Activities Affecting Structures or Works Built by the United States. If an NWP activity also requires permission from the Corps pursuant to 33 U.S.C. 408 because it will alter or temporarily or permanently occupy or use a USACE federally authorized Civil Works project (a "USACE project"), the prospective permittee must submit a preconstruction notification. See paragraph (b)(10) of general condition 32. An activity that requires Section 408 Permission is not authorized by NWP until the appropriate Corps office issues the section 408 permission to alter, occupy, or use the USACE project, and the USACE District Engineer issues a written NWP verification.

21.0 Section 404 Conditions. In addition to the General Conditions, the following conditions will apply only to activities that involve the discharge of dredged or fill material into waters of the US, and shall be followed to maintain compliance with the NWP authorization.

21.1 Section 404 Nationwide Permit No. 3.

21.1.1 The repair, rehabilitation, or replacement of any previously authorized, currently serviceable, structure or fill, or of any currently serviceable structure or fill authorized by 33 CFR 330.3, provided that the structure or fill is not to be put to uses differing from those uses specified or contemplated for the fill in the original permit or the most recently authorized modification. Minor deviations in the structure's configuration or filled area, including those due to changes in material, construction techniques, requirements of other regulatory agencies, or current construction codes or safety standards that are necessary to make the repair, rehabilitation, or replacement are authorized. This NWP also authorizes the removal of previously authorized structures or fills. Any stream channel modification is limited to the minimum necessary for the repair, rehabilitation, or replacement of the structure or fill; such modifications, including the removal of material from the stream channel, must be immediately adjacent to the project. This NWP also authorizes the removal of accumulated sediment and debris within, and in the immediate vicinity of, the structure or fill. This NWP also authorizes the repair, rehabilitation, or replacement of those structures or fills destroyed or damaged by storms, floods, fire or other discrete events, provided the repair, rehabilitation, or replacement is commenced, or is under contract to commence, within two years of the date of their destruction or damage. In cases of catastrophic events, such as hurricanes or tornadoes, this two-year limit may be waived by the district engineer, provided the permittee can demonstrate funding, contract, or other similar delays.

21.1.2 This NWP also authorizes the removal of accumulated sediments and debris outside the immediate vicinity of existing structures (e.g., bridges, culverts road crossings, water intake structures, etc.). The removal of sediment is limited to the minimum necessary to restore the waterway in the vicinity of the structure to the approximate dimensions that existed when the structure was built, but cannot extend farther than 200 feet in any direction from the structure. This 200 foot limit does not apply to maintenance dredging to remove accumulated sediments blocking or restricting outfall and intake structures or to maintenance dredging to remove accumulated sediments from canals associated with outfall and intake structures. All dredged or excavated materials must be deposited and retained in an area that has no waters of the United States unless otherwise specifically approved by the district engineer under separate authorization.

21.1.3 This NWP also authorizes temporary structures, fills, and work, including the use of temporary mats, necessary to conduct the maintenance activity. Appropriate measures must be taken to maintain normal downstream flows and minimize flooding to the maximum extent practicable, when temporary structures, work, and discharges, including cofferdams, are necessary for construction activities, access fills, or dewatering of construction sites. Temporary fills must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. After conducting the maintenance activity, temporary fills must be removed in their entirety and the affected areas returned to preconstruction elevations. The areas affected by temporary fills must be revegetated, as appropriate.

21.2 Section 404 Nationwide Permit No. 12. Activities required for the construction, maintenance and repair of utility lines and associated facilities in waters of the U.S. shall be as follows.

21.2.1 Utility lines. This NWP authorizes discharges of dredged or fill material into waters of the United States and structures or work in navigable waters for crossings of those waters associated with the construction, maintenance, or repair of utility lines, including outfall and intake structures. There must be no change in pre-construction contours of waters of the United States. A "utility line" is defined as any pipe or pipeline for the transportation of any gaseous, liquid, liqescent, or slurry substance, for any purpose, and any cable, line, or wire for the transmission for any purpose of electrical energy, telephone, and telegraph messages, and internet, radio and television communication. The term "utility line" does not include activities that drain a water of the United States, such as drainage tile or french drains, but it does apply to pipes conveying drainage from another area. Material resulting from trench excavation may be temporarily sidecast into waters of the U.S. for no more than three months, provided that the material is not placed in such a manner that it is dispersed by currents or other forces. The USACE District Engineer may extend the period of temporary side casting for no more than a total of 180 days, where appropriate. In wetlands, the top 6 to 12 inches of the trench should normally be backfilled with topsoil from the trench. The trench cannot be constructed or backfilled in such a manner as to drain waters of the U.S. (e.g., backfilling with extensive gravel layers, creating a french drain effect). Any exposed slopes and stream banks shall be stabilized immediately upon completion of the utility line crossing of each waterbody.

21.2.2 Utility line substations. This NWP authorizes the construction, maintenance, or expansion of substation facilities associated with a power line or utility line in non-tidal waters of the United States, provided the activity, in combination with all other activities included in one single and complete project, does not result in the loss of greater than 1/2-acre of waters of the United States.

21.2.3 Foundations for Overhead Utility Line Towers, Poles, and Anchors. This NWP authorizes the construction or maintenance of foundations for overhead utility line towers, poles, and anchors in all waters of the U.S., provided the foundations are the minimum size necessary and separate footings for each tower leg (rather than a larger single pad) are used where feasible.

21.2.4 Access Roads. This NWP authorizes the construction of access roads for the construction and maintenance of utility lines, including overhead power lines and utility line substations, in non-tidal waters of the US, provided the activity, in combination with all other activities included in one single and complete project, does not cause the loss of greater than 1/2 acre of non-tidal waters of the U.S. Access roads shall be the minimum width necessary. Access roads must be constructed so that the length of the road minimizes any adverse effects on waters of the US and must be as near as possible to preconstruction contours and elevations (e.g., at grade corduroy roads or geotextile/gravel roads). Access roads constructed above preconstruction contours and elevations in waters of the U.S. must be properly bridged or culverted to maintain surface flows. This NWP authorizes, to the extent that Department of the Army authorization is required, temporary structures, fills, and work necessary for the remediation of inadvertent returns of drilling fluids to waters of the United States through sub-soil fissures or fractures that might occur during horizontal directional drilling activities conducted for the purpose of installing or replacing utility lines. These remediation activities must be done as soon as practicable, to restore the affected waterbody. District engineers may add special conditions to this NWP to require a remediation plan for addressing inadvertent returns of drilling fluids to waters of the United States during horizontal directional drilling activities conducted for the purpose of installing or replacing utility lines. This NWP also authorizes temporary structures, fills, and work, including the use of temporary mats, necessary to conduct the utility line activity. Appropriate measures must be taken to maintain normal downstream flows and minimize flooding to the maximum extent practicable, when temporary structures, work, and discharges, including cofferdams, are necessary for construction activities, access fills, or dewatering of construction sites. Temporary fills must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. After construction, temporary fills must be removed in their entirety and the affected areas returned to preconstruction elevations. The areas affected by temporary fills must be revegetated, as appropriate.

21.3 Section 404 Nationwide Permit No. 13. The following bank stabilization activities will be necessary for erosion prevention provided the activity meets all of the following criteria.

21.3.1 No material is placed in excess of the minimum needed for erosion protection.

21.3.2 The bank stabilization activity is no more than 500 feet in length.

21.3.3 The activity will not exceed an average of one cubic yard per running foot as measured along the length of the treated bank, below the plane of the ordinary high water mark or the high tide line, unless the district engineer waives this criterion by making a written determination concluding that the discharge will result in no more than minimal adverse environmental effects.

21.3.4 No material is placed in any special aquatic site, including wetlands. Special aquatic sites include wildlife sanctuaries and refuges, wetland, mudflats, vegetated shallow and riffle and pool complexes.

21.3.5 No material is of the type, or is placed in any location, or in any manner, to impair surface water flow into or out of any waters of the U.S.

21.3.6 No material is placed in a manner that will be eroded by normal or expected high flows (properly anchored trees and treetops may be used in low energy areas).

21.3.7 Native plants appropriate for current site conditions, including salinity, must be used for bioengineering or vegetative bank stabilization.

21.3.8 This NWP shall not be used for the channelization of a water of the U.S.

21.4 Section 404 Nationwide Permit No. 14. Activities required for the construction, expansion, modification, or improvement of linear transportation projects (e.g., roads, highways, railways, trails, airport runways, and taxiways) in waters of the U.S. If the activity meets the following criteria.

21.4.1 The discharge does not cause the loss of greater than 1/2-acre of waters of the US.

21.4.2 Any stream channel modification, including bank stabilization, is limited to the minimum necessary to construct or protect the linear transportation project; such modifications must be in the immediate vicinity of the project.

21.4.3 This NWP also authorizes temporary structures, fills, and work, including the use of temporary mats, necessary to construct the linear transportation project. Appropriate measures must be taken to maintain normal downstream flows and minimize flooding to the maximum extent practicable, when temporary structures, work, and discharges, including cofferdams, are necessary for construction activities, access fills, or dewatering of construction sites. Temporary fills must consist of materials, and be placed in a manner, that will not be eroded by expected high flows. Temporary fills must be removed in their entirety and the affected areas returned to preconstruction elevations. The areas affected by temporary fills must be revegetated, as appropriate.

21.5 Section 404 Nationwide Permit No. 15. Discharges of dredged or fill material incidental to the construction of bridges across navigable waters of the U.S., including cofferdams, abutments, foundation seals, piers, and temporary construction and access fills provided the construction of the bridge structure has been authorized by the U.S. Coast Guard under Section 9 of the Rivers and Harbors Act of 1899 or other applicable laws. Causeways and approach fills are not be included in this NWP and will require a separate Section 404 permit.

21.6 Section 404 Nationwide Permit No. 23. Activities undertaken, assisted, authorized, regulated, funded, or financed, in whole or in part, by another Federal agency or department where that agency or department has determined, pursuant to the Council on Environmental Quality's implementing regulations for the National Environmental Policy Act (40 CFR Part 1500 et seq.), that the activity is categorically excluded from the requirement to prepare an environmental impact statement or environmental assessment analysis, because it is included within a category of actions which neither individually nor cumulatively have a significant effect on the human environment, and the USACE Office of the Chief of Engineers (ATTN: CECW-OR) has concurred with that agency's or department's determination that the activity is categorically excluded and approved the activity for authorization under NWP23.

21.7 Section 404 Nationwide Permit No. 33. Temporary structures, work and discharges, including cofferdams, necessary for construction activities or access fills or dewatering of construction sites; provided that the associated primary activity is authorized by the USACE or the U.S. Coast Guard. This NWP also authorizes temporary structures, work, and discharges, including cofferdams, necessary for construction activities not otherwise subject to the Corps or U.S. Coast Guard permit requirements. Appropriate measures shall be taken to maintain near normal downstream flows and to minimize flooding. Fill must consist of materials, and placed in a manner that will not be eroded by expected high flows. The use of dredged material may be allowed if the USACE District Engineer determines that it will not cause more than minimal adverse effects. Following completion of construction, temporary fill must be entirely removed to areas an area that has no waters of the U.S., dredged material must be returned to its original location, and the affected areas must be restored to pre-construction elevations. Cofferdams shall not be used to dewater wetlands or other aquatic areas changing the use of these areas. The affected areas must also be revegetated, as appropriate. This permit does not authorize the use of cofferdams to dewater wetlands or other aquatic areas to change their use. Structures left in place after cofferdams are removed will require a Section 10 permit if located in navigable waters of the U. S. (See 33 CFR, Part 322).

SECTION 401 WATER QUALITY CERTIFICATION CONDITIONS

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1.0 Description. When a Clean Water Act Section 404 Nationwide Permit is in effect, the contractor is automatically permitted to perform this work under a Water Quality Certification (Section 401) by the Missouri Department of Natural Resources (MDNR). The contractor shall adhere to the following conditions:

1.1 Temporary stream crossings will be designed so that no drops or dams are created that impede the passage of aquatic life.

1.2 Stream channel modifications should be avoided as much as possible and, if needed, will be minimized. Where modifications are necessary for highway design safety or protection of state infrastructure, they will be designed using scientific guidelines, such as natural channel design.

1.3 The following materials will not be specified or used for bank stabilization: earthen fill, gravel, fragmented asphalt, broken concrete with exposed rebar, large slabs of unbroken concrete, tires, vehicle bodies, liquid concrete including grouted riprap, or any material containing chemical pollutants.

1.4 During construction, clearing of vegetation will be kept to the minimum necessary to accomplish the project.

1.5 Petroleum products, hazardous chemicals, hazardous wastes, equipment, construction material and solid waste will not be stored after construction working hours below the ordinary high water mark.

1.6 Equipment will not be operated in wetland or stream areas, except where permitted, expressed by the project plans or the engineer in writing. Petroleum products will not be stored in waters of the state.

1.7 Riparian areas and stream banks will be restored to a stable condition as soon as possible after final contouring.

1.8 Work done in streams shall be conducted during low flows whenever possible.

1.9 Petroleum products spilled into any water or in areas where material could enter a water will be cleaned up immediately and disposed of properly. Any such spills of petroleum shall be reported as soon as possible, but no later than 24 hours after discovery to the MDNR, Environmental Emergency Response number at (573) 634-2436.

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM REQUIREMENTS

1.0 Disadvantaged Business Enterprise (DBE) Program Requirements. The subsequent Sections will apply only to contracts involving U.S. Department of Transportation (USDOT) federal-aid or federal financial participation. Federal-aid or federal financial participation includes, but is not limited to, any funds directly or indirectly received by MoDOT, or authorized for distribution to or through MoDOT, by the USDOT or any operating administration within the USDOT. These provisions will not apply to Commission contracts funded exclusively with state funds, or state and local funds. Any contractor, subcontractor, supplier, DBE firm, and contract surety involved in the performance of a federal-aid contract shall be aware of and fully understand the terms and conditions of the USDOT DBE Program, as the terms appear in Title 49 CFR Part 26 (as amended), the USDOT DBE Program regulations; Title 7 CSR Division 10, Chapter 8 (as amended), the Commission's DBE Program rules.

2.0 DBE Program Distinguished From Other Affirmative Action Programs. The USDOT DBE Program established by the U.S. Congress is not the same as, and does not involve or utilize, any of the elements or authority of other state or local affirmative action programs, nor does the program rely upon state legislation or gubernatorial executive orders for implementation or authorization, other than the general authority given the Commission in Section 226.150, RSMo. The USDOT DBE Program is implemented by the Commission and MoDOT, through and in conjunction with the FHWA, FTA and FAA, as a "recipient" defined in Title 49 CFR 26.5.

3.0 Policy Regarding DBE Firms. It is the policy of the U. S. Department of Transportation and MoDOT that businesses owned by socially and economically disadvantaged individuals have an opportunity to participate in the performance of contracts financed in whole or in part with federal funds. Consequently, the requirements of 49 CFR Part 26 (as amended) and the Commission's implementing state regulations in Title 7 CSR Division 10, Chapter 8, "Disadvantaged Business Enterprise Program", will apply to any contract with federal funds.

4.0 Opportunity for DBEs to Participate. Each contractor, subcontractor and supplier working on a contract financed in whole or in part with federal funds shall take all necessary and reasonable steps to ensure that DBEs have an opportunity to compete for, and participate in performance on project contracts and subcontracts.

5.0 Required Contract Provision. The federal-aid contract will include the following provision, as mandated by USDOT at Title 49 CFR 26.13(b):

(a) The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of the contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of USDOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of the contract, which may result in the termination of the contract or such other remedy, as the recipient deems appropriate.

In this provision, "contractor" will be defined as the contractor on the contract; "subrecipient" will be defined as any subcontractor performing the work. For the purposes of any federal-aid contract awarded by the Commission, "the recipient" will be defined as either the Commission, or MoDOT, or both. The contractor shall include this same contract provision in every supply contract or subcontract the contractor makes or executes with a subrecipient.

6.0 Bank Services. The contractor, and each subrecipient on a federal-aid contract, is encouraged to use the services of banks owned and controlled by socially and economically disadvantaged individuals. Such banking services, and the fees charged for services, typically will not be eligible for DBE Program contract goal credit. Any questions on this subject should be directed to the MoDOT External Civil Rights Division. See [Sec 7.0](#).

7.0 DBE Program Information. DBE Program information may be obtained from the MoDOT External Civil Rights Division, 105 W. Capitol Avenue, P.O. Box 270, Jefferson City, Missouri 65102-0270. Phone (573) 751-7801, Fax (573) 526-0558, E-Mail: dbe@modot.mo.gov. It will be the duty of each contractor, for the contractor and for the contractor's subrecipients and surety, to take the steps necessary to determine the legal obligations and limitations under the DBE Program, as an element of responsibility. It will be the duty of each certified DBE firm to know, understand and comply with the DBE firm's legal obligations and limitations under the DBE Program, as a requirement of program participation. A surety providing a bid or contract bond will be bound by those bonds to the duties of the surety's principal.

8.0 DBE Certification, and the Missouri Unified Certification Program. The Missouri Department of Transportation and other certifying agencies within Missouri have partnered to form the Missouri Regional Certification Committee (MRCC) and developed a Unified Certification Program (UCP) pursuant to 49 CFR 26.81 and 7 CSR 10-8.061. Only DBE firms certified by the MRCC are eligible to perform work on a federal-aid contract for DBE contract goal credit. It is the contractor's responsibility to ensure firms identified for participation are approved certified DBE firms. The MRCC DBE Directory can be found at the following link:

http://www.modot.mo.gov/business/contractor_resources/External_Civil_Rights/DBE_program.htm

9.0 DBE Program-Related Certifications Made By Bidders and Contractors. If the bidder makes a written, express disclaimer of one or more certifications or assurances in the bid, the bid will be considered non-responsive. By submitting a bid on any call involving USDOT federal financial participation, and by entering into any contract on the basis of that bid, the contractor makes each of the following DBE Program-related certifications and assurances to USDOT, to the Commission, and to MoDOT:

(a) The bidder certifies that management and bidding officers have reviewed and understand the bidding and project construction and administration obligations of the USDOT DBE Program regulations at Title 49 CFR Part 26 (as amended), the USDOT DBE Program regulations; Title 7 CSR Division 10, Chapter 8 (as amended), and the Commission's DBE Program rules. The bidder further certifies that the contractor's management personnel on the project understand and are familiar with the requirements of these federal and state DBE Program regulations; and if the bidder was not familiar with or did not understand the requirements of these regulations, they have contacted the External Civil Rights Division of MoDOT and have been informed as to their duties and obligations under the DBE Program regulations by MoDOT staff and/or by USDOT DBE Program staff.

(b) The bidder certifies that the bidder has complied with the federal and state DBE Program requirements in submitting the bid, and will comply fully with these requirements in performing any federal-aid contract awarded on the basis of that bid.

(c) The bidder agrees to ensure that certified DBE firms have a full and fair opportunity to participate in the performance of the contract financed in whole or in part with federal funds. The bidder certifies that all necessary and reasonable steps were taken to ensure that DBE firms have an opportunity to compete for, and perform work on the contract. The bidder further certifies that the bidder not discriminate on the basis of race, color, age, national origin or sex in the performance of the contract, or in the award of any subcontract.

(d) The bidder certifies, under penalty of perjury and other applicable penal laws that if awarded the federal-aid contract, the contractor will make a good faith effort to utilize certified DBE firms to perform DBE work at or above the amount or percentage of the dollar value specified in the bidding documents. The bidder further certifies the bidder's understanding that the bidder may not unilaterally terminate, substitute for, or replace any DBE firm that was designated in the executed contract, in whole or in any part, with another DBE, any non-DBE firm or with the contractor's own forces or those of an affiliate of the contractor, without the prior written consent of MoDOT as set out below.

(e) The bidder certifies, under penalty of perjury and other applicable penal laws that a good faith effort was made to obtain DBE participation in the contract, at or above the DBE participation contract goal. The bidder further certifies, under penalty of perjury and other applicable penal laws, that if the bidder is not able to meet the Commission's DBE contract goal, and if the bidder is not able to meet that DBE contract goal by the time the proposed DBE participation information must be submitted, within three business days after bid opening, the bidder has submitted with and as a part of the bid, a true, accurate, complete and detailed written explanation of good faith efforts to meet the DBE Contract Goal.

(f) The bidder understands and agrees that if awarded the contract the contractor is legally responsible to ensure that the contractor and each DBE subcontractor and supplier, comply fully with all regulatory and contractual requirements of the USDOT DBE Program, and that each DBE firm participating in the contract fully perform the designated tasks, with the DBE's own forces and equipment, under the DBE's own direct supervision and management. The bidder certifies, under penalty of perjury and other applicable penal laws, that if it awarded the contract and if MoDOT or the Commission determine that the contractor, a DBE or any other firm retained by the contractor has failed to comply with the DBE Program requirements or federal or state DBE Program regulations, the Commission, through MoDOT, shall have the sole authority and discretion to determine the extent of the monetary value to which the DBE contract goals have not been met, and to assess against and withhold monetary damages from the contractor in the full amount of that breach. The Commission, through MoDOT, may impose any other remedies available at law or provided in the contract in the event of a contract breach. The bidder further understands and agrees that this clause authorizes the Commission, through MoDOT, to determine and fix the extent of the damages caused by a breach of any contractual or regulatory DBE Program requirement and that the damage assessment will be enforced in addition to, and not in lieu of, any other general liquidated damages clause in the contract. By submitting a bid for a federal-aid contract, and by entering into a contract, the bidder irrevocably agrees to such an assessment of liquidated damages for DBE Program purposes, and authorizes the Commission and MoDOT to make such an assessment of liquidated damages against the contractor, and to collect that assessment from any sums due the contractor under the contract, or any other contract,

or by other legal process. The bidder makes this certification, agreement and authorization on behalf of itself, its subcontractors and suppliers, and the bid bond and contract bond sureties, for each federal-aid contract.

(g) The surety upon any bid or contract bond acknowledges the surety is held and firmly bound to the Commission for each and every duty of the surety's principal provided in any bid or contract regarding the DBE program.

10.0 Designation of DBE firms to perform on contract. The bidder states and certifies, under penalty of perjury or other applicable penal laws, that the DBE participation information submitted in the bid or within the stated time thereafter is true, correct and complete and that the information provided includes the names of all DBE firms that will participate in the contract, the specific line item (s) that each DBE firm will perform, and the creditable dollar amounts of the participation of each DBE. The specific line item must reference the MoDOT line number and item number contained in the proposal. The bidder further states and certifies that the bidder has committed to use each DBE firm listed for the work shown to meet the DBE contract goal and that each DBE firm listed has clearly confirmed that the DBE firm will participate in and perform the work, with the DBE's own forces. Award of the contract will be conditioned upon meeting these and other listed requirements of 49 CFR 26.53.

(a) The bidder certifies the bidder's understanding that as the contractor on a contract funded in whole or in part by USDOT federal funds, the bidder may not unilaterally terminate, substitute for, or replace any DBE firm that was designated in the executed contract, in whole or in any part, with another DBE, any non-DBE firm or with the contractor's own forces or those of an affiliate, without the prior written consent of MoDOT. The bidder understands it must receive approval in writing from MoDOT for the termination of a DBE firm, or the substitution or replacement of a DBE before any substitute or replacement firm may begin work on the project in lieu of the DBE firm participation information listed in the executed contract.

(1) The bidder further certifies understanding, that if a DBE firm listed in the bid or approved in the executed contract documents ceases to be certified at any time during the performance of the contract work, and a contract or subcontract with that firm has not yet been executed by the prime and subcontractor, the contractor can not count any work performed by that firm after the date of the firm's loss of eligibility toward meeting the DBE contract goal. However, if the contractor has executed a subcontract with the firm before the DBE lost eligibility and ceased to be a certified DBE, the contractor may continue to receive credit toward the DBE contract goal for that firm's work.

(2) The bidder further certifies understanding, that if a DBE subcontractor is terminated, or fails, refuses or is unable to complete the work on the contract for any reason, the contractor must promptly request authority to substitute or replace that firm. The request shall include written documentation that the DBE firm is unwilling or unable to perform the specified contract work. The contractor shall make good faith efforts to find another DBE subcontractor to substitute or replace the dollar amount of the work that was to have been performed by the DBE firm. The good faith efforts shall be directed at finding another DBE to perform the same, or more, dollar amount of work that the DBE firm that was terminated was to have performed under the executed contract. The substitute or replacement DBE firm may be retained to perform the same or different contract work from that which the terminated firm was to have performed. The contractor shall obtain approval from MoDOT in writing before the replacement or termination of one firm with another before the work will count toward the project DBE goal.

(3) The bidder further certifies the bidder's understanding, that the dollar value of any work completed by a DBE firm prior to approval of the DBE's substitution or replacement, in writing, by MoDOT will not be credited toward meeting the DBE contract goal. The contractor will remain subject to appropriate administrative remedies, including but not limited to, liquidated damages for the full dollar amount that the DBE contract goal is not met. Liquidated damages will also be assessed against the contractor if the original, substitute or replacement DBE firms perform the required contract work, but are not paid in full for some or all of that work by the contractor, including back charges. No credit toward the DBE goal will be given for any amount withheld from payment to the DBE or "back charged" against monies owed to the DBE, regardless of the purpose or asserted debt.

11.0 Good Faith Effort to Secure DBE Services. The bidder shall make a good faith effort to seek DBEs in a reasonable geographic area to where the solicitation for subcontracts and material is made. If the bidder cannot meet the goals using DBEs from that geographic area, the bidder shall, as a part of the effort to meet the goal, expand the search to a wider geographic area.

11.1 Bidding Procedure. The following bidding procedure shall apply to the contract, for DBE program compliance purposes.

11.2 Contract Goal, Good Faith Efforts Specified. The bidder may submit the completed "DBE Identification Submittal" information in the bid documents at the same time as, and within the sealed bid, at the time the bid is submitted. However, if that information is not completed and submitted with the initial sealed bid, then as a matter of responsiveness and responsibility, the apparent low and second low bidder shall file the completed "DBE Identification Submittal" pages with MoDOT on or before 4:00 p.m. of the third business day after the bid opening date, directly to the External Civil Rights Division, Missouri Department of Transportation, 105 W. Capitol Avenue, P.O. Box 270, Jefferson City, Missouri 65102-0270. Telefax transmittal to MoDOT will be permitted at fax no. (573) 526-0558. The complete and signed original documents shall be mailed to MoDOT no later

than the day of the telefax transmission. No extension of time will be allowed for any reason. The means of transmittal and the risk of timely receipt of the information shall be the bidder's.

11.3 Bid Rejection, Bid Security Disposition. The failure of either the apparent low bidder or the second low bidder to file the completed and executed "DBE Identification Submittal", listing actual, committed DBE participation equal to or greater than the DBE contract goal percentage specified in the bid by 4:00 p.m. on the third business day after the bid opening, will be cause for rejection of that bid, and the bid surety bond or bid guaranty of that bidder will be forfeited to and become the property of the Commission upon Commission demand.

(a) Any bidder rejected for failure to submit the completed and executed "DBE Identification Submittal" information in the bidding documents, with full documentation of sufficient DBE participation to satisfy the DBE contract goal cannot submit a bid on the same, or substantially similar, project, when and if the project is re-advertised for bids. By submitting a bid on a federal-aid project, the bidder accepts and agrees to this provision, and the disposition of the bidders bid bond or guaranty, on behalf of the bidder and the bidders bid surety or guaranty.

(b) The surety separately acknowledges the surety to be held and firmly bound to the Commission to immediately upon demand pay to Commission the face amount of the bid bond.

11.4 Good Faith Efforts Described. Good faith efforts to meet the DBE contract goal may include, but are not limited to, the following:

(a) Attending a pre-bid meeting, if any, scheduled by the department to inform DBEs of contracting and subcontracting opportunities.

(b) Advertising in general circulation trade association and socially and economically disadvantaged business directed media concerning subcontracting opportunities.

(c) Providing written notice to a reasonable number of specific DBEs so that the DBE's interest in the contract are solicited in sufficient time to allow the firm to participate effectively.

(d) Following-up on initial written notice or solicitations of interest by contacting DBEs to determine with certainty whether the DBEs were interested.

(e) Maintaining documentation of responses received in the effort to solicit DBE participation.

(f) Selecting portions of work to be performed by DBEs to increase the likelihood of meeting the DBE goal, including, where appropriate, breaking down contracts into economically feasible units to facilitate DBE participation.

(g) Providing interested DBEs adequate information about plans, specifications and requirements of the contract.

(h) Negotiating in good faith with interested DBEs, not rejecting DBEs as unqualified without sound business reasons based on a thorough investigation of the DBE's capabilities.

(i) Making efforts to assist interested DBEs in obtaining bonding, lines of credit or insurance required by MoDOT or by the bidder.

(j) Making effective use of available disadvantaged business organizations, minority bidders' groups, local, state and federal disadvantaged business assistance offices, MoDOT and other organizations that provide assistance in the recruitment and placement of DBEs.

11.5 Documentation, and Administrative Reconsideration of the Bidder's Good Faith Efforts. In the bidding documents, the bidder has the opportunity and responsibility to provide certified written documentation as to whether the bidder made a good faith effort to meet the DBE contract goal as proposed by the Commission. Any bidder that has not met the Commission's proposed DBE contract goal at the time of bid opening must submit the completed "Certification of Good Faith Efforts to Obtain DBE Participation". The certification should be included in the bidding documents, fully and in detail, at the time its sealed bid is submitted; however, if that information is not completed and submitted with the initial sealed bid, the bidder must submit the documentation to MoDOT on or before 4:00 p.m. of the third business day after the bid opening date, directly to the External Civil Rights Division, Missouri Department of Transportation, 105 W. Capitol Avenue, P.O. Box 270, Jefferson City, Missouri 65102-0270. Telefax transmittal to MoDOT will be permitted at fax no. (573) 526-0558. The complete and signed original documents shall be mailed to MoDOT no later than the day of the telefax transmission. No extension of time will be allowed for any reason. The means of transmittal and the risk of timely receipt of the information shall be the bidder's. The bidder shall attach additional pages to the certification, if necessary, in order to fully detail specific good faith efforts made to obtain certified DBE firm participation in the proposed contract work. If the apparent low bidder appears to have failed to adequately document

in the bid that the bidder made a good faith effort to achieve sufficient DBE participation in the contract work, that firm will be offered the opportunity for administrative reconsideration upon written request, before MoDOT and the Commission reject that bid as non-responsive. However, regardless of the DBE contract goal participation level proposed by the bidder, or the extent of good faith efforts shown, the apparent low and second low bidders shall each timely and separately file their completed and executed "DBE Identification Submittal" or face potential sanctions and the bid bond or guaranty, as specified in [Sec 10.0](#) of these provisions, may become the property of the Commission subject to Commission's demand.

12.0 DBE Participation for Contract Goal Credit. DBE participation on the contract will count toward meeting the DBE contract goal as follows:

(a) The applicable percentage of the total dollar value of the contract or subcontract awarded to the DBE will be counted toward meeting the DBE contract goal, only if that firm is certified by the Missouri Regional Certification Committee as a DBE before the due date for bids or offers on a contract which a firm seeks to participate as a DBE, and only for the value of the work, goods or services that are actually performed, or provided, by the DBE firm itself in the area(s) in which the DBE firm is certified.

(b) When a DBE performs work as a participant in a joint venture, the contractor may count toward the DBE goal only that portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the contract work that the DBE has performed with the DBE's own forces. The MoDOT External Civil Rights Division shall be contacted in advance regarding any joint venture involving both a DBE firm and a non-DBE firm to review and approve the contractor's organizational structure and proposed operation. When a DBE subcontracts part of the work of the contract to another firm, the value of that subcontracted work may be counted toward the DBE contract goal only if the DBE's subcontractor at a lower tier is a MoDOT certified DBE. Work that a DBE subcontracts to a non-DBE firm will not count toward the DBE contract goal. The cost of supplies and equipment a DBE subcontractor purchases or leases from the prime contractor or the prime's affiliated firms, or from another non-DBE subcontractor, will not count toward the DBE contract goal.

(c) The contractor may count expenditures to a DBE subrecipient toward the DBE contract goal only if the DBE performs a commercially useful function (CUF) on that contract.

(d) A contractor may not count the participation of a DBE subcontractor toward the contractor's final compliance with the contractor's DBE contract goal obligations until the amount being counted has actually been paid to the DBE. A contractor may count 60 percent of the contractor's expenditures actually paid for material and supplies obtained from a DBE certified by MoDOT as a regular dealer, and 100 percent of such expenditures actually paid for materials and supplies obtained from a certified DBE manufacturer.

(1) A regular dealer will be defined as a firm that owns, operates, or maintains a store, warehouse or other establishment in which the material, supplies, articles or equipment required and used under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business. To be a regular dealer, the DBE firm shall be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question. Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions will not be considered regular dealers.

(2) A DBE firm may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone or asphalt, without owning, operating or maintaining a place of business where it keeps such items in stock, if the DBE both owns and operates distribution equipment for the products it sells and provides for the contract work. Any supplementation of a regular dealer's own distribution equipment shall be by a long-term lease agreement, and not on an *ad hoc* or contract-by-contract basis.

(3) If a DBE regular dealer is used for DBE contract goal credit, no additional credit will be given for hauling or delivery to the project site goods or materials sold by that DBE regular dealer. Those delivery costs shall be deemed included in the price charged for the goods or materials by the regular dealer, who shall be responsible for their distribution.

(4) A manufacturer will be defined as a firm that operates or maintains a factory or establishment that produces on the premises, the material, supplies, articles or equipment required under the contract and of the general character described by the project specifications. A manufacturer will include firms that produce finished goods or products from raw or unfinished material, or that purchases and substantially alters goods and materials to make them suitable for construction use before reselling them.

(e) A contractor may count toward the DBE contract goal the following expenditures to certified DBE firms that are not "regular dealers" or "manufacturers" for DBE program purposes:

(1) The contractor may count toward the DBE contract goal the entire amount of fees or commissions charged by a certified DBE firm for providing a bona fide service, such as professional, technical, consultant or managerial

services, or for providing bonds or insurance specifically required for the performance of the federal-aid contract, if the fee is reasonable and not excessive, compared with fees customarily charged for similar services.

(2) The contractor may count toward the DBE contract goal the entire amount of that portion of the construction contract that is performed by the DBE's own forces and equipment, under the DBE's supervision. This includes the cost of supplies and material ordered and paid for by the DBE for contract work, including supplies purchased or equipment leased by the DBE except supplies and equipment a DBE subcontractor purchases or leases from the prime contractor or its affiliates.

(f) A contractor may count toward the DBE contract goal 100 percent of the fees paid to a certified DBE trucker or hauler for delivery of material and supplies required on a job site, but not for the cost of those materials or supplies themselves, or for the removal or relocation of excess material from or at the job site, when the DBE certified trucking company is not also the manufacturer of or a regular dealer in those material and supplies, provided that the trucking or hauling fee is determined by MoDOT to be reasonable as compared with fees customarily charged by non-DBE firms for similar services. The certified DBE trucking firm shall also perform a CUF on the project and not operate merely as a pass through for the purposes of gaining credit toward the contract DBE goal. Prior to submitting a bid, the contractor shall determine, or contact the MoDOT External Civil Rights Division for assistance in determining, whether a DBE trucking firm will meet the criteria for performing a CUF on the project.

(g) The contractor will receive DBE contract goal credit for the fees or commissions charged by and paid to a DBE broker who arranges or expedites sales, leases or other project work or service arrangements, provided that those fees are determined by MoDOT to be reasonable and not excessive, as compared with fees customarily charged by non-DBE firms for similar services. A broker will be defined as a person or firm that does not own or operate the delivery equipment necessary to transport materials, supplies or equipment to or from a job site; a broker typically will not purchase or pay for the material, supplies or equipment, and if the broker does purchase or pay for those items, those costs will be reimbursed in full. In most instances, the broker is merely the entity making arrangements for delivery of material, supplies, equipment, or arranging project services. To receive DBE contract goal credit, MoDOT must determine that the DBE broker has performed a CUF in providing the contract work or service.

13.0 Performing a Commercially Useful Function (CUF). No credit toward the DBE contract goal will be allowed for contract payments or expenditures to a DBE firm, if that DBE firm does not perform a CUF on that contract. A DBE performs a CUF when the DBE is solely responsible for execution of a distinct element of the contract work, and the DBE actually performs, manages and supervises the work involved with the firm's own forces. To perform a CUF, the DBE alone shall be responsible, and alone must bear the risk, for the material and supplies used on the contract, selecting a supplier or dealer from those available, negotiating price, determining quality and quantity, ordering the material and supplies, installing those materials with the DBE's own forces and equipment and paying for those materials and supplies. The amount the DBE firm is to be paid under the contract shall be commensurate with the work the DBE actually performs and the DBE credit claimed for the DBE's performance.

13.1 Contractor's Obligation to Monitor CUF Performance. It shall be solely the contractor's responsibility to ensure that all DBE firms perform a CUF. Further, the contractor is responsible to, and shall ensure that each DBE firm fully performs the DBE's designated tasks, with the DBE's own forces and equipment, under the DBE's own direct supervision and management. MoDOT is under no obligation to warn the contractor that a DBE's participation may not count toward the goal, other than through official notification with an opportunity for administrative reconsideration at the conclusion of the contract work.

13.2 DBEs Must Perform a Useful and Necessary Role in Contract Completion. A DBE does not perform a commercially useful function if the DBE's role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation.

13.3 DBEs Must Perform The Contract Work With Their Own Workforces. If a DBE does not perform and exercise responsibility for at least 30 percent of the total cost of the DBE's contract with the DBE's own work force, or the DBE subcontracts a greater portion of the work of a contract than would be expected on the basis of normal industry practice for the type of work involved, MoDOT will presume that the DBE is not performing a commercially useful function.

13.4 Factors Used to Determine if a DBE Trucking Firm is Performing a CUF. The following factors will be used to determine whether a DBE trucking company is performing a commercially useful function (CUF):

(a) To perform a CUF, the DBE trucking firm shall be completely responsible for the management and supervision of the entire trucking operation that the DBE is being paid for on the contract work. There shall not be contrived arrangement, including but not limited to, any arrangement that would not customarily exist under regular construction project subcontracting practices for the purpose of meeting the DBE contract goal.

(b) The DBE must own and operate at least one fully licensed, insured and operational truck used in performance of the contract work. This does not include a supervisor's pickup truck or a similar vehicle that is not suitable for hauling the necessary materials or supplies.

(c) The DBE receives 100 percent contract goal credit for the total reasonable amount the DBE is paid for the transportation services provided on the contract using trucks the DBE owns, insures and operates, using drivers that the DBE employs.

(d) The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE firm that leases trucks from another DBE will receive credit for the total fair market value actually paid for of the transportation services the lessee DBE firm provides on the contract.

(e) The DBE may also lease trucks from a non-DBE firm, including an owner-operator. However, the DBE who leases trucks from a non-DBE is entitled to DBE contract goal credit only for the brokerage fee or commission the DBE receives as a result of the lease arrangement. The DBE will not receive credit for the total value of the transportation services provided by the non-DBE lessee. Furthermore, no DBE contract goal credit will be allowed, even for brokerage fees or commissions, where the DBE leases the trucks from the contractor on the project or a firm owned, controlled by, or affiliated by ownership or control to, the contractor.

(f) For purposes of this section, the lease shall indicate that the DBE firm leasing the truck has exclusive use of and control over the truck. This will not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, provided the lease gives the DBE absolute priority for and control over the use of the leased truck. Leased trucks shall display the name and identification number of the DBE firm that has leased the truck at all times during the life of that lease.

13.5 MoDOT Makes Final Determination On Whether a CUF Is Performed. MoDOT and the Commission will have the final authority to determine whether a DBE firm has performed a CUF on a federal-aid contract. To determine whether a DBE is performing or has performed a CUF, MoDOT will evaluate the amount of work subcontracted by that DBE firm or performed by other firms, and the other firms forces and equipment. Any DBE work performed by the contractor, or by employees or equipment of the contractor will be subject to disallowance under the DBE Program, unless the independent validity and need is demonstrated.

14.0 Verification of DBE Participation, Liquidated Damages.

14.1 Prior to final payment by the Commission, the contractor shall file with the Commission a detailed list showing each DBE used on the contract work, and the work performed by each DBE. The list shall show the actual dollar amount paid to each DBE for the creditable work on the contract, less any rebates, kickbacks, deductions, withholdings or other repayments made. The list shall be certified under penalty of perjury, or other law, to be accurate and complete. MoDOT and the Commission will use this certification and other information available to determine if the contractor and the contractor's DBEs satisfied the DBE contract goal percentage specified in the contract and the extent to which the DBEs were fully paid for that work. The contractor shall acknowledge, by the act of filing the detailed list, that the information is supplied to obtain payment regarding a federal participation contract.

14.2 Failure on the part of the contractor to achieve the DBE participation to which the contractor committed in the contract may result in liquidated damages being imposed on the contractor by the Commission for breach of contract and for non-compliance. If the contract was awarded with less than the original DBE contract goal proposed by the Commission, the revised lower amount shall become the final DBE contract goal, and that goal will be used to determine any liquidated damages to be assessed. Additionally, the Commission or MoDOT may impose any other administrative sanctions or remedies available at law or provided by the contract in the event of breach by the contractor by failing to satisfy the contractor's DBE contract goal commitment. However, no liquidated damages will be assessed, and no other administrative sanctions or remedies will be imposed when, for reasons beyond the control of the contractor and despite the good faith efforts made by the contractor, the final DBE contract goal participation percentage was not achieved. The contractor will be offered the opportunity for administrative reconsideration of any assessment of liquidated damages, upon written request. The administrative reconsideration officer may consider all facts presented, including the legitimacy or business reason for back charges assessed against a DBE firm, in determining the final amount of liquidated damages.

15.0 Prompt Payment Requirements. In accordance with Title 49 CFR 26.29, the contractor shall comply with the prompt payment requirements of that regulation, Section 34.057, RSMo., the provisions of the Commission's rule 7 CSR 10-8.111 and the contract. By bidding on a federal-aid contract, and by accepting and executing that contract, the contractor agrees to assume these contractual obligations, and to bind the contractor's subrecipients contractually to those prompt payment requirements at the contractor's expense.

16.0 Miscellaneous DBE Program Requirements. In accordance with Title 49 CFR Part 26 and the Commission's DBE Program rules in Title 7 CSR Division 10, Chapter 8, the contractor, for both the contractor and for the contractor's subcontractors and suppliers, whether DBE firms or not, shall commit to comply fully with the auditing, record keeping, confidentiality, cooperation and anti-intimidation or retaliation provisions contained in those federal and state DBE Program regulations. By bidding on a federal-aid contract, and by accepting and executing that contract, the contractor agrees to assume these contractual obligations, and to bind the contractor's subrecipients contractually, at the contractor's expense.

TRAINING PROVISION

1.0 Description. This provision supplements subparagraph 7(e) of the Contract Provision entitled "Standard Federal Equal Opportunity Construction Contract Specification" (Executive Order 11246)", and in the implementation of CFR Part 230, Subpart A, Appendix B.

2.0 Training Requirements. As part of the contractor's equal employment opportunity affirmative action program, training shall be provided as follows.

2.1 The contractor shall provide on-the-job training aimed at developing full journeymen in the type of trade or job classification involved.

2.2 The number of trainee hours to be provided under this provision will be specified in the bidding documents.

2.3 Trainee goals will be set in 1,000 hour increments or 1 slot (person). For example, if the trainee goal on the project is 2,000 hours a maximum of 2 trainees will be approved for the project. In the event a trainee leaves the project for valid reasons the trainee shall be replaced as soon as possible. No apprentice/trainee can be assigned less than 500 hours on a contract. Providing less than 500 hours is not considered to be beneficial training nor helping to achieve journey-level status. Therefore, a trainee/apprentice, regardless of craft, must have been trained on the contract for at least 500 hours to be eligible for reimbursement. However, the contractor may transfer the trainee, with MoDOT's approval, to another MoDOT highway construction project in order to continue the training. Upon reaching the 500 hours, the contractor will be compensated as noted herein. If the enrollee is transferred to a non-federal project, MoDOT, upon availability of funding, may have the option of reimbursing the contractor for those hours completed that achieve the 500-hour minimum and for any hours that continue the successful training of the individual(s). The same documentation will be required to be submitted in order to determine if hours will be approved. However, if the trainee is moved to another federally funded enhancement, then a "change order" could be requested for the additional hours, and thus offer the Contractor the necessary credit so as to accomplish the 500 hour plateau. FHWA and MoDOT will only approve training programs meeting the requirements of the Training Special Provisions (TSP). A program will be approved if it is reasonably calculated to meet the equal employment opportunity obligations of the Contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved but not necessarily sponsored by the Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training will also be considered acceptable provided it is being administered in a manner consistent with the equal employment obligations of Federal-aid highway construction contracts.

2.4 When a contractor subcontracts a portion of the contract work, the contractor shall determine how many, if any, of the trainee hours are to be trained by the subcontractor, provided, however, that the contractor shall retain the primary responsibility for meeting the training requirements imposed by this provision.. The contractor shall also insure this training provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training.

2.5 The number of trainee hours shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment. Prior to commencing construction, the contractor shall submit to the engineer for approval a trainee notification for each individual they intend to train on the project. The contractor will be credited for the hours worked by each trainee employed on the contract work who is currently enrolled or becomes enrolled in an approved program and will be reimbursed for such trainees as provided hereinafter. If the trainee goal on the project is 1,000, no more than two trainees will be approved for the project. Each individual must complete at least 500 hours before reimbursement or hour will be counted towards meeting the goal. In the event a trainee leaves the training program prior to completing the minimum 500 hours the External Civil Rights Division will determine if that individual can be replaced on the project.

2.6 Training and upgrading of minorities and women toward journeyman status is a primary objective of this provision. Accordingly, the contractor shall make every effort to enroll minority trainees and women (e.g., by conducting systematic and direct recruitment through public and private sources likely to yield minority and women trainees) to the extent that such persons

are available within a reasonable area of recruitment. The contractor shall be responsible for demonstrating the steps taken in pursuance thereof, prior to a determination as to whether the contractor is in compliance with this provision. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

2.7 No employee shall be employed as a trainee in any classification in which the employee has successfully completed a training course leading to journeyman status or in which the employee has been employed as a journeyman. The contractor shall satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the contractor's records shall document the findings in each case.

2.8 The minimum length and type of training for each classification will be as established in the training program selected by the contractor and approved by the engineer and FHWA. A program will be approved if it is reasonably calculated to meet the equal employment opportunity obligations of the contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period... Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a state apprenticeship agency recognized by the Bureau of apprenticeship and training programs approved, but not necessarily sponsored by, the Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training, will also be considered acceptable provided the training is being administered consistent with the equal employment obligations of Federal-aid highway construction contracts.

2.9 Approval or acceptance of a training program shall be obtained from the engineer prior to beginning work on the classification covered by the program. It is the intention of these provisions that training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training will be permissible in lower level management positions, such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications and must be approved by FHWA. Training in the laborer classification may be permitted, provided significant and meaningful training is provided and approved by the engineer. Some offsite training will be permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

2.10 Except as otherwise noted below, the contractor will be reimbursed \$10.00 per hour of training given an employee in the contract in accordance with an approved training program. As approved by the engineer, reimbursement will be made for training persons in excess of the number of trainee hours specified in the contract. Reimbursement will be made even though the contractor receives additional training program funds from other sources, provided such other sources do not specifically prohibit the contractor from receiving other reimbursement. Reimbursement for offsite training indicated above may only be made to the contractor when the trainees are concurrently employed on a federal-aid project and the contractor does one or more of the following, and contributes to the cost of the training, provides instruction to the trainee, or pays the trainee's wages during the offsite training period. In order receive the reimbursement the trainee must complete at least 500 hours on the project

2.11 No payment will be made to the contractor if either failure to provide the required training or failure to hire the trainee as a journeyman is caused by the contractor and evidences a lack of good faith on the part of the contractor in meeting the requirements of this provision. It is normally expected that a trainee will begin training on the project as soon as feasible after start of work, utilizing the skill involved and remain on the project as long as training opportunities exist in the trainee's work classification or until the trainee has completed the training program. It is not required that all trainees be on board for the entire length of the contract. The contractor's responsibilities under this provision will be fulfilled if the contractor has provided acceptable training for the number of trainee hours specified.

2.12 Trainees shall be paid at least 60 percent of the appropriate minimum journeyman's rate specified in the contract for the first half of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees in an approved existing program are enrolled as trainees on this project. In that case, the appropriate rates approved by the U.S. Department of Labor or Transportation in connection with the existing program will apply to all trainees being trained for the same classification who are covered by this provision.

2.13 Contractor may choose to transfer trainee hours worked on another project, whether MoDOT or not. The contractor must submit monthly trainee reports for that project to the RE Office where the hours will be credited. The contractor must submit with the monthly trainee reports, copies of the certified payrolls so the RE Office can verify the number of hours worked on the project, as well as the wage the trainee was being paid. Once the RE reviews the monthly reports, copies of the monthly reports should be sent to the External Civil Rights Division. The RE Office should include with the report a note indicating the hours that are being transferred from the other project. Both job numbers must be included in the note.

2.14 When the job is 50% complete the contractor must have at least 50% of the trainee hours assigned on that job completed. The percentage of job completion is based on the total value of the contract paid to the Contractor. The remaining amount of the hours must be completed before the completion of the project or the Contractor will be subject to liquidated damages unless a GFE is submitted to and approved by the External Civil Rights Division.

2.15 If the training hours have not been obtained and a GFE has not been displayed upon project completion, the Contractor will be assessed liquidated damages in the amount of \$20.00 per hour for those hours not realized. For instance, if the project goal was 1,000 hours and only 450 hours were met, then liquidated damages would be assessed at $550 \times \$20.00 = \$11,000.00$.

2.16 In the event the External Civil Rights Division denies the Good Faith Effort (GFE) submitted by the contractor, the contractor shall have the right to an Administrative Reconsideration Hearing. The request for an Administrative Reconsideration Hearing must be made within seven (7) days of the receipt of the denial letter. The Administrative Reconsideration Committee may be constituted, as MoDOT deems appropriate and fair, provided no committee member on the Reconsideration Committee shall have taken part in the original MoDOT determination that the contractor failed to meet the OJT contract goal and/or failed to make adequate good faith efforts to do so.

2.17 If the Administrative Reconsideration Committee does not find the contractor met the OJT contract goal, and/or does not find the contractor made adequate and sufficient good faith efforts to do so, then the Administrative Reconsideration Committee will recommend that liquidated damages as outlined in the non-compliance sanctions sections of the OJT Training Special Provision will be carried out. If the Administrative Reconsideration Committee does find that the contractor has met a good faith effort (GFE), then no liquidated damages will be assessed.

2.18 If the Contractor does not achieve the full OJT goal, they will not receive partial credit for hours completed. For instance, if the goal on the project was 1,000 hours and only 450 were completed, then no reimbursement will be given for any hours fulfilled. If the goal on the project is 2,000 hours and only 1,500 hours are completed and no GFE is demonstrated, the contractor will receive credit for the 1,500 hours and also be assessed liquidated damages in the amount of the 500 hours there were not met.

2.19 The contractor shall furnish to the trainee a copy of the training program the contractor will follow in providing the training. The contractor shall provide each trainee and the resident engineer with a certification showing the type and length of training satisfactorily completed.

2.20 The contractor shall provide for the maintenance of records and furnish monthly reports documenting the contractor's performance under this provision. Monthly reports shall include at least the following information:

Contractor's name and address

Period that the report covers

Job Number, Description, and Federal Aid number

Information for each employee being trained on the project, including:

- Name
- Social Security Number
- Trade/craft
- Pay percent, based on portion of training complete (if applicable)
- Journeyman's full prevailing wage applicable
- Trainee wage
- Hours this period
- Cumulative hours for the project

Total trainee hours for the project for this period

Cumulative trainee hours for the project

2.21 When a contractor submits a trainee who is economically disadvantaged the following information should be submitted with the trainee notification to verify this status:

- The previous year's tax return verifying the individual's income is less than the federal poverty guidelines.
- Verification of enrollment in food stamps received from Missouri Department of Social Services.
- Verification of housing assistance received from Missouri Department of Social Services.

OPTIONAL ROLLER COMPACTED CONCRETE SHOULDERS AND MAINLINE

01/16

1.0 Description. Roller Compacted Concrete (RCC) is an optional method to be used in constructing A2 and A3 shoulders or mainline pavement up to 7 inches thick in lieu of conventional PCCP or HMA placement. RCC may be used, as designed in the plans, for mainline pavements greater than 7 inches. RCC consists of aggregate, portland cement and water. Supplementary cementing materials, such as fly ash, slag cement (ground granulated blast-furnace slag - GGBFS), and silica fume may be used. RCC is proportioned, mixed, placed, compacted, and cured in accordance with these specifications. RCC shall conform to the lines, grades, thickness, and typical cross section shown in the plans or otherwise established by the Engineer.

2.0 Materials. All materials shall be in accordance with Division 1000, Materials Details, and specifically as follows:

Item	Section
Coarse Aggregate	1005.2
Fine Aggregate	1005.3
Ground Granulated Blast Furnace Slag	1017
Fly Ash	1018
Cement	1019
Concrete Admixture	1054
Curing Compound	407, 1055
Water	1070

2.1 Aggregate. The plasticity index of the aggregates used shall not exceed 5. The aggregate gradation shall be well-graded without gradation gaps and shall meet the following combined gradation for the application type for RCC specified in the contract:

Application	RCC as a Base or Intermediate Lift (Overlaid with 2-inch HMA or greater)	RCC as the Final Surface or with a Thin Lift Overlay (RCC as the final surface or capped with a thin HMA overlay less than 2-inches)
Sieve Size	Percent Passing by Weight	Percent Passing by Weight
1 inch	100	---
¾ inch	---	100
½ inch	70 - 95	85 - 100
3/8 inch	60 - 85	---
No. 4	40 - 60	60 - 85
No. 8	--	40 - 60
No. 200	0 - 8	0 - 10

3.0 Mix Design. At least 30 days prior to the beginning of placing RCC on the project, the Contractor shall submit a proposed mix design to the Engineer. The target and allowable gradation range of each fraction shall be included. The contractor may be required to submit representative samples of each ingredient to Construction and Materials for laboratory testing.

3.1 Required Information. The mix design shall contain the following information:

- (a) Source, type and specific gravity of portland cement
 - (b) Source, type (class, grade, etc.) and specific gravity of supplementary materials, if used
 - (c) Source, name, type and amount of admixture, if used
 - (d) Source, type (formation, etc.), ledge number if applicable, of the aggregate
 - (e) Specific gravity and absorption of each fraction in accordance with AASHTO T 85 for coarse aggregate and AASHTO T 84 for fine aggregate, including raw data
 - (f) Unit weight of each fraction in accordance with AASHTO T 19
 - (g) Batch weights of portland cement and supplemental cementitious materials
 - (h) Batch weights of coarse, intermediate and fine aggregates
 - (i) Batch weight of water in pounds per cubic yard (optimum moisture content)
 - (j) Maximum laboratory density
 - (k) The laboratory proctor curves illustrating moisture contents vs. density for each cementitious material content.
- The RCC mix design shall be done in a similar fashion as is done to determine the relationship between the moisture content and the unit weight as soils and soil aggregate mixtures. The apparatus and compacted effort used to fabricate the moisture density specimens correspond to that described in AASHTO T 180, Method D. Strength specimens shall be made in accordance with ASTM C 1176 or ASTM C 1435 at the optimum moisture content for each cementitious material content to verify minimum compressive strength requirements.

3.2 Trial Batch. The Contractor shall prepare and test a trial batch mixture at the mixing facility to verify that the RCC mix complies with the design criteria. The trial batch shall be prepared and tested in the presence of the Engineer.

3.3 Production. Production shall not begin until an approved mix design has been obtained and verified by the trial batch.

3.4 Design Strength. The mix design shall have a minimum compressive strength of 3,500 psi within 28 days when specimens prepared according to ASTM C 1176 or ASTM C 1435. Compressive strength test shall be performed in accordance with AASHTO T 22.

3.5 Minimum Water Content. The water-cement ratio shall not be lower than 0.25.

3.6 Minimum Cementitious Content. The total amount of cementitious materials shall not be below 450 pounds per cubic yard.

3.7 Supplementary Cementitious Material. RCC may use fly ash, slag cement (GGBFS), or silica fume. Ternary mixes will be allowed for RCC. Ternary mixes are mixes that contain a combination of portland cement and two supplementary cementitious materials. The amount of supplementary cementitious material content shall be limited to the following requirements:

Supplementary Cementitious Material (SCM)	
SCM	Maximum Percent of Total Cementitious Material
Fly Ash (Class C or Class F)	25 %
Slag Cement (GGBFS)	30 %
Silica Fume	8 %
Ternary Combinations	40 %

4.0 Equipment. RCC shall be constructed with any combination of equipment that will produce a pavement meeting the requirements for mixing, transporting, placing, compacting, finishing, and curing as provided in this specification.

4.1 Mixing Plant: The mixing plant shall be capable of producing RCC to the proportions defined by the final approved mix design and within the specified tolerances. The capacity of the plant shall be sufficient to produce a uniform mixture at a rate compatible with the placement equipment.

4.2 Paver: RCC shall be placed with a high-density or conventional asphalt type paver subject to approval by the Engineer. The paver shall be of suitable weight and stability to spread and finish the RCC material, without segregation, to the required thickness, smoothness, surface texture, cross-section, and grade.

4.3 Compactors: When a conventional asphalt type paver is used, self-propelled steel drum vibratory rollers shall be used for primary compaction. For final compaction, a steel drum roller, operated in a static mode, or a rubber-tired roller may be utilized to meet density requirements.

4.4 Haul Equipment: The hauling equipment shall be smooth, mortar-tight, metal containers capable of discharging the concrete at a controlled rate without segregation. Hauling equipment shall have a retractable cover to protect mix from weather and excessive evaporation.

4.5 Access for Inspection and Calibration: The Engineer shall have access at all times for any plant, equipment, or machinery to be used in order to check calibration, scales, controls, or operating adjustments.

5.0 Construction Requirements.

5.1 Preparation of Subgrade. Before the RCC processing begins, the subgrade and base course must be prepared in accordance with Sec 304.

5.2 Subbase Condition. The surface of the subbase shall be clean and free of foreign material and standing water prior to placement of the RCC. The aggregate base shall be uniformly moist at the time of RCC placement. RCC shall not be placed upon frozen subbase.

5.4 Mixing Time. Mixing time shall be adequate to ensure a thorough and complete mixing of all materials. Concrete shall be homogeneous with no aggregate segregation. In no case shall the mixing time, after all materials including water are in the mixer, be less than 90 seconds.

5.5 Operating Tolerances. The mixing plant shall receive the quantities of individual ingredients to within the following tolerances:

Material	Variation by Weight
Cementitious Materials	± 2.0%
Water	± 3.0%
Aggregates	± 4.0%

5.6 Plant Calibration. Prior to RCC production, the Contractor shall calibrate the plant in accordance with the manufacturer's recommended practice. A copy of the calibration shall be provided to the Engineer when requested.

5.7 Curing. Immediately after final rolling, the RCC surface shall be kept continuously moist until an approved curing compound is applied. The application of the curing compound shall progress such that no more than 10 linear feet of the final RCC surface is exposed without curing at any time.

5.7.1. Water Cure. Water cure shall be applied such that a uniform moist condition on the surface of the RCC is attained. Application of this moisture shall be done in a manner that will not erode or damage the finished RCC surface.

5.7.2 Curing Compound. When RCC is used as the final surface, either white pigmented curing compound applied at the rate of one gallon for each 100 square feet or a tack coat product applied at 0.14 gal/yd² shall be used for curing. When RCC is to be overlaid with asphalt, the curing compound shall be a tack coat product applied at 0.14 gal/yd² in accordance with Sec 407.

5.8 Weather Conditions.

5.8.1 Hot Weather Precautions. During periods of hot weather or windy conditions, special precautions shall be taken to minimize moisture loss due to evaporation.

5.8.2 Cold Weather. The contractor shall provide a method, meeting the approval of the engineer, of monitoring the concrete that demonstrates that the concrete has been protected from freezing.

5.8.3 Protection Against Rain. To protect against rain, the contractor shall have on location at all times material for the protection of the unhardened concrete. The contractor shall protect the concrete from damage due to rain.

5.9 Finished Surface. The finished RCC surface shall be smooth, uniform, and continuous without tears, ridges, or aggregate segregation once it leaves the paver. RCC mainline pavement shall meet the smoothness criteria of [Sec 502.8](#). When RCC is the final surface, the finished surface texture shall be broom finished, diamond ground, or other finishes approved by the engineer. All finished surface textures shall be in accordance with Sec 502.4.

5.9.1 Inaccessible Areas. All areas inaccessible to either roller or paver shall be paved with cast-in-place concrete in accordance with Sec 502.

5.9.2 Handwork. Broadcasting or fanning the RCC material across areas being compacted is not permissible. Such additions of materials may only be done immediately behind the paver and before any compaction has taken place.

5.9.3 Segregation. If segregation occurs in the RCC during paving operations, placement shall cease until corrective measures are taken.

5.10 Cold Joints. Prior to placing fresh RCC mixture against a cold vertical joint, the joint shall be thoroughly cleaned of loose or foreign material. The vertical joint face shall be wetted and in a moist condition immediately prior to placement of the adjacent lane.

5.11 Control Joints. Concrete control joints shall be constructed at 15-foot intervals in RCC mainline pavement. Control joint spacing for RCC shoulders adjacent to HMA or composite pavement shall be a minimum of 30-foot intervals. RCC shoulders adjacent to existing PCC pavement shall have control joints located to match the joints of the adjacent pavement. For all other PCC joint spacing; the RCC control joints shall match the adjacent PCC pavement's joints or cracks not to exceed a 30-foot interval. All control joints shall be tooled or cut to 1/3 the depth of the RCC thickness. Sealing the control joints is not required.

5.12 Opening to Traffic. The Contractor shall protect the RCC from traffic during the curing period. The RCC shoulder pavement may be opened to light traffic after one day and opened to unrestricted traffic after 5 days. The RCC mainline pavement may be opened to light traffic at 2,500 psi and opened to unrestricted traffic at 3,000 psi.

6.0 Material Acceptance.

6.1 Quality Control Testing. The contractor shall perform all quality control tests necessary to control the production and construction processes applicable to this specification. Quality control testing shall be performed by technicians qualified through MoDOT's technician certification program. Testing shall include, but not necessarily be limited to, deleterious content, aggregate gradation, coarse aggregate absorption, thin or elongated pieces, pavement thickness and density. The contractor shall record all test results and furnish a copy to the engineer no later than the beginning of the day following the test.

6.2 Quality Control Plan. A Quality Control Plan (QCP) for RCC mainline pavement and shoulders will be required as per [Sec 502.11.1](#).

6.3 Testing.

6.3.1 Density. The density shall be determined in accordance with AASHTO T 310, direct transmission. Tests shall be performed no later than 30 minutes after the completion of the rolling. Only wet density shall be used for evaluation. QC shall determine the density of the RCC shoulder and mainline pavement at a frequency of no less than one per 7500 square yards. Sampling locations will be determined by the engineer using random sampling procedures in accordance with ASTM D 3665.

6.3.2 Thickness. The contractor shall determine thickness of the RCC shoulder and mainline pavement by testing the fresh concrete. The Resident Engineer will need to review and approve the testing procedure. QC shall determine the thickness of the RCC mainline pavement and shoulders at a frequency of no less than one per 7,500 square yards. Sampling locations will be determined by the engineer using random sampling procedures in accordance with ASTM D 3665.

6.4 Aggregate Gradation. A sieve analysis shall be performed once a week. Testing shall be performed in accordance with AASHTO T 27 from randomly sampled material taken from the discharge gate of storage bins or from the conveyor belt.

6.5 Deleterious Materials. Deleterious content shall be determined each day at a frequency of one test per 7500 square yards of material placed or fraction thereof. Test shall be performed in accordance with MoDOT TM 71 from randomly sampled material taken from the discharge gate of storage bins or from the conveyor belt. Tests shall be performed on coarse aggregate fractions.

6.6 Absorption. Samples for coarse aggregate absorption shall be taken from the discharge gate of storage bins or from the conveyor belt at least once every 2000 cubic yards with a minimum of once per project. Coarse aggregate absorption shall be performed in accordance with AASHTO T 85.

6.7 Thin or Elongated. Thin or elongated pieces shall be determined on samples of coarse aggregate taken from the discharge gate of the storage bins or from the conveyor belt. Test shall be performed in accordance with ASTM D 4791 using a ratio of 5:1. Test shall be performed on aggregate particles retained on the ¾ in. sieve. Tests shall be performed at least once every 10,000 cubic yards with a minimum of once per project.

6.8 Retained Samples. All aggregate samples taken by the contractor, including but not limited to gradation, deleterious, absorption, and thin or elongated pieces shall be retained for the engineer for a minimum of seven days unless otherwise instructed. The retained sample shall be the remaining half of the final reduction in sample size obtained for QC testing. These samples shall be maintained in clean covered containers, without contamination, readily accessible to the engineer. The retained sample's identification shall consist of, but is not limited to:

- (a) Time and date sampled
- (b) Product specification number
- (c) Type of sample, i.e. belt, bin, stockpile
- (d) Lot and subplot designation
- (e) Sampler/Tester
- (f) Project Job Number

6.9 Acceptance.

6.9.1 Density. The density shall not be less than 98 percent of the maximum laboratory density.

6.9.1.1 Compressive Strength. Roller compacted concrete properly placed and compacted, but not meeting the density requirements shall be cored and tested for compressive strength at no additional cost. Cores shall be taken in accordance with AASHTO T 24. The compressive strength shall be determined by approved methods. Cores shall be tested for compressive strength within 7 days of density testing. If the tested area achieves the design strength, the material will be paid for at full price. Areas that fail to comply with the design strength will be deemed unacceptable and shall be addressed in accordance with Sec 105.11.

6.9.2 Thickness. The thickness shall not be deficient by more than 10 percent of the plan thickness. Areas that fail to comply with the design thickness will be deemed unacceptable and shall be addressed in accordance with Sec 105.11.

6.9.3 Aggregate Gradation. When one test is outside the allowable gradation range, immediate steps shall be taken to correct the gradation.

6.9.4 Deleterious Materials. When one test is outside the specification limits, immediate steps shall be taken to correct the deleterious content.

6.9.5 Absorption. The contractor shall halt production and make appropriate adjustments whenever either of the following occurs:

- (a) One point falls outside the action limit line for individual measurement
- (b) Two points in a row fall outside the specification limit but within the action limit line for individual measurement

6.9.5.1 Action Limits. The following action limit shall be used to control the aggregate absorption.

Individual Measurements	
Control Parameter	Action Limit
Absorption	Mix Design plus 0.3% to Mix Design plus 0.6%

6.9.6 Thin or Elongated Pieces. The coarse aggregate shall not have more than 5 percent thin or elongated pieces.

7.0 Quality Assurance.

7.1 Independent Samples. Corrective action shall be required when any QA tests are outside the required ranges or action limits. The engineer will at a minimum, independently test at the following frequency:

Test	Frequency
Density	1 test per 30,000 square yards
Thickness	1 test per 30,000 square yards
Aggregate Gradation	1 per project
Coarse Aggregate Deleterious	1 per week
Absorption	1 per 10,000 cubic yards
Thin or Elongated	1 per project

7.2 Test Procedures. The engineer will use the same test procedures as the contractor for determining the density and thickness of the RCC.

7.3 Retained Samples. The QA inspector will test at least ten percent of the retained portion of the QC samples for aggregate gradations and deleterious content. The QA inspector will test at least twenty percent of the QC retained samples for absorption and thin or elongated pieces. Retained samples will be chosen at random. A comparison will be considered favorable when the QA results of a QC retained sample are within the applicable limits specified in [Sec 403](#).

8.0 Method of Measurement. Final measurement of the completed pavement will not be made except for authorized changes during construction, or where appreciable errors are found in the contract quantity. Where required, measurement of the RCC mainline pavement and shoulders, complete in place, will be made to the nearest 1/10 square yard. The revision or correction will be computed and added to or deducted from the contract quantity.

9.0 Basis of Payment. The accepted quantities of RCC will be paid for at the contract unit price, for specified A2 or A3 shoulders or mainline. Sec 610 for smoothness pay factor adjustments will apply to the final RCC mainline pavement surface. The contract unit price for A2 or A3 shoulders or mainline pavement will be considered as full compensation for all materials, equipment, tools, labor, and incidentals necessary to satisfactorily complete the work. No additional compensation will be allowed for any excess thickness.

ASPHALT CEMENT PRICE INDEX

12/11; 01/17

1.0 Asphalt Cement Price Index. Adjustments will be made to the payments due the Contractor for any plant mix bituminous base, plant mix bituminous pavement, plant mix bituminous surface leveling, asphaltic concrete pavement and ultrathin bonded asphalt wearing surface that contains performance graded (PG) asphalt binder when it has been determined that the Monthly Asphalt Index for the month prior to placement of the asphalt mixture has fluctuated from the Monthly Asphalt Index for the month the project was let. The Monthly Asphalt Index shall be established for each calendar month as the average of the midpoint selling prices of PG64-22 for St. Louis and Kansas City, Missouri areas, as published by Poten & Partners Inc. in the Asphalt Weekly Monitor®, on the first Monday preceding the date of the normal monthly MoDOT letting. For months when there is no normal monthly letting, the published price on the third Monday of that month shall be used for the Monthly Asphalt

Index. Special lettings shall have no effect on determining the Monthly Asphalt Index. The asphalt base index shall be the Monthly Asphalt Index for the month of the bid opening. For calculation of the price adjustment, any asphalt placed on the first day of a month will be included with the asphalt placed the previous month in order to keep price adjustments in sync with the payment estimate period schedule.

1.1 The price adjustment will be applied to the actual amount of virgin PG asphalt binder used by the Contractor for all asphalt items specified in 1.0. For asphalt mixture that are paid for with square yard pay items, the price adjustments will be made for applicable equivalent tons, as calculated by the engineer, based upon the plan square yard quantity and thickness converted to tons, excluding the 1:1 wedge. The price adjustment will be applied to all Job Order Contract projects for all quantities of the wet ton and square yard asphalt mix. The percentage of virgin PG asphalt binder as shown in the job mix formula, in accordance with [Sec 401](#), [Sec 403](#) and [Sec 413](#), will be the basis for price adjustments for any asphalt mix type placed on the project. The effective asphalt binder obtained from the use of Recycled Asphalt Pavement (RAP) and/or Recycled Asphalt Shingles (RAS) will not be eligible for adjustment. The Monthly Asphalt Index for PG64-22 will be applied to the asphalt mix for mixes using any PG asphalt binder.

2.0 Price Adjustment Calculated. To determine the price adjustment for any asphalt mix specified in this provision, the following formula will be used.

$$A = (B \times C/100) \times (D-E)$$

Where:

- A = Dollar value adjustment for mix placed during the payment estimate period
- B = Tons of asphalt mixture placed during the payment estimate period
- C = Percent of virgin PG asphalt binder as listed in the job mix formula in use
- D = The Monthly Asphalt Index for the month prior to the month the asphalt mix was placed
- E = The asphalt base index = the Monthly Asphalt Index for the month the project was let

2.1 The engineer will apply the price adjustments, as determined by the price adjustment calculation established herein, for each payment estimate period in which asphalt is placed, except for any asphalt placed after the allowable contract time period as defined in [Sec 108](#). For asphalt placed after the contract completion time limit, the "D" value used for the price adjustment calculated shall be either the last "D" value prior to the date that contract time was exceeded, or the current monthly "D" value, whichever is lower.

3.0 Optional. This provision is optional. If the bidder wishes to be bound by this provision, the bidder shall execute the acceptance form in the Bid. Failure by the bidder to execute the acceptance form will be interpreted to mean election to not participate in the Asphalt Cement Price Index. If the Asphalt Cement Price Index is accepted, PG asphalt binder for the project will not be eligible for a material allowance as described in [Sec 109](#).

SAFETY PLAN

04/16

1.0 Description. This contractor shall submit to the engineer a project Safety Plan (SP) for all work performed by the contractor and all subcontractors. The purpose of the SP is to encourage and enable all work to be performed in the safest possible manner and that all parties involved are aware of their individual responsibility for safety on the jobsite.

1.1 The SP shall be completed by the contractor and provided to the engineer prior to the beginning of any construction activity or phase on the project.

1.2 The contractor shall designate a person to serve as Project Safety Manager (PSM). The PSM shall be responsible for implementing and overseeing the SP. The PSM is not required to be present on the project at all times, but must be available to address safety issues and needs.

1.3 The PSM shall make revisions to the SP as necessary. Any new project activities or phases shall be included in the SP prior to work beginning on that activity or phase.

1.4 An example Safety Plan is available at: www.modot.org/safetyplan

2.0 Emergency Preparedness. The SP shall outline and detail for all workers, the specific procedures and actions necessary to respond to a jobsite emergency and the measures taken to communicate these requirements to all workers.

2.1 The SP shall include a list of local emergency contacts including phone numbers. A copy of the emergency contact list shall be accessible to workers.

2.2 In the case where there is no cellular or land line phone service at the jobsite, the SP shall identify how to reach the nearest available phone service.

3.0 Project Safety Analysis. The SP should contain a basic Project Safety Analysis (PSA) that outlines the actions necessary to complete each activity or phase of the project. The SP shall include a general description of the primary activities or steps required to safely complete the project.

3.1 Each activity should also include a general description of the work involved along with the known risks associated with the activity. In addition the PSA should outline the controls for those risks, including any Personal Protection Equipment (PPE) requirements for that activity or phase, and whether or not the activity or phase requires a specific safety meeting prior to beginning the activity or phase.

3.2 Submittal of the PSA for all activities or phases is not required with the initial submittal of the SP; however, the PSA for each activity or phase shall be completed prior to the beginning of that activity or phase.

4.0 Safety Meetings. The SP shall include the types of safety meetings that will be required of and conducted by the contractor.

5.0 Safety Training. The SP shall identify the required safety training provided to the contractor's personnel. The contractor shall require that the appropriate safety training for the contractor's personnel is completed prior to the beginning of work on each activity or phase.

5.1 The SP shall identify the recommended safety training needs and PPE for MoDOT employees who will be exposed to the work activities. MoDOT will provide safety training and PPE to MoDOT employees based on MoDOT safety policies.

6.0 Payment. There will be no direct payment for compliance with this Safety Plan provision.

SAFETY EDGESM

04/16; 04/18

1.0 Description. An approved longitudinal shoulder wedge system shall be used to create a beveled edge at the edge of pavement for a roadway without a paved shoulder, or at the edge of shoulder for pavement with a paved shoulder up to and including 4 feet in width.

2.0 Construction Requirements. The Safety Edge shall be constructed as shown in Standard Plan 401.00 The construction tolerance of the 30 degree Safety EdgeSM shall be plus or minus 5 degrees.

2.1 The shoulder wedge system shall maintain contact between the device and road shoulder surface and allow automatic transition to cross roads, driveways and obstructions. The device must be removable or be able to be lifted when not in use.

2.2 All shoulder wedge systems to be used for the purpose of creating a Safety EdgeSM must be approved by the engineer. The device must be designed to constrain the material, increase the consolidation of the extruded profile, and provide a smooth wedged surface. The use of a conventional single plate strike-off is not permitted.

3.0 Basis of Payment. There will be no direct payment for compliance with the requirements of this provision.

E-CONSTRUCTION

01/17

1.0 Description. e-Construction is a paperless construction administration delivery process that includes electronic submission of construction documents, approval of documents with digital signatures, and communication between stakeholders by mobile devices. e-Construction saves both time and money for all stakeholders involved, simplifies document storage, and eliminates waste of paper and other resources. This provision does not apply to the contract or other contract execution documents.

2.0 Document Submittals.

2.1 The contractor shall submit all required documents to MoDOT electronically, except as described in section 2.2 of this provision. Documents to be submitted electronically include, but are not limited to, Change Orders, Request to Subcontract

Work (C-220), Project Payrolls, Progress Schedules, Value Engineering proposals, Safety Plans, Quality Plans, Pre-Construction conference submittals, etc. All documents shall be submitted in standard pdf format, except when otherwise directed by the engineer.

2.2 The Affidavit for Compliance with the Prevailing Wage Law and the Contractor's Affidavit Regarding Settlement of Claims (Form C-242) require a notarization and therefore, by law, must be submitted on paper.

2.3 The engineer will submit project documents to the contractor via email or through other secure file sharing sites, except that the Contractor Performance Questionnaire will be submitted by certified mail.

2.4 Documents that require multiple signatures, such as change orders, must include all required signatures on the original electronic document, without scanning.

2.5 Project Payrolls from subcontractors shall be digitally signed by the subcontractor. Payrolls shall be submitted as separate files per contractor per pay period.

3.0 Digital Signature.

3.1 All electronic documents that require signature, such as those listed in section 2.1, must be signed electronically. Scanning an ink-signed document is not considered a valid digital signature.

3.2 All users who are authorized to sign documents for the contractor shall submit their Digital Signature Certificate (Public Key .fdf file) to the Division of Construction prior to signing any documents. This file is used to validate the user's signature on documents. An authorization letter is also required for each person authorized to sign documents. A Digital Signature for Contractors Quick Reference Guide (QRG) is available on MoDOT's Engineering Policy Guide at <http://epg.modot.mo.gov/> (click on QRG in the left hand column).

4.0 Communication. The contractor shall be able to communicate and exchange information with MoDOT staff by email and mobile phone.

5.0 Basis of Payment. No payment will be made for compliance with this provision.

ELECTRONIC INFORMATION FOR BIDDER'S AUTOMATION

07/17

1.0 Description. If electronic information for bidder's automation is provided in the Electronic Deliverables, it is for information only. This information, used for project design and quantity estimation purposes, is provided for the bidder's use in automation of bid estimating, project staking, automated machine guidance and other construction methods.

2.0 Information Provided. Electronic information may be provided consisting of survey and design information including but not limited to 3-dimensional design models, cross-section models, alignment data, and plan view geometry. This information does not constitute part of the bid documents or contract documents.

3.0 Disclaimers. The electronic information shall not be considered a representation of actual conditions to be encountered during construction. Furnishing this information does not relieve a bidder or contractor from the responsibility of making an investigation of conditions to be encountered including, but not limited to site visits, and basing the bid on information obtained from these investigations, and the professional interpretations and judgment of the bidder or contractor. The bidder or contractor shall assume the risk of error if the information is used for any purposes for which the information was not intended. The Commission makes no representation as to the accuracy or reliability of the information, since the information may not be representative of the sealed contract documents. Any assumption the bidder or contractor may make from this electronic information is at the bidder or contractor's risk; none are intended by the Missouri Highways and Transportation Commission. The bidder or contractor assumes the sole risk of liability or loss if the bidder or contractor does rely on this electronic information to its detriment, delay or loss.

4.0 Basis of Payment. No payment will be made for compliance with this provision.

"RATE OUR WORK ZONE" SIGNS

03/12; 05/12

1.0 Description. This work shall consist of furnishing and installing a 72 X 36 inch or 48 X 24 inch "Rate Our Work Zone" signs, as indicated in the plans. The contractor shall furnish signs, labor, equipment, posts and hardware for installation of the signs in accordance with this provision, or as directed by the engineer.

2.0 Material. All material shall be in accordance with Division 1000, Material Details.

3.0 Construction Requirements. The signs shall be post-mounted and placed approximately 500 feet before the beginning of the project limits or the "ROAD WORK AHEAD" sign or the "ROAD WORK NEXT XX MILES" sign, if used, when these signs are located outside the project limits for each direction of travel affected by the project. A project on only one pavement of a dual divided facility will require only one sign. The contractor shall maintain all signs until completion of the project. Upon completion of the project, the contractor shall remove the signs, posts and hardware. The signs, posts and hardware shall remain the property of the contractor.

4.0 Basis of Payment. The accepted quantity of signs will be paid for at the contract unit price per square feet of construction signs.

"POINT OF PRESENCE" SIGNS

03/12; 05/12

1.0 Description. This work shall consist of furnishing and installing a 36 X 48 inch or a 96 X 48 inch "Point of Presence" signs, as indicated in the plans. The contractor shall furnish signs, labor, equipment, posts and hardware for installation of the sign in accordance with this provision or as directed by the engineer.

2.0 Construction Requirements. The sign shall be placed as shown on the plans. A project impacting only one direction of a divided highway will require only one sign. The contractor shall maintain all signs until completion of the project. Upon completion of the project, the "Point of Presence" signs shall remain in place ninety days with the "Completed as Promised" decal or plaque attached. After the ninety day period expires, the contractor shall be required to remove the sign. The sign, decal or plaque, posts and hardware will remain the property of the contractor.

2.1 The 36 X 48 inch "Point of Presence" sign shall be post mounted on two 3-pound/foot U-channel posts, or one-2 ½ inch perforated square steel tube post.

2.2 The 96 X 48 inch "Point of Presence" sign shall be post mounted on three 3-pound/foot U-channel posts with 32-inch spacing between posts.

3.0 Basis of Payment. The accepted quantity of "Point of Presence" signs will be paid for at the contract unit price per square feet of construction signing. The "Completed as Promised" decal or plaque shall be considered incidental to the "Point of Presence" sign.

SERVICE SIGNING

1.0 Description. All installation, relocation and repair of Missouri LOGO, Tourist Oriented Destination Signs (TODS) and General Service Signing shall be coordinated between the engineer, contractor and the designated Missouri LOGO representative.

1.1 It shall be noted by the contractor that Missouri LOGOS is responsible for the installation, relocation and repair of all LOGO, TODS and General Service Signs on MoDOT owned right of way. The contractor shall be solely responsible and liable for determining any impact to LOGO, TODS or General Service Signing due to contractor operations during construction of this contract. The contractor shall be responsible for notifying Missouri LOGOS at the time of the preconstruction meeting when a service sign is determined to be impacted and advise Missouri LOGOS of the project details. The Missouri LOGO representative will attend these meetings at their discretion.

The Missouri LOGO representative shall be contacted 24 hours a day, 7 days per week at (573) 291-6788.

1.2 Missouri LOGOS will be responsible any installation or relocation of service signs necessary for this contract. If Missouri LOGO's has to perform work within the limits of the project, Missouri LOGOS will conduct work so as not to interfere with or hinder the progress or completion of the work being performed by the contractor. Full cooperation of the contractors involved, in careful and complete coordination of their respective activities in the area, will be required.

2.0 Basis of Payment. No direct payment will be made to the contractor to recover the cost of equipment, labor, materials or time required to fulfill this provision.

REVISIONS TO 2017 MISSOURI STANDARD SPECIFICATIONS FOR HIGHWAY CONSTRUCTION

SECTION 101-DEFINITION OF TERMS

Delete Sec 101.1 and substitute the following:

10/17

101.1 Abbreviations.

AASHTO	American Association of State Highway and Transportation Officials
AISC	American Institute of Steel Construction
AGC	Associated General Contractors of America
ANSI	American National Standards Institute
AREA	American Railroad Engineering Association
ASME	American Society of Mechanical Engineers
ASTM	ASTM International
AWG	American Wire Gauge
AWPA	American Wood-Preservers' Association
AWS	American Welding Society
AWWA	American Water Works Association
CFR	Code of Federal Regulations
CS	Commercial Standards, U. S. Department of Commerce
CSR	Code of State Regulations
COE	Corps of Engineers
CUF	Commercially Useful Function
DBE	Disadvantaged Business Enterprise
EEI	Electrical Engineer's Institute
EEO	Equal Employment Opportunity
EPA	Environmental Protection Agency
EPG	Engineering Policy Guide

ESAL	Equivalent 18-kip Single Axle Load
FCC	Federal Communications Commission
FHWA	Federal Highway Administration
GGBFS	Ground Granulated Blast Furnace Slag
GRI	Geosynthetic Research Institute
ICEA	Insulated Cable Engineers Association
IMSA	International Municipal Signal Association
ITE	Institute of Transportation Engineers
LED	Light Emitting Diode
MASH	AASHTO Manual for Assessing Safety Hardware
MDC	Missouri Department of Conservation
MDNR	Missouri Department of Natural Resources
MGS	Midwest Guardrail System
MHTC	Missouri Highways and Transportation Commission
MoDOT	Missouri Department of Transportation
MSDS	Material Safety Data Sheet
MUTCD	Manual on Uniform Traffic Control Devices
NCHRP 350	National Cooperative Highway Research Program (NCHRP) Report 350, <i>Recommended Procedures for the Safety Performance Evaluation of Highway Features</i>
NEC	National Electrical Code
NEMA	National Electrical Manufacturers Association
NESC	National Electrical Safety Code
NFPA	National Fire Protection Association
NRCS	Natural Resources Conservation
NRMCA	National Ready Mixed Concrete Association
NTPEP	National Transportation Product Evaluation Program

SECTION 104-SCOPE OF WORK

Delete Sec 104.6.2.6 and substitute the following:

01/18

104.6.2.6 An electronic copy of the complete proposal shall be submitted to the engineer for review. The contractor may submit a conceptual proposal for approval stating the basic proposal and approximate cost savings in order to provide the contractor with the opportunity to submit an idea without large initial development costs if the proposal is rejected. Approval or disapproval of proposals will be granted within ten days of receipt of the proposal.

SECTION 107-LEGAL RELATIONS AND RESPONSIBILITY TO THE PUBLIC

Delete Sec 107.13.2.1 and substitute the following:

01/18

107.13.2.1 Commercial Liability Insurance. The contractor shall carry commercial general liability insurance and commercial automobile liability insurance from a company authorized to issue insurance in Missouri. Each such policy shall name the Commission, and the Missouri Department of Transportation and its employees, as additional named insureds, in amounts sufficient to cover the sovereign immunity limits for Missouri public entities as calculated by the Missouri Department of Insurance, Financial Institutions and Professional Registration, and published annually in the Missouri Register pursuant to Section 537.610, RSMo. Each policy shall be endorsed to cover liability arising from blasting if applicable, other inherently dangerous activities, and underground property damage. Each policy shall be endorsed to include broad form general liability, contractual liability and completed operations coverage.

SECTION 202-REMOVAL OF ROADWAYS AND BUILDINGS

Delete Sec 202.2.4 and substitute the following:

10/17

202.2.4 Salvage. All material designated in the contract to be salvaged for Commission use from existing structures or improvements shall be removed without damage, in sections that may be readily handled, transported and stored as approved by the engineer. Unless otherwise designated in the contract, all coldmilled material, existing guardrail, and guard cable material designated for removal shall become the property of the contractor. All buildings, material and equipment of any description not designated for salvage by the Commission shall become the property of the contractor, unless owned and claimed by a political subdivision or utility company. Salvaged material becoming the property of the contractor shall not be stored on the right of way, nor shall any portion of the right of way or land owned by the Commission be used by the contractor as a place of sale for salvaged material.

SECTION 407-TACK COAT

Delete Sec 407.5 and substitute the following:

01/18

407.5 Method of Measurement. Measurement of asphalt emulsion to the nearest gallon will be made in accordance with [Sec 1015](#). If water is added to asphalt emulsion, the quantity to be paid for will be determined prior to the addition of water.

SECTION 408-PRIME COAT

Delete Sec 408.5 and substitute the following:

01/18

408.5 Method of Measurement. Bituminous material will be measured to the nearest gallon in accordance with [Sec 1015](#).

SECTION 409-SEAL COAT

Delete Sec 409.8.3 and substitute the following:01/18

409.8.3 Final measurement for binder will be made to the nearest gallon in accordance with [Sec 1015](#). Any revision or correction will be computed and added to or deducted from the contract quantity.

SECTION 501-CONCRETE

Delete Sec 501.10.2 and substitute the following:

01/18

501.10.2 Air Content Limitations. When air-entrained concrete is used, the mix design target range for quantity of air content by volume shall not be less than 4.5 percent or greater than 7.5 percent. When field measured air content exceeds 7.5 percent, but is less than or equal to 9.0 percent, the concrete may be placed if allowed by the contractor's quality control plan and at the contractor's risk that all other concrete requirements will be met, including strength. When field measured air content is less than 4.5 percent, the concrete may be re-dosed with air entrainment admixture in accordance with Section 501.10.4. Under no circumstances shall any concrete be incorporated into the work with an air content less than 4.5 percent or greater than 9.0 percent.

Delete Sec 501.10.4 thru 501.10.4.3 and substitute the following:

01/18

501.10.4 Re-dosing. When the measured air content is below the minimum specified limit, the contractor will be allowed one attempt per mixer truck load to re-dose the concrete in the field. The contractor shall obtain approval of the Re-Dosing Plan from the engineer prior to the start of work. The Re-dosing Plan shall address the following:

- (a) Field measurement of the air entrainment admixture
- (b) Brand of air entrainment admixture being used
- (c) Incorporation and mixing of the air entrainment admixture
- (d) The use of additional water

SECTION 608 CONCRETE MEDIAN, MEDIAN STRIP, SIDEWALK, CURB RAMPS, STEPS AND PAVED APPROACHES

Renumber Sec 608.3.7 to 608.3.8 and renumber subsequent sections accordingly. Add new Sec 608.3.7 thru 608.3.7.2. 01/18

608.3.7 Concrete strength and air content shall be tested at the required QC and QA frequencies. Concrete strength shall be tested with either cylinders or the maturity method. Concrete shall have a minimum 28-day strength of 4000 psi. Air content shall be a minimum of a percent.

608.3.7.1 The contractor shall measure concrete strength and air content at a frequency of one test per 100 cubic yards. The engineer shall measure concrete strength and air content at a frequency of one test per 500 cubic yards.

608.3.7.2 No concrete strength and air content testing will be required on any day when the total volume of concrete placed in a day is less than 3 cubic yards.

SECTION 616 TEMPORARY TRAFFIC CONTROL

Delete Sec 616.3.3 and substitute the following:

01/18; 04/18

616.3.3 The contractor shall:

- (a) Designate an individual as the Work Zone Specialist (WZS) who is knowledgeable and competent by training and/or certification in the principles of proper temporary traffic control in accordance with Chapter 6 of the MUTCD, and who has the primary responsibility, with sufficient authority, for implementing the traffic management plan and other safety and mobility aspects of the project. The WZS shall be directly involved with daily traffic management, and shall communicate pertinent information with the engineer either in person or via telecommunication. Duties of the WZS shall include monitoring the work zone to ensure an efficient flow of traffic, correcting any failed or misaligned traffic control signs or devices, and recommending traffic management improvements to the engineer. The name, certification, and a 24-hour contact number for the WZS shall be provided to the engineer prior to the start of work. If the contractor makes a change in the designated WZS, the engineer shall be notified immediately. The WZS shall be trained and certified by a qualified person as defined by the Occupational Safety and Health Administration. The WZS shall have a card and/or certificate that includes the WZS's name, instructor's name and title, training entity/agency, date of training, and signature of the instructor. Re-certification of the WZS shall be required a minimum of every four years.
- (b) Ensure all contractor personnel are trained in traffic control to a level commensurate with their responsibilities.
- (c) The contractor shall obtain authorization from the engineer for any lane closure or traffic shift at least two working days prior to the planned closure or lane shift. Requests for complete road closures, or any imposition of height, width or weight restrictions shall be submitted to the engineer for approval at least 15 calendar days prior to the planned closure or restriction. The engineer reserves the right to deny any request for lane closure, traffic shift, road closure or restrictions of height, width or weight that the engineer determines could be in conflict with other known or anticipated traffic impacts, including but not limited to, outstanding permits that have been issued by the Commission for oversized or overweight loads.
- (d) Perform quality control of work zones to promote consistency and ensure compliance with contract documents, policies and guidelines.

Delete Sec 616.4.3 and substitute the following:

01/18

616.4.3 Each flagger, automated flagger assistance devices (AFAD) operator, portable signal flagging device (PSFD) operator and pilot vehicle operator shall maintain a valid flagger certification card that certifies the individual has been trained by a qualified person as defined by the Occupational Safety and Health Administration, in the principles and procedures of flagging in accordance with Chapter 6 of the MUTCD. Flagger certification cards shall include the flagger's name, instructor's name and title, training entity/agency, date and signature of the instructor. Flagger certifications shall be provided to the engineer prior to flagging operations. Flagger re-certification shall be required a minimum of every four years. Certifications will not be required in emergency situations that arise due to actions beyond the contractor's control when flagging is necessary to maintain safe traffic control on a temporary basis. All flagging, AFAD, PFD and pilot vehicle operations shall be in accordance with the MUTCD. Flaggers and pilot vehicles shall be provided as shown on the plans or as approved or directed by the engineer. When not specified in the plans, the contractor may use a Type B (Red/Yellow Lens) AFAD PSFD or pilot vehicle to supplement the flagging operation upon approval from the engineer. When two-way traffic is maintained over a single lane, each flagger, AFAD operator, if used in tandem, and pilot vehicle operator involved in the traffic flagging operation shall be equipped with a portable, two-way, communication system approved by the engineer. When the AFED or PSFD are not in use they shall be removed from the roadside.

Delete Sec 616.5.2.2 and substitute the following:

10/17

616.5.2.2 Overhead lighting shall be provided for flaggers and other specified locations shown on the plans. Lighting in these areas shall provide a minimum maintained intensity of 5 footcandles.

Renumber Sec 616.10 to 616.11 and renumber subsequent sections accordingly. Add new Sec 616.10 thru 616.10.3. 04/18

616.10 Radar Speed Advisory System

616.10.1 The contractor shall place the Radar Speed Advisory System at the location shown on the plans or as directed by the engineer.

616.10.2 The contractor shall deploy, operate and maintain the Radar Speed Advisory System as specified in the traffic control plan and in accordance with the manufacturer's recommendations for the duration of the project at the contractor's expense. The contractor shall program the Radar Speed Advisory System as directed by the engineer and shall not include advertising, animation, rapid flashing, dissolving, exploding, scrolling, or other dynamic elements as stated in Chapter 2L of the MUTCD.

616.10.3 When the Radar Speed Advisory System is not in use, it shall be turned away from traffic. When not required for longer than a 24-hour period, the Radar Speed Advisory System shall be stored in accordance with Sec 107.5.

SECTION 622-PAVEMENT AND BRIDGE SURFACE REMOVAL AND TEXTURING

Added Sec 622.2.3.1:

01/18

622.2.3.1 A temporary asphalt wedge transition shall be installed, maintained, and removed at the contractor's expense for each vertical lip created from a cold milled depth transition when the contractor opens the cold milled depth transition to traffic prior to resurfacing. The temporary asphalt wedge shall be, in the opinion of the engineer, of sufficient length and texture to provide a smooth transition from the existing pavement or bridge surface to the cold milled surface. Temporary asphalt wedges shall be removed prior to the resurfacing.

Delete Sec 622.10.3.1 and substitute the following:

01/18

622.10.3.1 Except for cold milling of depth transition sections and butt joints, all pavement that is cold milled for the purpose of resurfacing shall receive the first lift of resurfacing during the same day or night work shift as the cold milling operation.

SECTION 625-SLAB STABILIZATION

Delete Sec 625.10.4.2 and substitute the following:

10/17

625.10.4.2 Asphalt cement shall be measured to the nearest gallon in accordance with Sec. 1015.

SECTION 701-DRILLED SHAFTS

Delete Sec 701.4.10.3 and substitute the following:

04/18

701.4.10.3 Video Camera Inspection. The primary means of inspecting a shaft excavation, steel casing and the rock socket shall be by video camera lowered into the shaft. The contractor shall furnish all equipment necessary to conduct the camera inspection. The contractor shall operate the camera and supporting equipment under the direction of the engineer in such a manner as to obtain optimum results from the equipment. The video camera and lighting equipment shall be capable of operating in dry or submerged conditions encountered during the inspection. The excavated shaft shall have the engineer's approval prior to proceeding with construction.

Delete Sec 701.4.10.3.2 and substitute the following: 04/18

701.4.10.3.2 Drawings. The contractor shall submit layout drawings to the engineer showing the relative position of all components of the video inspection system, including type and size of barge or other work area. The information submitted shall include a written description of the operating procedure in a step-by-step sequence and shall state the source of power.

Delete Sec 701.4.10.3.3 and substitute the following: 04/18

701.4.10.3.3 Shaft Inspection. Inspection of a shaft by video camera shall be performed as directed by the engineer. The excavated shaft, including the rock socket when applicable, shall be thoroughly cleaned of all loose fragments, sediment and turbidity prior to inspection. The camera shall be operated such that optimum clarity of detail can be obtained and all surface areas of the shaft, including the rock socket and the rock socket's base, can be observed. All scanning of the rock surfaces shall be recorded. After completion of the inspection of a rock socket, the engineer will direct whether or not drilling of the shaft shall be continued to a greater depth. Recordings shall be furnished to and shall become the property of the engineer upon completion of the work.

SECTION 702-LOAD-BEARING PILES

Delete Sec 702.2 and substitute the following: 04/18

702.2 Material. All material shall be in accordance with Division 1000, Material Details, and specifically as follows:

Item	Section/ Specification
Reinforcing Steel for Concrete	1036
Cast-In-Place Pile Shells (Thick Shell Type) Welded or Seamless Steel Pipe	ASTM A 252, Grade 2 or 3
Closure Plates	AASHTO M 270, Grade 50 (ASTM A 709 Grade 50)
Structural Steel Pile	AASHTO M 270, Grade 50 (ASTM A 709 Grade 50)
Fluted Pipe	SAE-1010 or SAE-1015
Forged Steel Tips or Noses	SAE-1020
Pile Point Reinforcement	ASTM A 27, Grade 65-35 or ASTM A 148, Grade 90-60
Galvanizing	1080, ASTM A 123,
Galvanizing Repair	ASTM A 780*

*Zinc rich paints will not be allowed.

Delete Sec 702.2.3 and substitute the following: 04/18

702.2.3 Pile Point Reinforcement. Pile point reinforcement shall be furnished and installed on load-bearing piles at locations shown on the plans. Pile point reinforcement for steel piles shall be furnished and installed in accordance with [Sec 1080](#), except as modified herein.

Delete Sec 702.4.5 and substitute the following: 04/18

702.4.5 Pile Point Reinforcement. Each point shall be manufactured in one piece of cast steel. Pile points furnished for cast-in-place concrete piles shall be attached to the pile as shown on the plans. Pile points for structural steel piles shall be furnished with the minimum point web and flange thickness at the location of attachment to the pile equal to the thickness of that portion of the pile being attached thereto multiplied by the factor (t) shown below with additional requirements as described herein.

Material	(t)
ASTM A 27 Grade 65-35 (450-240)	2.0
ASTM A 148 Grade 90-60 (620-415)	1.6

Delete Sec 702.4.8.1 and substitute the following:

04/18

702.4.8.1 Bitumen and Paint. Before the coatings are applied, steel shall be thoroughly cleaned. A commercially available bituminous coating, as approved by the engineer, shall be applied heavily to steel shells and structural steel piles in end bents for a length of 3 feet below the bottom of the concrete cap. All exposed steel piles shall have a bituminous coating 3 feet below and one foot above the finished ground line. Unless otherwise specified in the contract documents, all other exposed surfaces of steel shells and structural steel piles, including bracing, shall be coated with one 6-mil thickness of an approved gray epoxy mastic in accordance with the epoxy mastic manufacturer's recommendations. Protective coatings will not be required below the normal low water line or for galvanized piles and bracing.

Amend Sec 702.4.8 to include the following:

04/18

702.4.8.2 Galvanizing. Steel shells for cast-in-place piles, structural steel piles, and bracing shall be galvanized as shown on the plans and in accordance with ASTM A 123 and Sec 1080. All pile below the pile concrete encasement shall be galvanized down to an elevation as shown on the plans. At the contractor's option, the entire pile length may be galvanized. Repairs to the galvanized coating and field galvanizing shall be in accordance with ASTM A 780. Zinc rich paints will not be allowed. Repairs and field galvanizing will not be required where the pile will be encased in concrete or below the limits specified herein. Galvanizing material shall be omitted or removed one inch clear of weld locations. The method used to omit or remove the galvanizing material shall be masking, grinding or other methods as approved by the engineer. If a weld location falls within an area where galvanizing is required, clean the weld area making sure to remove all welding slag. Then field galvanize the weld area in accordance with ASTM A 780.

Delete Sec 702.4.10 and substitute the following:

04/18

702.4.10 FHWA-modified Gates Dynamic Formula. The following formula shall be used to determine the nominal axial compressive resistance, P, of piles when other methods of determination are not specified in the contract documents:

$$P = 1.75(E)^{0.5} \log_{10}(10N) - 100$$

P = Nominal Axial Compressive Resistance measured during pile driving in kips

E = Developed hammer energy in foot-pounds (Joules). This is the kinetic energy in the ram at impact for a given blow and may be assumed equal to the ram weight times the stroke.

N = Number of hammer blows for 1.0 inch of pile permanent set in blows/inch.

Delete Sec 702.5.2 and substitute the following:

04/18

702.5.2 Load-Bearing Piles. Piles in place will be the actual length of all piles, except test piles, measured to the nearest foot for that portion of each pile that remains permanently in the structure. For galvanized pile, no separate measurement will be made for the part of the pile that is not galvanized.

Delete Sec 702.6.2 and substitute the following:

04/18

702.6.2 Load-Bearing Pile. The accepted quantity of load-bearing pile in place will be paid for at the contract unit price for each of the items included in the contract. No direct payment will be made for incidental items necessary to complete the work unless specifically provided as a pay item in the contract.

SECTION 703-CONCRETE MASONRY CONSTRUCTION

Delete Sec 703.3.6.1.4 and substitute the following:

04/18

703.3.6.1.4 Wet Curing. The contractor shall submit to the engineer a plan to monitor the free moisture and maintain continuous free moisture for the 7 day period. The concrete shall be covered with clean mats as soon as the curing compound has dried sufficiently to prevent adhesion, and the concrete surface will support the curing mat without marring or distorting the finish, but no more than 90 minutes after the concrete is floated or textured. If the concrete mix contains more than 15 percent fly ash or slag or combination thereof and remains plastic after 90 minutes, coverage with mats may be delayed, as directed by the engineer, until the surface will support the curing mat without marring or distorting the finish. The mats shall be sufficiently wet at the time of placement to prevent moisture absorption from the finished surface. The contractor shall control the run-off so as not to cause

a traffic hazard or soil erosion. The continuous wet cure shall be maintained a minimum of seven days and until the concrete has attained a minimum compressive strength of 3,000 psi.

SECTION 717-FLEXIBLE JOINT SYSTEMS

Delete Sec 717.10.3.1 and substitute the following: **04/18**

717.10.3.1 Shop Drawings. Shop drawings for structural steel for expansion joint systems shall be prepared in accordance with [Sec 1080](#). The dimensions of the seal shall be shown on the shop drawings for the armored joint. Shop drawings will not be required when the seal is placed against concrete or existing steel armor.

Delete Sec 717.20.3.1 and substitute the following: **04/18**

717.20.3.1 Shop Drawings. Shop drawings for structural steel for expansion joint systems shall be prepared in accordance with [Sec 1080](#). The drawings shall show in detail the type, size, location of anchors, and sequence of installation. The dimensions of the seal shall be shown on the shop drawings for the armored joint. The cavity in the steel armor (also known as an extrusion) shall be of a dimensional tolerance that prevents the lug of the strip seal gland from slipping loose. The upper lip of the extrusion shall extend over the bottom lip to avoid pinching the gland when the expansion joint system is in a closed position. Shop drawings will not be required when the seal is placed in existing steel extrusions.

Delete Sec 717.30.3.1 and substitute the following: **04/18**

717.30.3.1 Shop Drawings. Shop drawings for structural steel for expansion joint systems shall be prepared in accordance with [Sec 1080](#). The dimensions of the seal shall be shown on the drawings for the armored joint. Shop drawings will not be required when the sealant is placed against concrete or existing steel armor.

SECTION 733-PRECAST CONCRETE BOX CULVERTS

Delete Sec 733.2 and substitute the following: **04/18**

733.2 Material. All material shall be in accordance with Division 1000, Material Details, and specifically as follows:

Subsurface Drainage Geotextile	1011
Precast Concrete Box Culverts	1049
Plastic Joint Compound for Vitrified Clay and Concrete Pipe	1057
Tubular Joint Seal	1057
Mortars and Grout	1066

Delete Sec 733.3.2.1 and substitute the following: **04/18**

733.3.2.1 Joints.

733.3.2.1.1 All joints between individual box sections shall be sealed with an approved plastic joint compound or a tubular joint seal. Trowel grade compounds shall be applied to both mating surfaces. Rope or tape-type plastic joint compounds and tubular joint seals shall be applied in accordance with the manufacturer's recommendations. Excess compound shall extrude from both the inside and outside of the joint when box sections are assembled. Excess compound shall be removed from the interior surface. The joint gap between individual box sections shall be uniform for the full perimeter.

733.3.2.1.2 Filter cloth 2 feet in width and double thickness shall be centered over the top and sides of all joints between individual box sections with edges sealed with mastic or two sided tape. Filter cloth shall be a subsurface drainage geotextile in accordance with [Sec 1011](#).

733.3.2.1.3 When cast-in-place concrete box culvert collars are shown on the plans and the alternate precast option is used, precast box culvert ties shall be used in accordance with standard plans to connect individual box sections. Regular strength connections shall be supplied unless specified otherwise.

Delete Sec 733.3.2.2 and substitute the following: **04/18**

733.3.2.2 Lift and Core Holes. Lift holes shall be filled with expansive mortar or tapered precast mortar plugs to provide a permanent watertight section, and shall be finished flush on the inside of the box. Lift and core holes are to be sealed with approved materials. Filter cloth at joints may be extended to cover patched holes in lieu of sealing.

SECTION 808-PLANTING TREES, SHRUBS AND OTHER PLANTS

Delete Sec 808.2.1.1 and substitute the following:

10/17

808.2.1.1 Trees, shrubs and other plants shall be nursery stock and shall be true to type and name in accordance with the current edition of *Standardized Plant Names* published by the American Joint Committee on Horticultural Nomenclature. The plants shall have well developed branch systems and vigorous healthy root systems. All stock shall be well formed and the trunks of trees shall be uniform. The plants shall be free from insects, disease and defects such as knots, sun-scald, injuries, serious abrasions of the bark or objectionable disfigurements. Thin, weak plants will not be accepted. All nursery stock shall qualify under the American Horticultural Standards of the current American Standard for Nursery Stock, ANSI Z60.1. Substitution of plant stock or other material will not be permitted except with approval from the engineer.

Delete Sec 808.2.1.2 and substitute the following:

10/17

808.2.1.2 All measurements for height, spread, branching, caliber and root spread or ball size shall be as specified in the current American Horticultural American Standard for Nursery Stock, ANSI Z60.1. Acceptance of plant material will be made only at the planting site following the completion of the planting work, except that acceptance for height, spread and number of branches will be made before pruning the plant. Each shipment shall be accompanied by an invoice showing sizes and varieties of material and ANSI Z60.1 certification.

SECTION 901-HIGHWAY LIGHTING

Delete Sec 901.4 and substitute the following:

04/18

901.4 Equipment List. An approved list of lighting equipment is required in accordance with Sec 902.4.5 prior to installation.

Delete Sec 901.6.1 and substitute the following:

04/18

901.6.1 Lanterns mounted in an inverted position shall have a hole in the tip of the lens to prevent water from collecting in the lantern. Lamps shall be properly installed and oriented for the applications.

SECTION 902-TRAFFIC SIGNALS

Delete Sec 902.4.2 and substitute the following:

01/18

902.4.2 Concrete. Concrete shall be of the class specified in the contract. Material, proportioning, mixing, slump and transporting of concrete shall be in accordance with [Sec 501](#) for the specific class specified. Concrete shall be placed, finished and cured in accordance with [Sec 703](#).

Delete Sec 902.4.5 and substitute the following:

04/18

902.4.5 The Commission will include Form D-15 in the Electronic Deliverables listing the lighting and signal equipment to be installed. The contractor shall complete the list by writing in the name of the equipment manufacturer and catalog number of each item listed. A list of preapproved equipment and material is available on the MoDOT web site. Only items on the latest revision of the preapproved list will be accepted for use. A completed list shall be submitted electronically to the engineer and shall be approved in writing before items are installed. Approval of the items on the list will not relieve the contractor of responsibility for satisfactory performance of the installation.

SECTION 903-HIGHWAY SIGNING

Delete Sec 903.2.4 and substitute the following:

01/18

903.2.4 Concrete. Concrete shall be of the class specified in the contract. Material, proportioning, mixing, slump and transporting of concrete shall be in accordance with [Sec 501](#) for the specific class specified. Concrete shall be placed, finished and cured in accordance with [Sec 703](#).

Delete Sec 903.3.1.2 and substitute the following:

01/18

903.3.1.2 Embedded Installations. Class B or B-1 concrete, or concrete of a commercial mixture meeting the requirements of [Sec 501](#) shall be used for the footings for embedded-type sign posts, except as otherwise allowed herein. Posts shall be supported

in proper position until the concrete or other approved material has set. Excavation and backfill shall be in accordance with [Sec 903.3.1.1](#), except forming will not be required unless soil conditions warrant forming. Tops of footings shall be finished flush with the slope of the ground. Footings shall be visually inspected for acceptance by the engineer.

Amend Sec 903.3.1.2.1 to include the following: **01/18**

903.3.1.2.1 In lieu of the concrete material requirements in [Sec 903.3.1.2](#), the contractor may use a pre-packaged dry commercial concrete mixture that has a manufacturer's 28-day compressive strength rating of no less than 4,000 psi for the footings for embedded-type sign posts. The concrete shall be thoroughly mixed in accordance with the manufacturer's recommendations. Strength requirements shall meet or exceed Class B concrete as specified in [Sec 501](#).

Delete Sec 903.5.1 and amend Sec 903.5 to include the following. Renumber subsequent sections accordingly: **01/18**

Measurement of concrete for footings and end supports for overhead sign trusses, tubular steel sign supports and posts bolt-down bases, including all concrete, excavation, backfilling, reinforcing steel, anchor bolts and nuts, grout and other incidental items shown on the plans, will be made to the nearest 0.1 cubic yard. Concrete for footings for embedded-type posts including perforated square steel tube, u-channel and wood shall be incidental.

SECTION 1001-GENERAL REQUIREMENTS FOR MATERIAL

Amend Sec 1001.4 to include the following: **04/18**

1001.4 Chat will be defined as an aggregate waste material that was formed in the course of milling operations employed to recover lead and zinc from metal-bearing ore minerals.

Delete Sec 1001.12 thru 1001.12.3 and substitute the following: **04/18**

1001.12 Mining By-Product Aggregate. Chat may be furnished under the following requirements.

1001.12.1 Chat used in hot, warm, or cold mix asphalt, slurry seal, microsurfacing, or in epoxy seal delivered to MoDOT projects or property shall have a total lead content less than 4,500 ppm as determined by EPA Method 3050B, Acid Digestion of Sediments, Sludges, and Soils. Testing shall be conducted a minimum of once per year per source/location of chat.

1001.12.2 Chat used in Portland cement concrete, granular road base, flowable fill, stabilized road base, ice control material, or chip seal delivered to MoDOT projects or property shall have Synthetic Precipitation Leaching (SPLP) testing conducted using EPA SW-846 Method 1312 as required by 40 CFR 278. The leachate testing results shall not exceed the National Primary Drinking Water Standards for lead and cadmium and the fresh water chronic National Recommended Water Quality Criterion for zinc of 120 µg/l. Testing shall be conducted a minimum of once per year per source/location of chat.

1001.12.3 Test reports shall be submitted to Construction and Materials prior to chat being used on any MoDOT project or property. The report shall identify the location of the stockpile, date of sample, and specific test results as required in 40 CFR 278. Attached to the report shall be a certification from the supplier stating that the material furnished does not exceed the lead amounts specified in 40 CFR 278. The Engineer will maintain copies of laboratory test results and certifications for a minimum of three years.

Add Sec 1001.12.4: **04/18**

1001.12.4 The supplier shall also provide a summary at the end of each calendar year that identifies the quantity and location of chat shipped for use on MoDOT projects.

SECTION 1018-FLY ASH FOR CONCRETE

Delete Sec 1018.3.1 thru 1018.3.4 and substitute the following: **04/18**

1018.3.1 In order to become qualified, a written request shall be sent by the marketing entity to Construction and Materials, along with a copy of the laboratory's QC Plan, the QC departments most recent Cement and Concrete Reference Laboratory (CCRL) certification and the latest CCRL Pozzolan proficiency sample report. The CCRL inspection shall cover all tests required by the specification. Documentation showing satisfactory resolution of all inspection deficiencies shall be included. In addition, the plant may be inspected to verify the information and to establish personal contact with the QC personnel.

1018.3.2 Failure to Comply. Failure to comply with any of these requirements and/or specified herein may result in the issuance of a strike. If a laboratory accumulates three strikes, the laboratory shall be disqualified. For every year a laboratory does not

receive a strike, a strike will be removed from the laboratory's accumulated total. In cases of dispute, test results obtained by MoDOT will control.

1018.3.3 Disqualified Facilities. If a laboratory has been disqualified, the marketing entity must utilize an existing MoDOT approved laboratory or qualify a laboratory fulfilling MoDOT requirements. A disqualified laboratory will be unable to maintain qualification based on requirements governed in this specification.

SECTION 1048-PAVEMENT MARKING MATERIAL

Delete Sec 1048.30.3.3 and substitute the following: **01/18**

1048.30.3.3 Gradation. Type L beads shall meet the following gradation requirements when tested in accordance with ASTM D 1214:

Type L Bead Gradation Requirements	
Sieve Size	Percent Passing
No. 12	100
No. 14	95 - 100
No. 16	80 - 95
No. 18	10 - 40
No. 20	0 - 5
No. 25	0 - 2

SECTION 1055-CONCRETE CURING MATERIAL

Delete Sec 1055.3.2.1 and substitute the following: **04/18**

1055.3.2.1 Waterproof Paper. Waterproof paper shall be in accordance with ASTM C 171.

Delete Sec 1055.3.2.3 and substitute the following: **04/18**

1055.3.2.3 White Burlap-Polyethylene Sheeting. White burlap-polyethylene sheeting shall be in accordance with ASTM C 171.

Delete Sec 1055.3.2.4 and substitute the following: **04/18**

1055.3.2.4 Burlap and Mats of Jute or Cotton. Burlap shall be fabric made from jute or other suitable fibers. Jute mats shall consist of two plies of burlap stitched together to maintain the shape and stability of the unit. Cotton mats shall consist of filler or cotton batts covered with unsized cloth or burlap, and tufted or stitched to maintain the shape and stability of the unit. Burlap, mats or other synthetic material equivalents shall, in the judgment of the engineer, be of such construction and in such a condition as required to adequately maintain free moisture on the surface of the concrete with the type of system being used to provide the water. Material shall be free from deleterious matter harmful to concrete.

SECTION 1057-MATERIAL FOR JOINTS

Renumber Sec 1057.6 and 1057.6.1: **04/18**

1057.6 Pavement Joint Forming Material.

1057.6.1 Preformed Fiber Expansion Joint Filler. Preformed fiber expansion joint filler material shall be in accordance with AASHTO M 213. Percent asphalt content shall be tested in accordance with AASHTO T 42 or 164 with the following modifications: Oven dry test strips at 104 ± 3 C for one hour. Cool and weigh approximately 50 g into an extraction bowl. Cover test portion in the bowl with a chlorinated solvent, such as trichloroethylene, and allow sufficient time for solvent to soak the test portion. Follow test procedure outlined in AASHTO T 164 section 12.3 and 12.4, except discard extract and washings. Carefully transfer extracted test strips and scrap residue from the filter ring into a tared weighing pan. Oven dry at 104 ± 3 C for one (1) hour and cool in a desiccators. Calculate the percent asphalt content by weight on an oven dry basis per ASTM D 545 subsection 7.5.4. In cases of dispute, AASHTO T 42 test results will control.

Amend Sec 1057.6 to include the following:

1057.6.2 Semi-rigid, Closed-cell Polypropylene Foam, Preformed Expansion Joint Filler. This material shall be semi-rigid, closed-cell polypropylene foam, preformed expansion joint filler in accordance with ASTM D8139.

SECTION 1091-LIGHTING EQUIPMENT

Delete Sec 1091.1.1 and substitute the following:

04/18

1091.1.1 Pre-Approval. Fabricators shall submit two copies of shop drawings to Traffic. Submittals shall be approved by Traffic in writing prior to fabrication of the lighting poles. Shop drawings shall indicate design details required for pole fabrication, including material grades and thicknesses, welding and orientation of any longitudinal seams. Shop drawings shall provide pole installation and hardware details. Design details for all possible pole combinations shown on the plans may be submitted. Shop drawings stress calculations shall be signed and sealed by a registered professional engineer in the State of Missouri. Upon written approval, pre-approved drawings may be used on any project where the design conditions of the shop drawings are not exceeded.

Delete Secs 1091.7 thru 1091.7.2 and substitute the following:

04/18

1091.7.1 Pre-Approval. Manufacturers of LED luminaires shall submit a completed New Product Evaluation Form and LED Luminaire New Product Submittal Form. Family grouping in accordance with LED Lighting Facts is permitted, provided this is clearly indicated on the submittal form, and clearly communicated via a letter that includes detailed calculations relating the tested product to the submitted product. The luminaire size shall be as specified in the contract. A pipe stop shall be included in the assembly to locate the luminaire properly on the bracket arm. Product cutsheets shall be submitted for luminaire, LED light source, LED driver and surge protection device, if applicable. If dimmable LED driver is specified, provide diagrams illustrating light output and input power as a function of control signal. Instructions for installation and maintenance of LED luminaires shall be provided. Summary of luminaire recycled content and recyclability in accordance with the FTC Green Guides, expressed as a percentage of luminaire weight. IES LM-79 luminaire photometric report shall be produced by a test laboratory that satisfies LED Lighting Facts accreditation requirements. The report shall include, name of test laboratory, report number, date, complete luminaire catalog number, description of luminaire, LED light source, LED driver, and Goniophotometry. IES TM-15 Backlight-Uplight-Glare (BUG) ratings shall be for initial (worst-case) values, i.e., Light Loss Factor (LLF) = 1.0. If luminaires are tilted upward for Energy Star TM-21 calculations, BUG ratings shall correspond to the same angle of tilt.

1091.7.1.1 Lumen maintenance calculations and supporting test data shall be in accordance with LED Lighting Facts guidance, with the exception calculations shall be based on 10,000 cumulative hours of operation. Submit completed ENERGY STAR TM-21 Calculator as an electronic excel file. Provide computer-generated point-by-point photometric analysis of maintained light levels. Calculation/measurement points shall be per IES RP-8. Separated vehicular lanes, bikeways, and walkways shall be evaluated separately. Calculations shall be for maintained values, i.e. Light Loss Factor (LLF) < 1.0, where $LLF = LLD \times LDD \times LATF$. Lamp Lumen Depreciation (LLD) shall be 0.90 or the value calculated in the Energy Star TM-21 calculations, whichever is lower. Luminaire Dirt Depreciation (LDD) shall = 0.90 and Luminaire Ambient Temperature Factor (LATF) shall = 0.96. Mesopic multipliers (i.e., effective luminance factors) shall not be used. All values shall assume photopic visual adaptation. Submit IES LM-63 format electronic file containing luminous intensity data associated with submitted LM-79 report(s) and used for point-by-point calculations. Summary of Joint Electron Devices Engineering Council (JEDEC) or Japan Electronics and Information Technology Industries (JEITA) reliability testing performed for LED packages. Summary of reliability testing performed for LED driver(s). Safety certification and file number indicating compliance with UL 1598. Applicable testing bodies are determined by the US Occupational Safety Health Administration (OSHA) as Nationally Recognized Testing Laboratories (NRTL) and include: CSA (Canadian Standards Association), ETL (Edison Testing Laboratory), and UL (Underwriters Laboratory). Documentation supporting any U.S. origin claims for the product, in accordance with FTC guidance.

1091.7.1.2 Before approval and purchase, vendor shall supply luminaire sample(s) identical to product configuration(s) submitted for inspection. Commission may request IES LM-79 testing of luminaire sample(s) to verify performance is within manufacturer-reported tolerances. Electrically test fully assembled luminaires before shipment from factory. After installation, Commission may perform IES LM-50 field measurements to verify performance requirements, giving consideration to manufacturing tolerances and measurement uncertainties as outlined in IES LM-61 and NEMA LSD 63.

1091.7.1.3 Written product warranty shall be of the minimum duration of ten years, and shall cover maintained integrity and functionality of luminaire housing, wiring, connections, LED light source(s) and LED driver(s). Negligible light output from more than 10 percent of the LED packages constitutes luminaire failure. Warranty period shall begin 90 days after date of invoice, or as negotiated by Commission such as in the case of an auditable asset management system. Manufacturer or local sales representative shall provide installation and troubleshooting support via telephone and/or email.

1091.7.2 Requirements.

1091.7.2.1 Roadway. Luminaire shall be designed for ease of component replacement and end-of-life disassembly. Type III medium distribution, semi-cutoff light distribution shall be set in accordance with the manufacturer's recommendations unless otherwise directed by the engineer, or shown on the plans. Transmissive optical components shall be applied in accordance with OEM design guidelines to ensure suitability for the environment (e.g., electromagnetic, thermal, mechanical, chemical). LED light source(s) and driver(s) shall be RoHS compliant. Nominal luminaire input wattage shall account for nominal applied voltage and any reduction in driver efficiency due to sub-optimal driver loading. Luminaire shall accept the voltage or voltage range specified at 50/60 Hz, and shall operate normally for input voltage fluctuations of plus or minus 10 percent. All internal components shall be assembled and pre-wired using modular electrical connections. The following shall be in accordance with corresponding sections of ANSI C136.37, wiring and grounding, terminal blocks for incoming AC lines (electrical mains wires), photocontrol receptacle, latching and hinging, mounting provisions, and ingress protection.

1091.7.2.1.1 Underpass. Luminaires for underpass lighting shall be high-pressure sodium. The luminaires shall consist of a pre-wired unit for wall mounting, with raintight cast aluminum housing, cast aluminum door with integral cast guard, heat-resistant glass prismatic refractor, asymmetric aluminum reflector and socket for horizontal lamp position, complete with 150-watt lamp and 240-volt or 480-volt ballast as required. Type IV short distribution, non-cutoff light distribution shall be set in accordance with the manufacturer's recommendations, unless otherwise directed by the engineer or shown on the plans. The door shall have a stainless steel hinge along the bottom, stainless steel latches at the top and non-ferrous metal or stainless steel safety chains. Provisions shall be made for attaching the unit directly to a wall or to an outlet box stud with non-ferrous metal or stainless steel hardware. Ballasts for underpass luminaires shall be in accordance with [Sec 901](#). The ballast power cables shall be individually fused with in-line fuse holders between the line and load, in the junction box or the luminaire housing if no junction box is shown on the plans. The fuse rating shall be three amps unless otherwise shown on the plans.

1091.7.2.2 Painted or finished luminaire surfaces exposed to the environment shall exceed a rating of six per ASTM D1654 after 1000 hours of testing per ASTM B117. Each luminaire shall have aluminum housing with two 2-inch slipfitters or one 4-bolt slipfitter or one 2-inch slipfitter with a longitudinal leveling system. The housing shall have a natural aluminum or gray baked enamel finish. The coating shall exhibit no greater than 30% reduction of gloss per ASTM D523, after 500 hours of QUV testing at ASTM G154 Cycle 6. All metal parts, such as springs on the latches and hinges, U-bolts and screws shall be made from non-ferrous metal or stainless steel. All parts of the luminaire shall be fabricated from corrosion resistant material.

1091.7.2.3 Luminaire shall start and operate in ambient temperature range specified. Maximum rated case temperature of driver and other internal components shall not be exceeded when luminaire is operated in ambient temperature range specified. Wiring inside the luminaire housing shall be protected by suitable heat resistant insulating material. Mechanical design of protruding external surfaces (heat sink fins) shall facilitate hose-down cleaning and discourage debris accumulation. Liquids or other moving parts shall be clearly indicated in submittals, shall be consistent with product testing, and shall be subject to review by Commission. Luminaire designation indicated "ANSI C136.41, 7-pin" on LED Luminaire New Product Submittal Form shall be fully prewired and shall incorporate an ANSI C136.41 compliant receptacle. If a dimmable LED driver is specified, the 0-10V or DALI control wires shall be connected to the receptacle pads as specified in ANSI C136.41; connection of the two remaining pads shall be by Manufacturer, as directed by Commission.

1091.7.2.4 Luminaire shall be listed for wet locations by a U.S. Occupational Safety Health Administration (OSHA) Nationally Recognized Testing Laboratory (NRTL), shall have locality-appropriate governing mark and certification, and shall meet the performance requirements specified in ANSI C136.2 for dielectric withstand, using the DC test level and configuration. Luminaire shall meet the performance requirements specified in ANSI C136.2 for electrical immunity, using the combination Enhanced Wave Test Level (10kV/5kA) indicated on LED Luminaire New Product Submittal Form whether failure of the electrical immunity system can possibly result in disconnect of power to luminaire. Luminaire shall comply with FCC 47 CFR part 15 interference criteria for Class A (non-residential) digital devices, and shall comply with section 5.2.5 (luminaires rated for outdoor use) of ANSI C82.77 at full input power and across specified voltage range.

1091.7.2.5 Color Rendering Index (CRI) shall be no less than 50. Nominal Correlated Color Temperature (CCT) shall be 3000k with allowable IES LM-79 Chromaticity Values of 2870 to 3220 measured CCT (k) and -0.006 to 0.006 Measured Duv. Luminaire shall have an external label per ANSI C136.15 and shall have an internal label per ANSI C136.22.

SECTION 1092-SIGNAL EQUIPMENT

Delete Sec 1092.1.4 and substitute the following:

04/18

1092.1.4 Backplates. Backplates shall be provided on all signal heads as shown on the plans. Backplates shall be black in color and constructed of flat pre-cut or preformed thermoplastic. Flat pre-cut thermoplastic backplates shall have a minimum thickness of 0.250 inch. Preformed thermoplastic backplates shall have rolled out edges and a minimum final thickness of 0.10 inch.

When as indicated in the plans, a reflectorized strip shall be placed along the perimeter of the backplate. The reflectorized strip shall be made from yellow sheeting in accordance with Section 1042. The reflectorized strip shall be adhered to the backplate as shown in the plan.